

OSBM

PERSONAL SERVICES CONTRACTS
For Fiscal Year July 1, 2012-June 30, 2013

As Directed by Session Law 2007-322

March 2014



Prepared By:

Office of State Budget and Management
Office of State Human Resources

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INTRODUCTION

North Carolina General Statutes 143-64.70 requires the Office of State Budget and Management (OSBM) and the Office of State Human Resources (OSHR) to report annually on the use of personal services contracts¹ by State departments, agencies, institutions, and other State entities, hereafter referred to as “State agencies”, that have annual expenditures greater than \$25,000. The report should include information on the type, number, duration, cost, and effectiveness of the personal services contracts. The report must be submitted to the Joint Legislative Commission on Governmental Operations Committee by March 15 of each year.

METHODOLOGY

OSBM requested State agencies to provide information on the personal services contracts which they used during fiscal year (FY) 2012-13, hereafter referred to as FY 2013, with expenditures greater than \$25,000. The requested information included general agency details, contract specifications, the nature of the services, the duration of the contracts, financial data, and other contractual information. The information submitted to OSBM by the State agencies, universities, and licensing boards was assembled, verified, and analyzed to ensure its completeness and accuracy. Additionally, the personal service contract data for previous fiscal year reports has been included in this report for comparative purposes.

BACKGROUND

The use of personal services contracts enables State agencies to acquire professional services for short term basis without creating state positions. These contracts provide agencies with an opportunity to obtain professional services that may be difficult to recruit for State positions. For example, the Department of Correction uses personal service contracts to provide physicians, nurses, chaplains, and other services to care for inmates. These types of contracts often require the contractors to work for extended periods of time, but for a limited number of hours each week. Because these type of contracts are often in remote locations and demanding work environments, these positions may be difficult to fill, and can be best addressed through personal services contracts. The OSHR also contracts for the services of training instructors on limited basis for extended periods of time because of their specific expertise in certain educational areas. Personal services contracts are classified as “independent contractors” as defined by the Fair Labor Standards Act and the Internal Revenue Service. Therefore, State agencies do not have to pay the costs of health benefits, withholding taxes, Social Security contributions, workers’ compensation, and overtime.

While personal services contracts are an efficient and effective way for agencies to accomplish the specific needs and special skills, State agencies should be careful to not abuse or misuse this contracting method. It is also important for agencies to routinely evaluate their personal services contracts to ensure compliance with the Fair Labor Standards Act and Internal Revenue Service regulations, and applicable North Carolina Administrative Codes and procurement regulations.

RESULTS

Summary Tables and Charts

The tables and graphs on the following pages provide summary data on the types, number, and costs of personal services contracts for FY 2013, in addition to historical information for the four previous fiscal years. Appendix A provides the definitions for the types of personal services contracts.

¹ Title 01 North Carolina Administrative Code Chapter 05 Subchapter A .0112 states “...A service contract shall mean any agreement in which an independent contractor performs services requiring specialized knowledge, experience, expertise or similar capabilities for a state agency for compensation involving an expenditure of public funds. The services may include (by way of illustration, not limitation) services such as maintenance of buildings or equipment, auditing, film production, employee training and food services...”

Table 1 summarizes the personal services contract information for FY 2009-FY 2013 for contracts greater than \$25,000. The summary includes the number, costs, and duration of contracts as well as the number of contractors, average number of contracts per contractor, number of state employees overseeing the contracts, and the average length of contracts. The last column of Table 1 also shows a decrease in data for all categories between FY 2009-FY 2013 with the exception of total paid on contracts-only general funds. The average number of months for all contract categories decreased from 16.5 months for FY 2009 to 15.9 months for FY 2013.

Table 1–Summary of Personal Services Contracts for FY 2009-FY 2013

Description of Data	FY 2009	FY 2010	FY 2011	FY 2012	FY 2013	Percent Change FY 2009 to FY 2013
Total Number of Contracts > \$25,000	584	534	509	466	462	-20.9%
Total Paid on Contracts -- All Funding Sources	\$30,263,392	\$27,731,481	\$27,288,937	\$25,091,110	\$28,685,898	-5.2%
Total Paid on Contracts -- Only General Funds	\$23,252,580	\$22,066,862	\$21,861,793	\$19,377,696	\$23,421,049	0.7%
Number of Individual Contractors	493	521	500	454	458	-7.1%
Average Number of Contracts per Contractor	1.185	1.025	1.018	1.026	1.009	-14.8%
Number of State Employees Overseeing Contracts	246	257	240	214	191	-22.4%
Average Length of Contract (<i>in months</i>)	16.5	17.1	16.0	14.2	15.9	-3.6%

Table 2 shows the number of contracts, amounts spent, and average expenditure for personal services contracts by personal service category for FY 2013. Although health and medical services contracts out number information technology services contracts by 41.9%, the average cost per information technology services contract is 56.8% larger than a health and medical services contract. Appendix B provides a breakdown for each agency.

Table 2–Average Cost per Type of Personal Services Contract for FY 2013

Type of Contract	Number of Contracts	Percent of Contracts Issued	Amount Spent	Percent of Amount Spent	Average Cost per Contract
Information Technology Services	65	14.1%	\$ 8,759,895	30.5%	\$ 134,768
Communications & Media Services	3	.6%	\$ 258,196	.9%	\$ 86,065
Business & Financial Services	31	6.7%	\$ 2,228,627	7.8%	\$ 71,891
Health & Medical Services	112	24.2%	\$ 6,515,808	22.7%	\$ 58,177
Scientific Services	3	.6%	\$ 167,538	.6%	\$ 55,846
Transportation Services	3	.6%	\$ 152,797	.5%	\$ 50,932
Legal & Law Enforcement Services	32	6.9%	\$ 1,492,443	5.2%	\$ 46,639
Educational Services	66	14.3%	\$ 2,948,360	10.3%	\$ 44,672
Other Services	72	15.6%	\$ 3,123,726	10.9%	\$ 43,385
Architectural Services (prof. & non-prof.)	10	2.2%	\$ 412,998	1.4%	\$ 41,300
Human Services	24	5.2%	\$ 986,616	3.4%	\$ 41,109
Management Services	33	7.1%	\$ 1,346,952	4.7%	\$ 40,817
Engineering Professional Services	7	1.5%	\$ 262,776	.9%	\$ 37,539
Interpretation Services	1	.2%	\$ 29,166	.1%	\$ 29,166
Grand Total	462	100.0%	\$ 28,685,898	100.0%	\$ 62,091

Table 3, on page 3, shows the personal services contracts data for a five year period including average length of time for a contract. Only Transportation Services contracts, on average, were for a period longer than two years in FY 2013.

Table 3—Average Term by Type of Personal Services Contracts for FY 2009-FY 2013

Type of Contract	FY 2009			FY 2010			FY 2011			FY 2012			FY 2013		
	Amount Spent *	No.	Average Months per Contract	Amount Spent *	No.	Average Months per Contract	Amount Spent *	No.	Average Months per Contract	Amount Spent *	No.	Average Months per Contract	Amount Spent *	No.	Average Months per Contract
Transportation Services	\$.1	3	15.9	\$.0	1	12.2	\$.2	3	15.9	\$.2	3	19.9	\$.2	3	28.4
Health & Medical Services	\$ 10.4	187	20.9	\$ 10.1	181	21.6	\$ 8.7	150	24.9	\$ 8.2	132	17.4	\$ 6.5	112	20.7
Architectural Services (prof. & non-prof.)	\$.5	13	11.7	\$.5	12	11.3	\$.9	20	18.1	\$ 1.0	21	12.6	\$.4	10	18.6
Information Technology Services	\$ 3.4	45	18.5	\$ 2.2	29	18.9	\$ 2.5	36	19.6	\$ 2.3	30	16.1	\$ 8.8	65	17.6
Legal & Law Enforcement Services	\$ 1.2	21	7.6	\$ 1.7	28	18.5	\$ 1.7	33	11.0	\$ 1.6	31	11.1	\$ 1.5	32	16.5
Communications & Media Services	\$.7	15	10.6	\$.4	9	11.1	\$.3	9	11.7	\$.2	5	12.7	\$.3	3	15.9
Other Services	\$ 3.5	72	11.8	\$ 3.0	74	9.2	\$ 3.2	68	3.7	\$ 2.9	67	16.4	\$ 3.1	72	15.2
Business & Financial Services	\$ 2.4	35	15.2	\$ 3.9	46	11.1	\$ 3.1	29	11.7	\$ 3.4	46	12.8	\$ 2.2	31	12.7
Scientific Services	\$.0	1	5.1	\$.2	5	7.3	\$.1	3	7.4	\$.2	4	16.5	\$.2	3	12.5
Human Services	\$ 1.5	38	16.2	\$ 2.2	54	21.5	\$ 2.1	47	18.7	\$.9	24	12.8	\$ 1.0	24	12.2
Educational Services	\$ 3.5	82	12.6	\$ 2.6	67	10.5	\$ 2.6	63	10.8	\$ 2.6	62	10.8	\$ 2.9	66	11.5
Interpretation Services	\$.7	22	10.1	\$.2	5	15.4	\$.2	4	10.4	\$.1	2	11.7	\$.0	1	11.2
Management Services	\$ 1.7	34	12.1	\$.4	12	11.0	\$ 1.2	31	12.8	\$ 1.2	32	11.2	\$ 1.3	33	10.9
Engineering Professional Services	\$.8	16	14.1	\$.5	11	15.9	\$.5	13	15.6	\$.3	7	12.1	\$.3	7	10.0
Total	\$ 30.3	584	16.5	\$ 27.7	534	17.1	\$ 27.3	509	16.0	\$ 25.1	466	14.2	\$ 28.7	462	15.9

*Dollars in Millions

Graph 1 shows the percent of the FY 2013 expenditures by type of contract. Information Technology Services and Health & Medical Services used the most personal services contracts. Both accounted for 53.2% or \$15.3 million dollars of all contract expenditures.

Graph 1—Percent of Expenditures by Type of Personal Services Contracts for FY 2013

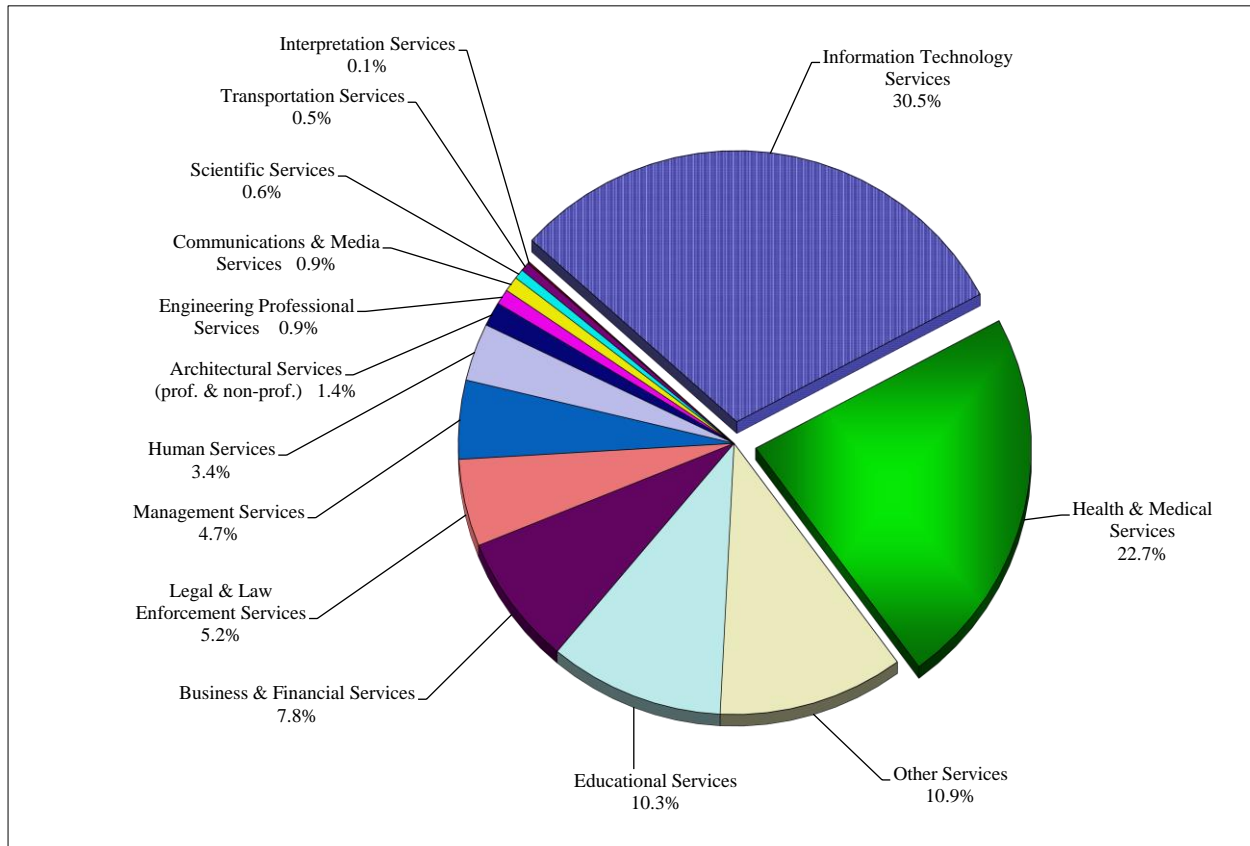


Table 4 shows the dollar amount expended and number of contracts by category for FY 2009-FY 2013. Justice & Public Safety category had the largest number of contracts and amount spent on personal services contracts. These contracts were primarily in the Department of Public Safety for providing health and medical services. Appendix C provides information at the agency level for FY 2009-FY 2013.

Table 4—Personal Services Contracts Legislative Appropriations Committee Category for FY 2009-FY 2013

Category	FY 2009		FY 2010		FY 2011		FY 2012		FY 2013	
	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *
Justice & Public Safety	353	\$ 16.5	361	\$ 17.1	327	\$ 15.3	283	\$ 13.4	262	\$ 11.8
Education-University System	115	\$ 6.0	102	\$ 5.2	102	\$ 5.6	97	\$ 5.0	112	\$ 10.9
General Government	25	\$ 2.1	20	\$ 1.2	26	\$ 2.0	23	\$ 1.9	31	\$ 2.3
Health & Human Services	32	\$ 1.9	23	\$ 1.3	21	\$ 1.6	27	\$ 2.0	29	\$ 1.8
Education-Public Instruction	32	\$ 1.6	7	\$.3	11	\$.5	8	\$.7	15	\$.7
Transportation	6	\$.5	7	\$.3	1	\$.04	12	\$.7	5	\$.7
Natural & Economic Resources	21	\$ 1.7	14	\$ 2.3	16	\$ 2.0	16	\$ 1.4	8	\$.5
Education-Community Colleges	0	\$ -	0	\$ -	5	\$.3	0	\$ -	0	\$ -
Total	584	\$ 30.3	534	\$ 27.7	509	\$ 27.3	466	\$ 25.1	462	\$ 28.7

*Dollars in Millions

Graph 2 shows the percent of expenditures by category for FY 2013. Justice & Public Safety and Education-University accounted for 79.1% of all the personal services contract expenditures.

Graph 2—Percent of Expenditures by Legislative Appropriations Committee Category for FY 2013

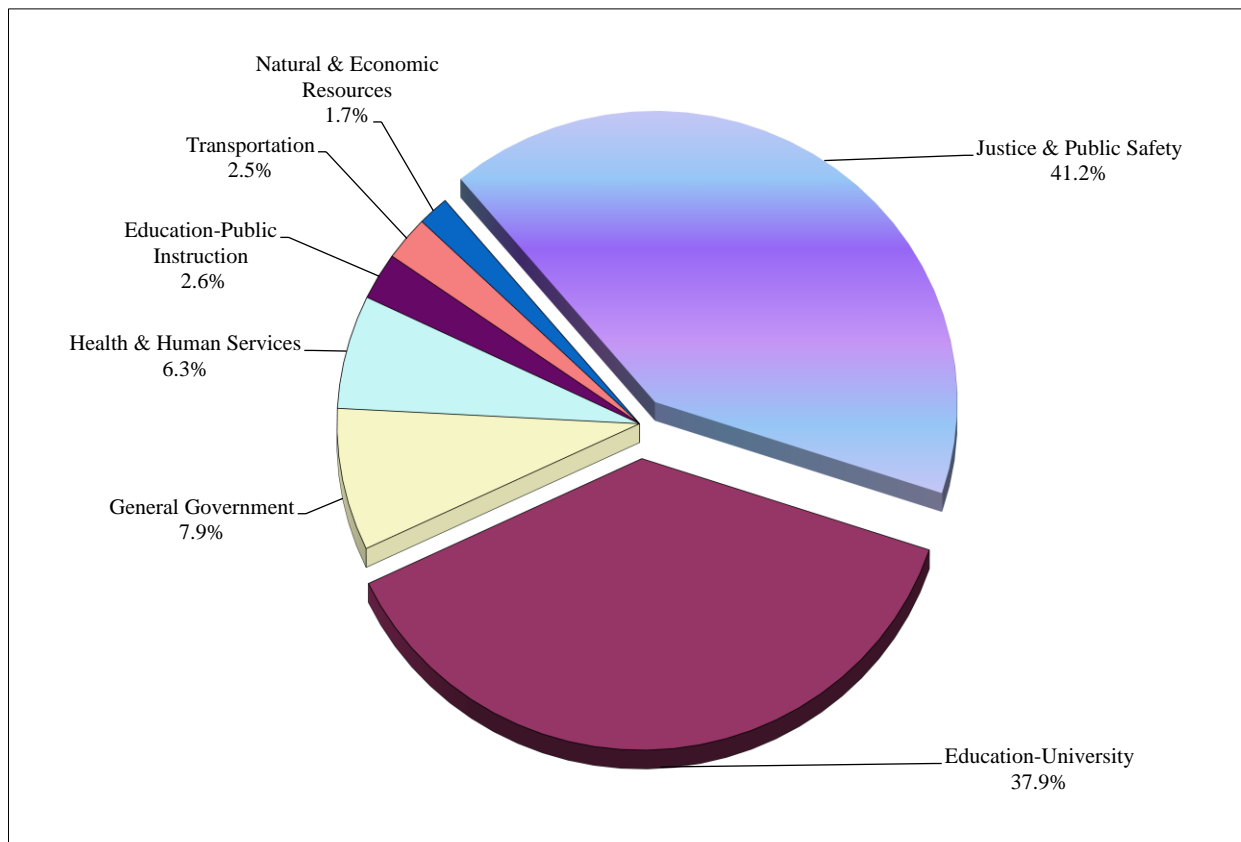


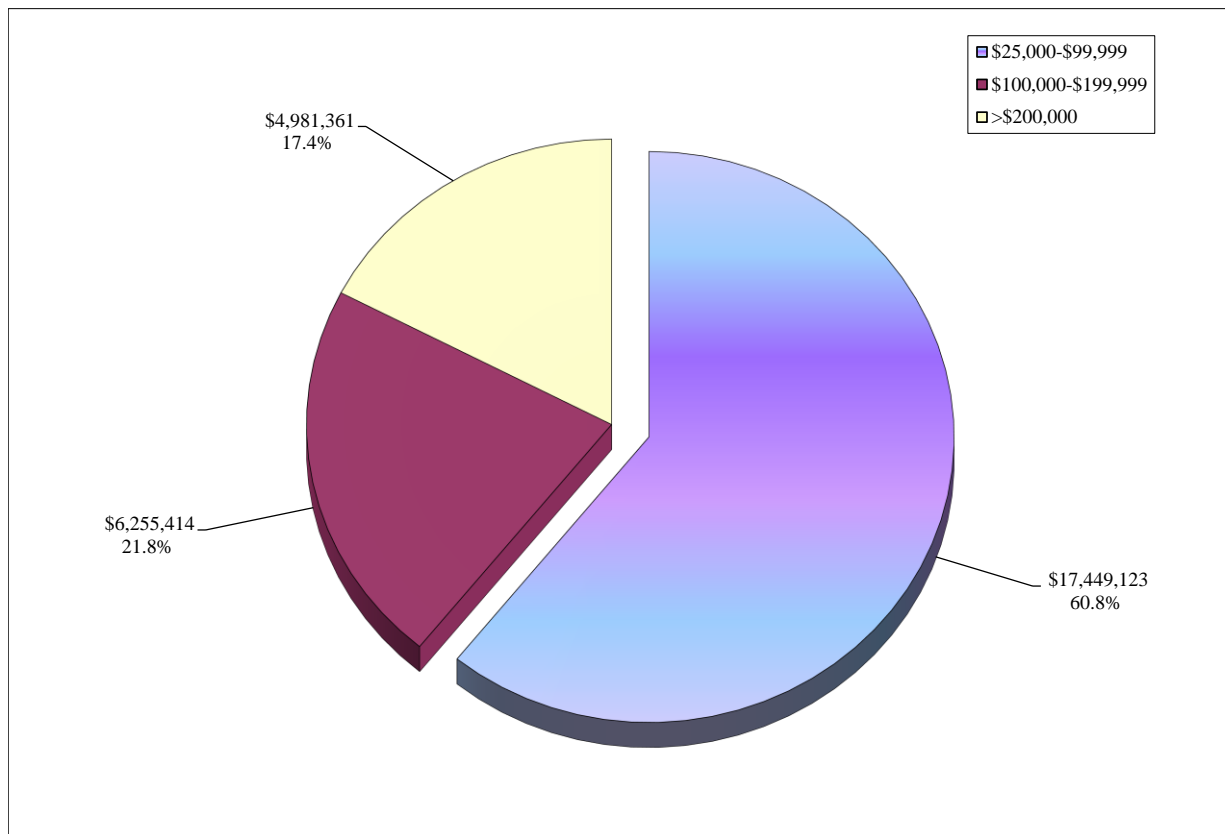
Table 5 shows the number of contracts and amount expended by dollar-range by type of contract for FY 2013. Information Technology Services and Business & Financial Services are the only contracts over \$200,000.

Table 5–Personal Services Contracts by Dollar-Range and Type of Contract for FY 2013

Type of Contract	\$25,000-\$99,999		\$100,000-\$199,999		\$200,000 and Over		Total	
	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent
Information Technology Services	32	\$ 1,487,452	19	\$ 2,968,504	14	\$ 4,303,939	65	\$ 8,759,895
Health & Medical Services	100	\$ 4,609,284	12	\$ 1,906,524			112	\$ 6,515,808
Other Services	70	\$ 2,887,289	2	\$ 236,437			72	\$ 3,123,726
Educational Services	65	\$ 2,848,360	1	\$ 100,000			66	\$ 2,948,360
Business & Financial Services	25	\$ 1,011,609	4	\$ 539,596	2	\$ 677,422	31	\$ 2,228,627
Legal & Law Enforcement Services	31	\$ 1,388,987	1	\$ 103,456			32	\$ 1,492,443
Management Services	32	\$ 1,196,952	1	\$ 150,000			33	\$ 1,346,952
Human Services	24	\$ 986,616					24	\$ 986,616
Architectural Services (prof. & non-prof.)	10	\$ 412,998					10	\$ 412,998
Engineering Professional Services	7	\$ 262,776					7	\$ 262,776
Communications & Media Services	2	\$ 113,046	1	\$ 145,150			3	\$ 258,196
Scientific Services	2	\$ 61,791	1	\$ 105,747			3	\$ 167,538
Transportation Services	3	\$ 152,797					3	\$ 152,797
Interpretation Services	1	\$ 29,166					1	\$ 29,166
Total	404	\$ 17,449,123	42	\$ 6,255,414	16	\$ 4,981,361	462	\$ 28,685,898

As shown in graph 3, the contracts ranging from \$25,000 to \$99,999 accounted for 60.8% of expenditures and 87.4% of all contracts during FY 2013.

Graph 3–Personal Services Contract Expenditures by Dollar-Range for FY 2013

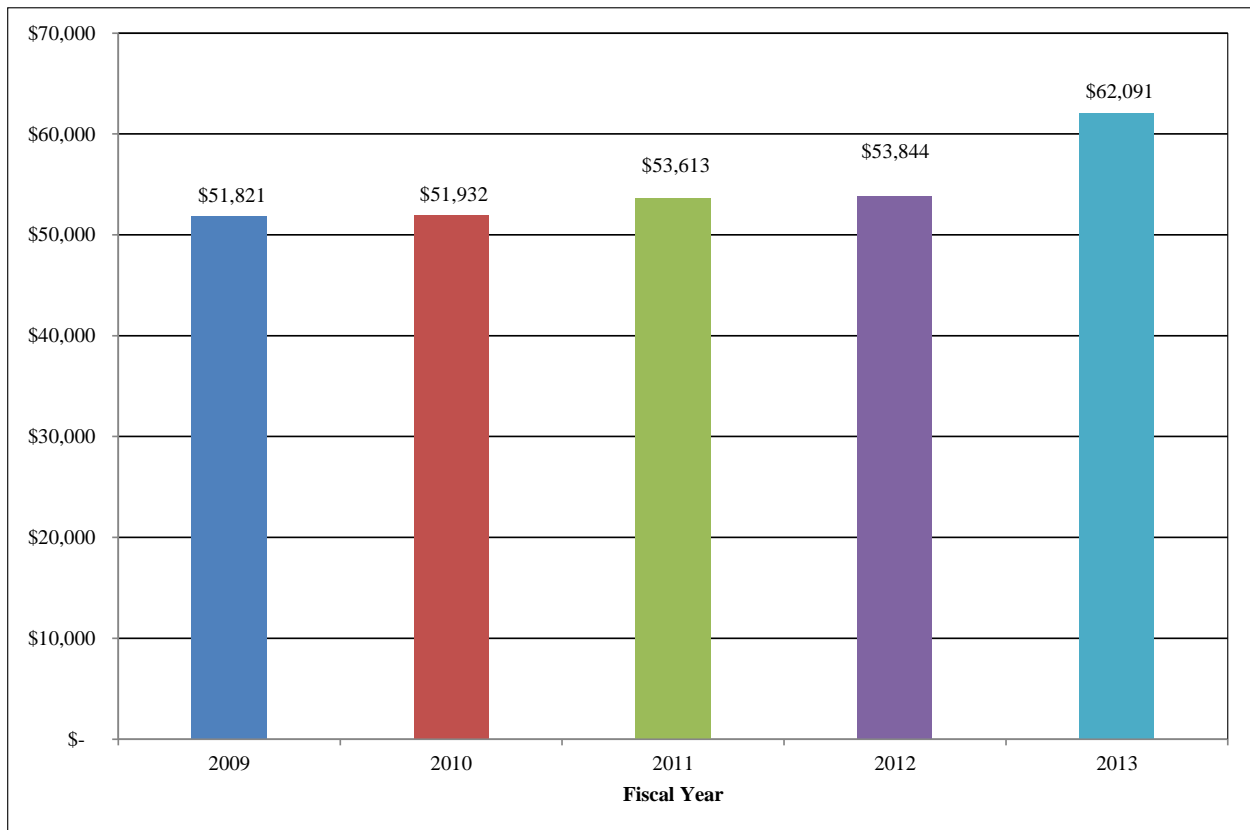


The data reported to OSBM were also compiled by budget code categories to show the type of funds used for the personal services contracts. Table 6 shows General Funds accounted for 81.6% of total expenditures for FY 2013. Over five years personal services contract expenditures decreased by 5.2%. However, over the last year, expenditures rose by 14.3% while the total number of contracts decreased by .9%. This was due to the average cost of a contract going from \$53,844 in FY 2012 to \$62,091 in FY 2013. Graph 4 shows the average cost of a contract increased 19.8% over the last five years.

Table 6—Personal Services Contract Expenditures by Budget Code for FY 2009-FY 2013

Budget Code	FY 2009		FY 2010		FY 2011		FY 2012		FY 2013		Net Change from FY 2009 to FY 2013	
	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent
General Funds	\$ 23,252,580	76.8%	\$ 22,066,862	79.6%	\$ 21,861,793	80.1%	\$ 19,377,696	77.2%	\$ 23,421,049	81.6%	\$ 168,469	.7%
Institutional Funds	\$ 2,277,889	7.5%	\$ 2,371,283	8.6%	\$ 2,687,411	9.8%	\$ 2,248,717	9.0%	\$ 2,372,261	8.3%	\$ 94,372	4.1%
Special Funds	\$ 2,386,004	7.9%	\$ 1,309,124	4.7%	\$ 1,634,409	6.0%	\$ 1,911,501	7.6%	\$ 1,380,196	4.8%	\$ (1,005,808)	-42.2%
Highway Funds	\$ 455,975	1.5%	\$ 294,877	1.1%	\$ 273,009	1.0%	\$ 727,943	2.9%	\$ 704,691	2.5%	\$ 248,716	54.5%
Enterprise Funds	\$ 1,338,253	4.4%	\$ 1,182,160	4.3%	\$ 832,315	3.1%	\$ 478,486	1.9%	\$ 397,361	1.4%	\$ (940,892)	-70.3%
Trust and Agency Funds	\$ 122,744	.4%	\$ 89,820	.3%	\$ -	.0%	\$ 346,768	1.4%	\$ 263,160	.9%	\$ 140,416	114.4%
Federal Funds	\$ 103,150	.4%	\$ 289,997	1.0%	\$ -	.0%	\$ -	.0%	\$ 88,967	.3%	\$ (14,183)	-13.7%
Capital Project Funds	\$ 110,039	.4%	\$ -	.0%	\$ -	.0%	\$ -	.0%	\$ 31,813	.1%	\$ (78,226)	-71.1%
Internal Service Funds	\$ 216,758	.7%	\$ 127,358	.5%	\$ -	.0%	\$ -	.0%	\$ 26,400	.1%	\$ (190,358)	-87.8%
TOTAL	\$ 30,263,392	100.0%	\$ 27,731,481	100.0%	\$ 27,288,937	100.0%	\$ 25,091,110	100.0%	\$ 28,685,898	100.0%	\$ (1,577,494)	-5.2%

Graph 4—Personal Services Contracts Average Costs for FY 2009- FY 2013



APPENDIX A

DEFINITION OF TYPES OF PERSONAL SERVICES CONTRACTS

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APPENDIX A
DEFINITIONS OF TYPES OF PERSONAL SERVICES CONTRACTS

A Personal Services Contract is defined as:

An agreement for services provided by a professional individual on a temporary or occasional basis (e.g. engineer, speechwriter, nurse, accountant). This may also included advisory consulting services. People retained under the "Personal Services Contracts" umbrella include both those which have withholding by the state and those for whom the agency prepares a Form 1099.

Type of Service Contract	Description
Architectural Services (professional & non-professional)	Building design, interiors, space use, site planning, utilities architecture, energy management, landscape, flood control, drafting, and surveying.
Business & Financial Services	Accounting, auditing, cash management, financial advisory, investment consulting, collection services, and organizational and other general management consulting services.
Communications & Media Services	Motion picture/television/video/audio production, journalistic/professional writer services, speechwriting, graphic arts, and advertising services.
Educational Services	Teaching and instruction services, workshop facilitation, workshop organization and management, and course development.
Engineering Professional Services	Civil, geological, machine, electrical, structural, general, agricultural, foundation, energy management, and other engineering services.
Information Technology Services	Telecommunication consulting, Electronic Data Interchange, and applications and systems analysis.
Interpretation Services	Signing, interpreting, and translation.
Health & Medical Services	Physician, nursing, dental, mental health, x-ray, laboratory, pharmacy, audiology, hygiene, vaccination, and waste disposal.
Human Services	Barber/beautician, funeral services, referral services, working with special populations, counseling, case management, and community relations.
Legal & Law Enforcement Services	Attorneys, paralegal, other court-related services such as court stenography services, as well as security analysts, security personnel, and other law enforcement or correctional system specialists.
Management Services	Parking management, records management, insurance/risk management, exhibition/market management, building management, and waste management services.
Scientific Services	Laboratory services, sampling, leak detection, and other specialty services.
Transportation Services	Self-explanatory.
Other Services	All others.

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APPENDIX B

**FY 2013 PERSONAL SERVICES CONTRACT EXPENDITURES
BY LEGISLATIVE APPROPRIATIONS COMMITTEE & CONTRACT CATEGORY**

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APPENDIX B
FY 2013 Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category

Legislature Appropriations Committee	Agency Name	Total	Architectural Services (professional & non professional)	Business & Financial Services	Communications & Media Services	Educational Services	Engineering Professional Services	Health & Medical Services	Human Services	Information Technology Services	Interpretation Services	Legal & Law Enforcement Services	Management Services	Scientific Services	Transportation Services	Other Services	
Education	University System																
	Appalachian State University	\$ 35,000				\$ 35,000											
	East Carolina University	\$ 224,986		\$ 25,000		\$ 28,955		\$ 171,031									
	Fayetteville State University	\$ 225,975		\$ 78,400		\$ 90,000		\$ 57,575									
	NC A&T State University	\$ 57,250						\$ 57,250									
	NC School of Science & Math	\$ 549,118				\$ 401,403		\$ 57,601	\$ 44,781	\$ 45,333							
	NC School of the Arts	\$ 193,261		\$ 30,820		\$ 64,123		\$ 28,000		\$ 30,041			\$ 40,277				
	NC State University	\$ 974,204			\$ 145,150	\$ 296,856	\$ 34,750	\$ 213,518		\$ 141,160						\$ 142,770	
	UNC Chapel Hill	\$ 6,683,673								\$ 6,683,673							
	UNC Charlotte	\$ 142,584									\$ 29,166					\$ 113,418	
	UNC General Administration	\$ 117,288		\$ 60,540	\$ 30,000	\$ 26,748											
	UNC Greensboro	\$ 576,690				\$ 42,000		\$ 340,950	\$ 48,000	\$ 28,800						\$ 116,940	
	UNC Hospitals	\$ 397,361		\$ 157,516							\$ 145,787					\$ 94,058	
	UNC Wilmington	\$ 453,135		\$ 222,222				\$ 63,375							\$ 167,538		
	Winston-Salem State University	\$ 235,826			\$ 83,046	\$ 152,780											
	University System Total	\$ 10,866,351	\$ -	\$ 574,498	\$ 258,196	\$ 1,137,865	\$ 34,750	\$ 989,300	\$ 92,781	\$ 7,074,794	\$ 29,166	\$ -	\$ 40,277	\$ 167,538	\$ -	\$ 467,186	
Dept. of Public Instruction	\$ 749,219				\$ 722,953					\$ 26,266							
Total	\$ 11,615,570	\$ -	\$ 574,498	\$ 258,196	\$ 1,860,818	\$ 34,750	\$ 989,300	\$ 92,781	\$ 7,101,060	\$ 29,166	\$ -	\$ 40,277	\$ 167,538	\$ -	\$ 467,186		
General Government	Dept. of Administration	\$ 61,383		\$ 61,383													
	Dept. of Cultural Resources	\$ 25,000				\$ 25,000											
	Dept. of Insurance	\$ 37,905						\$ 37,905									
	Dept. of State Treasurer ¹	\$ 866,616		\$ 457,371				\$ 87,525		\$ 321,720							
	General Assembly	\$ 217,125		\$ 140,625								\$ 76,500					
	Housing Finance Agency	\$ 150,468				\$ 150,468											
	Office of Information Technology Services	\$ 26,400								\$ 26,400							
	Office of State Auditor	\$ 214,397		\$ 214,397													
	Office of State Controller	\$ 263,673								\$ 263,673							
	Office of the Governor	\$ 358,010		\$ 61,145						\$ 296,865							
	State Ethics Commission	\$ 41,962										\$ 41,962					
Total	\$ 2,262,939	\$ -	\$ 934,921	\$ -	\$ 150,468	\$ 25,000	\$ 125,430	\$ -	\$ 908,658	\$ -	\$ 118,462	\$ -	\$ -	\$ -	\$ -		

APPENDIX B

FY 2013 Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category

Legislature Appropriations Committee	Agency Name	Total	Architectural Services (professional & non professional)	Business & Financial Services	Communications & Media Services	Educational Services	Engineering Professional Services	Health & Medical Services	Human Services	Information Technology Services	Interpretation Services	Legal & Law Enforcement Services	Management Services	Scientific Services	Transportation Services	Other Services
Health and Human Services	Dept. of Health & Human Services-Central Management	\$ 913,043							\$ 120,000	\$ 308,083			\$ 362,110			\$ 122,850
	Dept. of Health & Human Services-Division of Aging	\$ 44,391														\$ 44,391
	Dept. of Health & Human Services-Division of Child Development	\$ 363,901				\$ 363,901										
	Dept. of Health & Human Services-Division of Health Services	\$ 71,600						\$ 71,600								
	Dept. of Health & Human Services-Division of Medical Assistance	\$ 182,337						\$ 111,680				\$ 70,657				
	Dept. of Health & Human Services-Division of Mental Health	\$ 157,017						\$ 105,017					\$ 52,000			
	Dept. of Health & Human Services-Division of Public Health	\$ 43,709						\$ 43,709								
	Dept. of Health & Human Services-Division of Vocational Rehabilitation	\$ 30,900						\$ 30,900								
	Total	\$ 1,806,898	\$ -	\$ -	\$ -	\$ 363,901	\$ -	\$ 362,906	\$ 120,000	\$ 308,083	\$ -	\$ 70,657	\$ 414,110	\$ -	\$ -	\$ 167,241
Justice and Public Safety	Administrative Office of the Courts	\$ 2,028,086														\$ 2,028,086
	Dept. of Justice	\$ 179,889		\$ 42,513								\$ 137,376				
	Dept. of Public Safety ²	\$ 8,470,568	\$ 412,998	\$ 153,995		\$ 327,864	\$ 203,026	\$ 5,038,172	\$ 773,835	\$ 242,558		\$ 31,036	\$ 892,565		\$ 72,330	\$ 322,189
	Indigent Defense Services	\$ 1,134,912										\$ 1,134,912				
	Total	\$ 11,813,455	\$ 412,998	\$ 196,508	\$ -	\$ 327,864	\$ 203,026	\$ 5,038,172	\$ 773,835	\$ 242,558	\$ -	\$ 1,303,324	\$ 892,565	\$ -	\$ 72,330	\$ 2,350,275
Natural and Economic Resources	Dept. of Agriculture & Consumer Services	\$ 149,136		\$ 37,500						\$ 111,636						
	Dept. of Commerce ³	\$ 215,309				\$ 215,309										
	Dept. of Labor	\$ 117,900				\$ 30,000				\$ 87,900						
	Total	\$ 482,345	\$ -	\$ 37,500	\$ -	\$ 245,309	\$ -	\$ -	\$ -	\$ 199,536	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transportation	Dept. of Transportation ⁴	\$ 704,691		\$ 485,200											\$ 80,467	\$ 139,024
	Total	\$ 704,691	\$ -	\$ 485,200	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 80,467	\$ 139,024
Grand Total		\$ 28,685,898	\$ 412,998	\$ 2,228,627	\$ 258,196	\$ 2,948,360	\$ 262,776	\$ 6,515,808	\$ 986,616	\$ 8,759,895	\$ 29,166	\$ 1,492,443	\$ 1,346,952	\$ 167,538	\$ 152,797	\$ 3,123,726
Percentage		100%	1%	8%	1%	10%	1%	23%	3%	31%	0%	5%	5%	1%	1%	11%

¹ State Health Plan transferred to the Department of State Treasurer in FY 2012.

² The Departments of Correction, Crime Control and Public Safety, and Juvenile Justice and Delinquency Prevention consolidated to create the Department of Public Safety in FY 2012.

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APPENDIX C

**FY 2009-FY 2013 SUMMARY OF
PERSONAL SERVICES CONTRACTS
BY STATE AGENCY**

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APPENDIX C
FY 2009-FY 2013 Summary of Personal Services Contracts by State Agency

Legislative Appropriations Committee	State Agency	FY 2013		FY 2012		FY 2011		FY 2010		FY 2009	
		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Education	University System										
	Appalachian State University	1	\$ 35,000	3	\$ 86,647	1	\$ 38,295	4	\$ 162,409	3	\$ 127,255
	East Carolina University	5	\$ 224,986	8	\$ 392,193	8	\$ 342,002	11	\$ 543,480	13	\$ 554,622
	Elizabeth City State University			1	\$ 25,137	2	\$ 77,606	3	\$ 95,738	3	\$ 111,736
	Fayetteville State University	3	\$ 225,975	4	\$ 236,755	6	\$ 310,870	2	\$ 126,640	5	\$ 262,307
	NC A&T State University	1	\$ 57,250	1	\$ 68,688			2	\$ 114,820	5	\$ 273,932
	NC Central University					2	\$ 89,225	5	\$ 169,639	4	\$ 239,115
	NC School of Science & Math	13	\$ 549,118	11	\$ 401,107	8	\$ 339,928	5	\$ 197,747	5	\$ 219,446
	NC School of the Arts	6	\$ 193,261	8	\$ 252,234	3	\$ 89,671	5	\$ 160,439	8	\$ 291,591
	NC State University	16	\$ 974,204	20	\$ 1,096,860	23	\$ 1,335,508	14	\$ 910,682	17	\$ 1,274,464
	UNC Asheville					1	\$ 25,610				
	UNC Chapel Hill	39	\$ 6,683,673	10	\$ 591,298	13	\$ 510,331	4	\$ 162,475	6	\$ 165,351
	UNC Charlotte	4	\$ 142,584	6	\$ 223,264	5	\$ 189,877	4	\$ 159,178	4	\$ 156,088
	UNC General Administration	3	\$ 117,288	3	\$ 128,965	2	\$ 126,264	4	\$ 226,448	5	\$ 220,230
	UNC Greensboro	7	\$ 576,690	6	\$ 470,819	15	\$ 820,962	13	\$ 796,251	14	\$ 715,341
	UNC Hospitals	5	\$ 397,361	6	\$ 431,799	5	\$ 590,315	11	\$ 837,385	8	\$ 623,149
	UNC Pembroke			1	\$ 94,880			3	\$ 116,070	8	\$ 320,788
	UNC Wilmington	5	\$ 453,135	5	\$ 247,974	5	\$ 531,617	7	\$ 249,356	4	\$ 211,208
	Winston-Salem State University	4	\$ 235,826	4	\$ 210,274	3	\$ 183,115	5	\$ 195,010	3	\$ 257,307
	Total University System	112	\$ 10,866,351	97	\$ 4,958,894	102	\$ 5,601,196	102	\$ 5,223,768	115	\$ 6,023,932
Dept. of Community Colleges					1	\$ 35,000					
Dept. of Public Instruction	15	\$ 749,219	16	\$ 716,539	11	\$ 455,340	7	\$ 327,301	32	\$ 1,570,111	
Total Education	127	\$ 11,615,570	113	\$ 5,675,433	114	\$ 6,091,536	109	\$ 5,551,068	147	\$ 7,594,043	
General Government	Dept. of Administration	1	\$ 61,383			3	\$ 93,002	3	\$ 130,765		
	Dept. of Cultural Resources	1	\$ 25,000	2	\$ 92,273	1	\$ 41,538	3	\$ 95,604	5	\$ 236,682
	Dept. of Insurance	1	\$ 37,905	1	\$ 38,266	1	\$ 38,627	1	\$ 40,660	1	\$ 40,660
	Dept. of Secretary of State			1	\$ 50,857	1	\$ 30,137				
	Dept. of State Treasurer ¹	13	\$ 866,616	12	\$ 903,605	10	\$ 736,455	4	\$ 228,554	6	\$ 280,829
	Education Lottery					1	\$ 200,000	2	\$ 127,275	1	\$ 36,354
	Elections Board									1	\$ 138,493
	General Assembly	2	\$ 217,125	1	\$ 137,500	1	\$ 54,950	2	\$ 81,493	2	\$ 151,004
	Housing Finance Agency	2	\$ 150,468	3	\$ 249,208	2	\$ 175,820				
	Office of Information Technology Services	1	\$ 26,400							1	\$ 59,441
	Office of State Auditor	2	\$ 214,397								
	Office of State Controller	2	\$ 263,673	2	\$ 433,538	2	\$ 394,173	2	\$ 410,314	8	\$ 1,195,009
	Office of the Governor	5	\$ 358,010	1	\$ 28,838						
	State Ethics Commission	1	\$ 41,962			2	\$ 90,000				
	State Health Plan ¹					2	\$ 160,049	3	\$ 102,588		
	Total General Government	31	\$ 2,262,939	23	\$ 1,934,085	26	\$ 2,014,751	20	\$ 1,217,253	25	\$ 2,138,472

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FY 2009-FY 2013 Summary of Personal Services Contracts by State Agency

Legislative Appropriations Committee	State Agency	FY 2013		FY 2012		FY 2011		FY 2010		FY 2009	
		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Health and Human Services	Dept. of Health & Human Services-Central Management	10	\$ 913,043	6	\$ 592,229	8	\$ 602,265	6	\$ 316,234	8	\$ 698,885
	Dept. of Health & Human Services-Division of Aging	1	\$ 44,391	1	\$ 64,307						
	Dept. of Health & Human Services-Division of Child Development	9	\$ 363,901	5	\$ 239,298			1	\$ 29,346	1	\$ 34,500
	Dept. of Health & Human Services-Division of Health Services	1	\$ 71,600	2	\$ 139,762	3	\$ 237,153	4	\$ 196,430	5	\$ 322,389
	Dept. of Health & Human Services-Division of Information Resource Management			1	\$ 159,453	2	\$ 220,014	2	\$ 291,408		
	Dept. of Health & Human Services-Division of Medical Assistance	2	\$ 182,337	4	\$ 264,766	1	\$ 150,930	2	\$ 64,534	5	\$ 194,380
	Dept. of Health & Human Services-Division of Mental Health	4	\$ 157,017	6	\$ 413,674	7	\$ 351,427	7	\$ 321,296	8	\$ 432,859
	Dept. of Health & Human Services-Division of Public Health	1	\$ 43,709	2	\$ 94,334					3	\$ 135,583
	Dept. of Health & Human Services-Division of Social Services							1	\$ 107,652	2	\$ 63,035
	Dept. of Health & Human Services-Division of Vocational Rehabilitation Services	1	\$ 30,900								
	Total Health and Human Services	29	\$ 1,806,898	27	\$ 1,967,823	21	\$ 1,561,789	23	\$ 1,326,899	32	\$ 1,881,631
Justice and Public Safety	Administrative Office of Courts	52	\$ 2,028,086	48	\$ 1,857,170	46	\$ 1,708,663	50	\$ 1,922,298	49	\$ 1,725,294
	Dept. of Correction ²					177	\$ 9,024,232	6	\$ 461,312	6	\$ 289,908
	Dept. of Crime Control and Public Safety ²					57	\$ 2,084,726	58	\$ 1,792,165	63	\$ 2,189,652
	Dept. of Juvenile Justice & Delinquency Prevention ²					21	\$ 982,027	32	\$ 1,461,248	18	\$ 803,131
	Dept. of Public Safety ²	181	\$ 8,470,568	207	\$ 10,086,674						
	Dept. of Justice	3	\$ 179,889	8	\$ 427,688	9	\$ 617,214	197	\$ 10,410,735	200	\$ 10,495,390
	Indigent Defense Services	26	\$ 1,134,912	20	\$ 1,028,583	17	\$ 912,015	18	\$ 1,026,496	17	\$ 986,926
Total Justice & Public Safety	262	\$ 11,813,455	283	\$ 13,400,115	327	\$ 15,328,877	361	\$ 17,074,255	353	\$ 16,490,301	
Natural and Economic Resources	Dept. of Agriculture & Consumer Services	3	\$ 149,136	4	\$ 152,793	6	\$ 307,243	6	\$ 307,553	13	\$ 778,918
	Dept. of Commerce ³	3	\$ 215,309	8	\$ 1,232,918	9	\$ 1,682,681	8	\$ 1,959,576	6	\$ 781,428
	Dept. of Environmental & Natural Resources									2	\$ 142,625
	Dept. of Labor	2	\$ 117,900								
	Employment Security Commission ³					1	\$ 29,052				
Total Natural and Economic Resources	8	\$ 482,345	12	\$ 1,385,711	16	\$ 2,018,976	14	\$ 2,267,128	21	\$ 1,702,971	
Transportation	Dept. of Transportation ⁴	5	\$ 704,691	8	\$ 727,943	4	\$ 177,798	6	\$ 260,792	5	\$ 330,504
	Global Transpark Authority ⁴					1	\$ 95,211	1	\$ 34,086	1	\$ 125,471
	Total Transportation	5	\$ 704,691	8	\$ 727,943	5	\$ 273,009	7	\$ 294,877	6	\$ 455,975
TOTAL ALL AGENCIES	462	28,685,898	466	25,091,110	509	27,288,937	534	27,731,481	584	30,263,392	

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