

OSBM

PERSONAL SERVICES CONTRACTS
For Fiscal Year July 1, 2011-June 30, 2012

As Directed by Session Law 2007-322

March 2013



Prepared By:

Office of State Budget and Management
Office of State Personnel

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INTRODUCTION

Session Law 2007-322 and Chapter 143-64.70 of the North Carolina General Statutes require the Office of State Budget and Management (OSBM) and the Office of State Personnel (OSP) to report annually on the use of personal services contracts¹ by State departments, agencies, institutions, and other State entities, hereafter referred to as “State agencies”, that have annual expenditures greater than \$25,000. The report should include information on the type, number, duration, cost, and effectiveness of the personal services contracts. The report must be submitted to the Joint Legislative Commission on Governmental Operations Committee by March 15 of each year.

METHODOLOGY

OSBM requested State agencies to provide information on the personal services contracts which they used during fiscal year 2011-12, hereafter referred to as FY 2012, with expenditures greater than \$25,000. The requested information included general agency details, contract specifications, the nature of the services, the duration of the contracts, financial data, and other contractual information. The information submitted to OSBM by the State agencies, universities, and licensing boards was assembled, verified, and analyzed to ensure its completeness and accuracy. Additionally, the personal service contract data for previous fiscal year reports has been included in this report for comparative purposes.

BACKGROUND

The use of personal services contracts enables State agencies to acquire professional services for short term basis without creating state positions. These contracts provide agencies with an opportunity to obtain professional services that may be difficult to recruit for State positions. For example, the Department of Correction uses personal service contracts to provide physicians, nurses, chaplains, and other services to care for inmates. These types of contracts often require the contractors to work for extended periods of time, but for a limited number of hours each week. Because these type of contracts are often in remote locations and demanding work environments, these positions may be difficult to fill, and can be best addressed through personal services contracts. The OSP also contracts for the services of training instructors on limited basis for extended periods of time because of their specific expertise in certain educational areas. Personal services contracts are classified as “independent contractors” as defined by the Fair Labor Standards Act and the Internal Revenue Service. Therefore, State agencies do not have to pay the costs of health benefits, withholding taxes, Social Security contributions, workers’ compensation, and overtime.

While personal services contracts are an efficient and effective way for agencies to accomplish the specific needs and special skills, State agencies should be careful to not abuse or misuse this contracting method. It is also important for agencies to routinely evaluate their personal services contracts to ensure compliance with the Fair Labor Standards Act and Internal Revenue Service regulations, and applicable North Carolina Administrative Codes and procurement regulations.

RESULTS

Summary Tables and Charts

The tables and graphs on the following pages provide summary data on the types, number, and costs of personal services contracts for FY 2012, in addition to historical information for the four previous fiscal years. Appendix A provides the definitions for the types of personal services contracts.

¹ Title 01 North Carolina Administrative Code Chapter 05 Subchapter A .0112 states “...A service contract shall mean any agreement in which an independent contractor performs services requiring specialized knowledge, experience, expertise or similar capabilities for a state agency for compensation involving an expenditure of public funds. The services may include (by way of illustration, not limitation) services such as maintenance of buildings or equipment, auditing, film production, employee training and food services...”

Table 1 summarizes the personal services contract information for FY 2008-FY 2012 for contracts greater than \$25,000. The summary includes the number, costs, and duration of contracts as well as the number of contractors, average number of contracts per contractor, number of state employees overseeing the contracts, and the average length of contracts. The last column of Table 1 also shows a decrease in data for all categories between FY 2008-FY 2012 with the exception of average number of contracts per contractor. The average number of months for all contract categories decreased from 15.1 months for FY 2008 to 14.2 months for FY 2012.

Table 1–Summary of Personal Services Contracts for FY 2008-FY 2012

Description of Data	FY 2008	FY 2009	FY 2010	FY 2011	FY 2012	Percent Change FY 2008 to FY 2012
Total Number of Contracts > \$25,000	629	584	534	509	467	-25.8%
Total Paid on Contracts -- All Funding Sources	\$38,169,091	\$30,263,392	\$27,731,481	\$27,288,937	\$25,172,556	-34.0%
Total Paid on Contracts -- Only General Funds	\$28,247,681	\$23,252,580	\$22,066,862	\$21,861,793	\$19,459,141	-31.1%
Number of Individual Contractors	600	493	521	500	443	-26.2%
Average Number of Contracts per Contractor	1.048	1.18	1.02	1.02	1.054	0.6%
Number of State Employees Overseeing Contracts	298	246	257	240	218	-26.8%
Average Length of Contract (<i>in months</i>)	15.1	16.5	17.1	16.0	14.2	-6.0%

Table 2 shows the number of contracts, amounts spent, and average expenditure for personal services contracts by personal service category for FY 2012. The health and medical services type of contract accounted for 28.3% of the total contracts and 32.7% of the expenditures for FY 2012. Appendix B provides a breakdown for each agency.

Table 2–Personal Services Contracts by Type of Contract for FY 2012

Type of Contract	Number of Contracts	Percent of Contracts Issued	Amount Spent	Percent of Amount Spent	Average Cost per Contract
Health & Medical Services	132	28.3%	\$ 8,238,474	32.7%	\$ 62,413
Business & Financial Services	67	14.3%	\$ 3,391,507	13.5%	\$ 50,620
Other	62	13.3%	\$ 2,863,470	11.4%	\$ 46,185
Educational Services	46	9.9%	\$ 2,600,593	10.3%	\$ 56,535
Information Technology Services	30	6.4%	\$ 2,349,809	9.3%	\$ 78,327
Legal & Law Enforcement Services	32	6.9%	\$ 1,680,331	6.7%	\$ 52,510
Management Services	32	6.9%	\$ 1,203,590	4.8%	\$ 37,612
Architectural Services (professional & non)	24	5.1%	\$ 948,826	3.8%	\$ 39,534
Human Services	21	4.5%	\$ 933,400	3.7%	\$ 44,448
Engineering Professional Services	7	1.5%	\$ 310,175	1.2%	\$ 44,311
Communications & Media Services	5	1.1%	\$ 207,761	.8%	\$ 41,552
Transportation Services	4	.9%	\$ 206,916	.8%	\$ 51,729
Scientific Services	3	.6%	\$ 162,887	.6%	\$ 54,296
Interpretation Services	2	.4%	\$ 74,816	.3%	\$ 37,408
Grand Total	467	100.0%	\$ 25,172,556	100.0%	\$ 53,903

Table 3, on page 3, shows the personal services contracts for FY 2008-FY 2012 by type of contract, the amount expended, number of contracts, and average months per contract. The Health and Medical Services contracts consistently had the largest amount spent for all five fiscal years.

Table 3–Personal Services Contracts by Type of Contract for FY 2008-FY 2012

Type of Contract	FY 2008			FY 2009			FY 2010			FY 2011			FY 2012		
	Amount Spent *	No.	Average Months	Amount Spent *	No.	Average Months	Amount Spent *	No.	Average Months	Amount Spent *	No.	Average Months per Contract	Amount Spent *	No.	Average Months per Contract
Health & Medical Services	\$ 11.8	210	20.7	\$ 10.4	187	20.9	\$ 10.1	181	21.6	\$ 8.7	150	24.9	\$ 8.2	132	108.8
Business & Financial Services	\$ 4.9	53	9.7	\$ 2.4	35	15.2	\$ 3.9	46	11.1	\$ 3.1	29	11.7	\$ 3.4	67	23.2
Other Services	\$ 2.2	35	10.1	\$ 3.5	72	11.8	\$ 3.0	74	9.2	\$ 3.2	68	3.7	\$ 2.9	62	14.0
Educational Services	\$ 7.3	114	11.3	\$ 3.5	82	12.6	\$ 2.6	67	10.5	\$ 2.6	63	10.8	\$ 2.6	46	30.3
Inform. & Technology Services	\$ 4.9	54	14.4	\$ 3.3	45	18.5	\$ 2.2	29	18.9	\$ 2.5	36	19.6	\$ 2.3	30	22.2
Legal & Law Enforcemt Services	\$ 1.8	37	10.0	\$ 1.2	21	7.6	\$ 1.7	28	18.5	\$ 1.7	33	11.0	\$ 1.7	32	16.9
Management Services	\$ 1.6	39	12.8	\$ 1.7	34	12.1	\$ 4	12	11.0	\$ 1.2	31	12.8	\$ 1.2	32	17.1
Architect Services (prof. & non)	\$.8	15	11.0	\$.5	13	11.7	\$.5	12	11.3	\$.9	20	18.1	\$.9	24	12.3
Human Services	\$ 1.3	33	15.3	\$ 1.5	38	16.2	\$ 2.2	54	21.5	\$ 2.1	47	18.7	\$.9	21	14.6
Engineering Prof. Services	\$.8	16	9.5	\$.8	16	14.1	\$.5	11	15.9	\$.5	13	15.6	\$.3	7	4.0
Communicate & Media Services	\$.7	17	8.7	\$.7	15	10.6	\$.4	9	11.1	\$.3	9	11.7	\$.2	5	2.4
Transportation Services	\$.1	2	17.8	\$.1	3	15.9	\$.0	1	12.2	\$.2	3	15.9	\$.2	4	2.8
Scientific Services	\$.0	0	.0	\$.0	1	5.1	\$.2	5	7.3	\$.1	3	7.4	\$.2	3	3.1
Interpretation Services	\$.2	4	7.9	\$.7	22	10.1	\$.2	5	15.4	\$.2	4	10.4	\$.1	2	1.1
Total	\$ 38.2	629	15.1	\$ 30.2	584	16.5	\$ 27.7	534	17.1	\$ 27.3	509	16.0	\$ 25.1	467	14.2

*Dollars in Millions

Graph 1 shows the percent of the FY 2012 expenditures by type of contract. Health & Medical Services and Business & Financial Services used the most personal services contracts. Both accounted for 46.2% or \$11.6 million dollars of all contract expenditures.

Graph 1–Expenditure Percent by Personal Service Contract Type of Contract for FY 2012

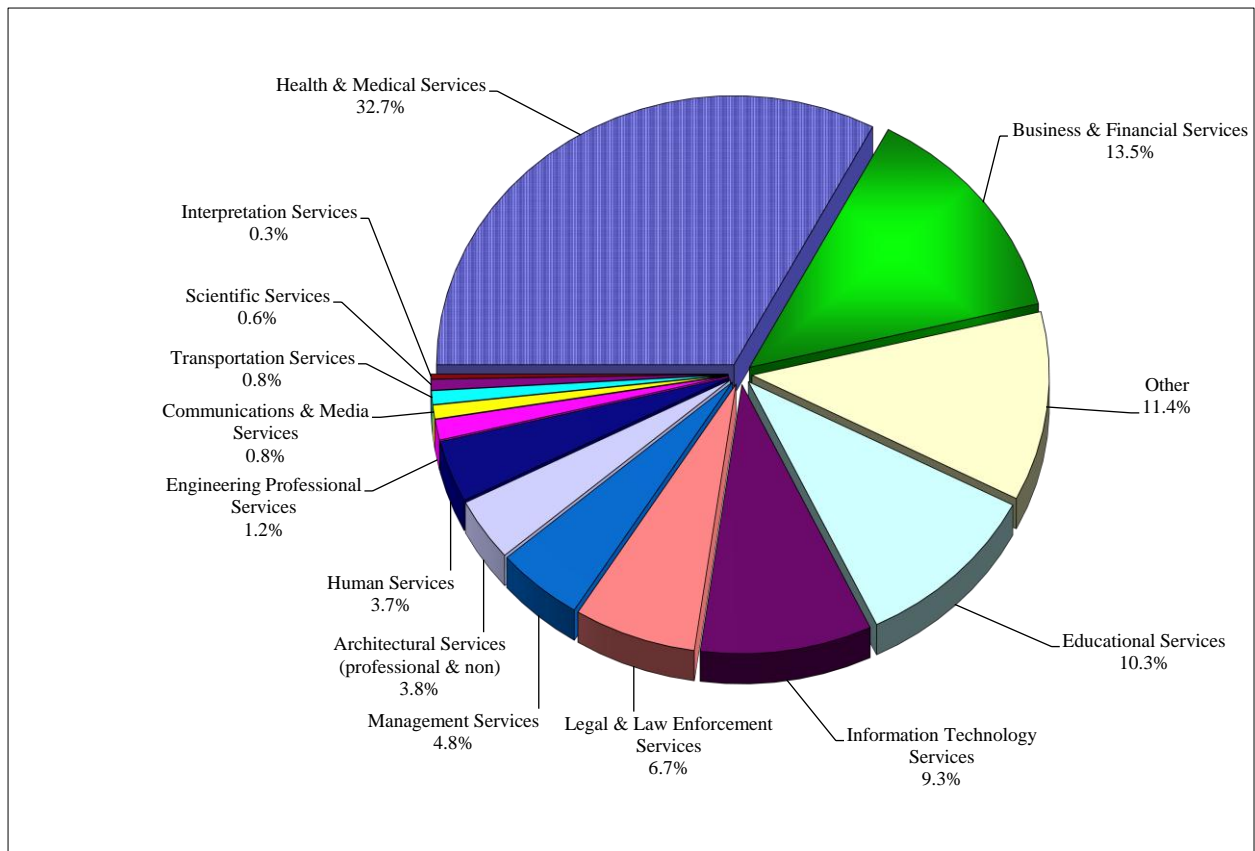


Table 4 shows the dollar amount expended and number of contracts by category for FY 2008-FY 2012. Justice & Public Safety category had the largest number of contracts and amount spent on personal services contracts. These contracts were primarily in the Department of Public Safety for providing health and medical services. Appendix C provides information at the agency level for FY 2008-FY 2012.

Table 4—Personal Services Contracts Legislative Appropriations Committee Category for FY 2008-FY 2012

Category	FY 2008		FY 2009		FY 2010		FY 2011		FY 2012	
	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *	Number of Contracts	Amount Spent *
Justice & Public Safety	338	\$ 16.9	353	\$ 16.5	361	\$ 17.1	327	\$ 15.3	283	\$ 13.4
Education-University System	137	\$ 9.1	115	\$ 6.0	102	\$ 5.2	102	\$ 5.6	97	\$ 5.0
General Government	33	\$ 3.7	25	\$ 2.1	20	\$ 1.2	26	\$ 2.0	24	\$ 2.0
Health & Human Services	27	\$ 1.4	32	\$ 1.9	23	\$ 1.3	21	\$ 1.6	27	\$ 1.9
Natural & Economic Resources	21	\$ 1.7	21	\$ 1.7	14	\$ 2.3	16	\$ 2.0	12	\$ 1.4
Transportation	7	\$.3	6	\$.5	7	\$.3	1	\$.04	8	\$.7
Education-Public Instruction	66	\$ 5.1	32	\$ 1.6	7	\$.3	11	\$.5	16	\$.7
Education-Community Colleges	0	\$ -	0	\$ -	0	\$ -	5	\$.3	0	\$ -
Total	629	\$ 38.2	584	\$ 30.2	534	\$ 27.7	509	\$ 27.3	467	\$ 25.1

*Dollars in Millions

Graph 2 shows the percent of expenditures by category for FY 2012. Justice & Public Safety accounted for 53.2% of all the personal services contract expenditures.

Graph 2- Percent of Expenditures by Legislative Appropriations Committee Category for FY 2012

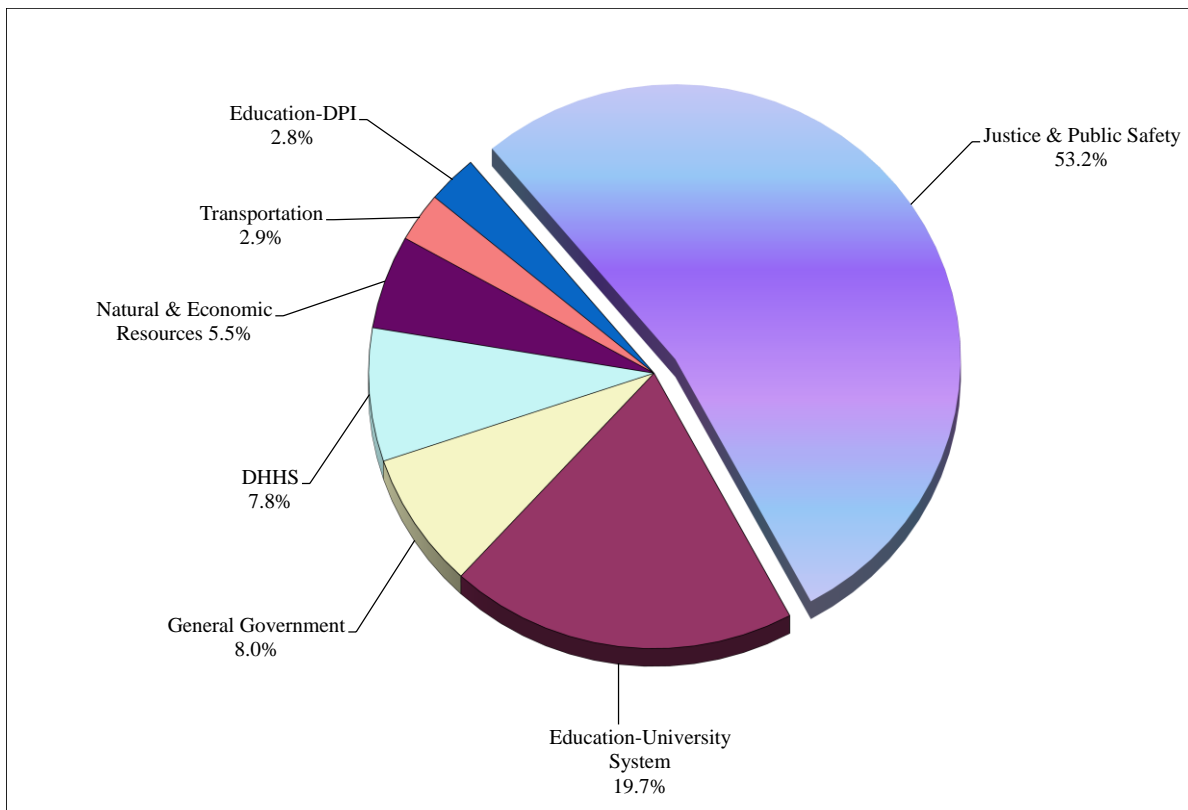


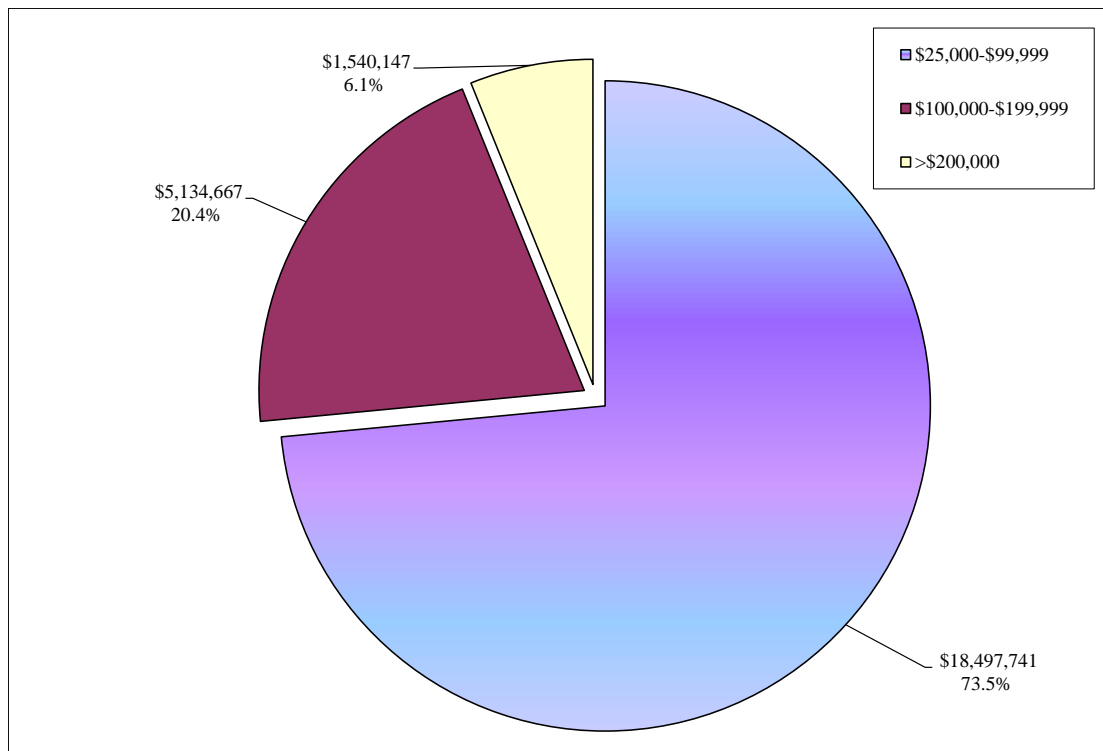
Table 5 shows the number of contracts and amount expended by dollar-range of type of contract for FY 2012.

Table 5 – Personal Services Contracts by Dollar-Range and Type of Contract for FY 2012

Type of Contract	\$25,000-\$99,999		\$100,000-\$199,999		\$200,000 and Over		Total	
	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent	Number of Contracts	Amount Spent
Health & Medical Services	114	\$ 5,526,116	18	\$ 2,712,358			132	\$ 8,238,474
Business & Financial Services	34	\$ 1,492,694	9	\$ 1,006,719	3	\$ 892,094	46	\$ 3,391,507
Other	65	\$ 2,608,587	2	\$ 254,883			67	\$ 2,863,470
Educational Services	61	\$ 2,488,086	1	\$ 112,507			62	\$ 2,600,593
Information Technology Services	21	\$ 908,421	6	\$ 793,335	3	\$ 648,053	30	\$ 2,349,809
Legal & Law Enforcement Services	31	\$ 1,542,271	1	\$ 138,060			32	\$ 1,680,331
Management Services	32	\$ 1,203,590					32	\$ 1,203,590
Architectural Services (professional & non)	21	\$ 948,826					21	\$ 948,826
Human Services	24	\$ 933,400					24	\$ 933,400
Engineering Professional Services	7	\$ 310,175					7	\$ 310,175
Communications & Media Services	5	\$ 207,761					5	\$ 207,761
Transportation Services	2	\$ 90,110	1	\$ 116,806			3	\$ 206,916
Scientific Services	4	\$ 162,887					4	\$ 162,887
Interpretation Services	2	\$ 74,816					2	\$ 74,816
Total	423	\$ 18,497,741	38	\$ 5,134,667	6	\$ 1,540,147	467	\$ 25,172,556

As shown in graph 3, the contracts ranging from \$25,000 to \$99,999 accounted for \$18.5 million (73.5%) of the total personal services contract expenditures for FY 2012. This range also accounted for the largest number of contracts awarded with 423 of the 467 contracts (90%) for FY 2012. The contracts of \$100,000 to \$199,000 accounted for \$5,134,667 (20.4%). The contracts greater than \$200,000 accounted for \$1,540,147 (6.1%).

Graph 3-Personal Service Contract Expenditures by Dollar-Range for FY 2012



The data reported to OSBM were also compiled by budget code categories to show the type of funds used for the personal services contracts. Table 6 shows the amounts and percent of expenditures for FY 2008-FY 2012. The General Funds account expenditures for FY 2012 represented 379 (77.3%) of the personal services contracts out of a total of 467 contracts. Overall, there was a net decrease of over \$12.9 million (-34.0%) in the personal services contract expenditures for FY 2008-FY 2012, with seven of the nine budget code funds having net decreases.

Table 6—Expenditures by Budget Code for Personal Service Contracts for FY 2008-FY 2012

Budget Code	FY 2008		FY 2009		FY 2010		FY 2011		FY 2012		Net Change from FY 2008 to FY 2012	
	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent	Amount	Percent
General Funds	\$ 28,247,682	74.0%	\$ 23,252,580	76.8%	\$ 22,066,862	79.6%	\$ 21,861,793	80.1%	\$ 19,459,141	77.3%	\$ (8,788,541)	-31.1%
Institutional Funds	\$ 3,622,724	9.5%	\$ 2,277,889	7.5%	\$ 2,371,283	8.6%	\$ 2,687,411	9.8%	\$ 2,248,717	8.9%	\$ (1,374,007)	-37.9%
Special Funds	\$ 4,019,027	10.5%	\$ 2,386,004	7.9%	\$ 1,309,124	4.7%	\$ 1,634,409	6.0%	\$ 1,911,501	7.6%	\$ (2,107,526)	-52.4%
Federal Funds	\$ 26,729	.1%	\$ 103,150	.4%	\$ 289,997	1.0%	\$ -	.0%	\$ -	.0%	\$ (26,729)	-100.0%
Capital Project Funds	\$ 55,000	.1%	\$ 110,039	.4%	\$ -	.0%	\$ -	.0%	\$ -	.0%	\$ (55,000)	-100.0%
Enterprise Funds	\$ 1,485,764	3.9%	\$ 1,338,253	4.4%	\$ 1,182,160	4.3%	\$ 832,315	3.1%	\$ 478,486	1.9%	\$ (1,007,278)	-67.8%
Trust and Agency Funds	\$ 196,465	.5%	\$ 122,744	.4%	\$ 89,820	.3%	\$ -	.0%	\$ 346,768	1.4%	\$ 150,303	76.5%
Service Funds	\$ 222,127	.6%	\$ 216,758	.7%	\$ 127,358	.5%	\$ -	.0%	\$ -	.0%	\$ (222,127)	-100.0%
Highway Funds	\$ 293,574	.8%	\$ 455,975	1.5%	\$ 294,877	1.1%	\$ 273,009	1.0%	\$ 727,943	2.9%	\$ 434,369	148.0%
TOTAL	\$ 38,169,091	100.0%	\$ 30,263,392	100.0%	\$ 27,731,481	100.0%	\$ 27,288,937	100.0%	\$ 25,172,556	100.0%	\$ (12,996,535)	-34.0%

APPENDIX A

DEFINITION OF TYPES OF PERSONAL SERVICE CONTRACTS

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APPENDIX A
DEFINITIONS OF TYPES OF PERSONAL SERVICES CONTRACTS

A Personal Services Contract is defined as:

An agreement for services provided by a professional individual on a temporary or occasional basis (e.g. engineer, speechwriter, nurse, accountant). This may also included advisory consulting services. People retained under the "Personal Services Contracts" umbrella include both those which have withholding by the state and those for whom the agency prepares a Form 1099.

Type of Service Contract	Description
Architectural Services (professional & non-professional)	Building design, interiors, space use, site planning, utilities architecture, energy management, landscape, flood control, drafting, and surveying.
Business & Financial Services	Accounting, auditing, cash management, financial advisory, investment consulting, collection services, and organizational and other general management consulting services.
Communications & Media Services	Motion picture/television/video/audio production, journalistic/professional writer services, speechwriting, graphic arts, and advertising services.
Educational Services	Teaching and instruction services, workshop facilitation, workshop organization and management, and course development.
Engineering Professional Services	Civil, geological, machine, electrical, structural, general, agricultural, foundation, energy management, and other engineering services.
Information Technology Services	Telecommunication consulting, Electronic Data Interchange, and applications and systems analysis.
Interpretation Services	Signing, interpreting, and translation.
Health & Medical Services	Physician, nursing, dental, mental health, x-ray, laboratory, pharmacy, audiology, hygiene, vaccination, and waste disposal.
Human Services	Barber/beautician, funeral services, referral services, working with special populations, counseling, case management, and community relations.
Legal & Law Enforcement Services	Attorneys, paralegal, other court-related services such as court stenography services, as well as security analysts, security personnel, and other law enforcement or correctional system specialists.
Management Services	Parking management, records management, insurance/risk management, exhibition/market management, building management, and waste management services.
Scientific Services	Laboratory services, sampling, leak detection, and other specialty services.
Transportation Services	Self-explanatory.
Other	All others.

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APPENDIX B

**FY 2012 PERSONAL SERVICES CONTRACT EXPENDITURES
BY LEGISLATIVE APPROPRIATIONS COMMITTEE & CONTRACT CATEGORY**

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APPENDIX B
FY 2012 Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category

Legislature Appropriations Committee	Agency Name	Total	Architect. Services (profess. & non-prof.)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
Education	Appalachian State University	\$ 86,647				\$ 86,647										
	East Carolina University	\$ 392,193				\$ 125,400		\$ 193,912		\$ 72,881						
	Elizabeth City State University	\$ 25,137				\$ 25,137										
	Fayetteville State University	\$ 236,755		\$ 115,640		\$ 90,000		\$ 31,115								
	NC A&T State University	\$ 68,688						\$ 68,688								
	NC School of Science & Math	\$ 401,107		\$ 99,678		\$ 80,600		\$ 108,579	\$ 44,781	\$ 67,469						
	NC School of the Arts	\$ 252,234		\$ 121,466		\$ 67,013							\$ 38,347	\$ 25,408		
	NC State University	\$ 1,096,860			\$ 36,900	\$ 299,938		\$ 301,077		\$ 179,328					\$ 202,877	\$ 76,740
	UNC Chapel Hill	\$ 591,298		\$ 448,650		\$ 39,703		\$ 75,000		\$ 27,945						
	UNC Charlotte	\$ 223,263		\$ 77,702			\$ 31,250				\$ 36,381			\$ 77,930		
	UNC General Administration	\$ 128,965		\$ 95,140						\$ 33,825						
	UNC Greensboro	\$ 470,820				\$ 83,469		\$ 303,825	\$ 83,526							
	UNC Hospitals	\$ 431,799		\$ 106,149	\$ 29,213			\$ 68,125		\$ 129,505			\$ 98,807			
	UNC Pembroke	\$ 94,880								\$ 94,880						
	UNC Wilmington	\$ 247,974						\$ 62,750		\$ 60,642	\$ 38,435				\$ 86,147	
	Winston-Salem State University	\$ 210,274			\$ 61,785	\$ 148,489										
	University System Total	\$ 4,958,894	\$ -	\$ 1,064,425	\$ 127,898	\$ 1,046,396	\$ 31,250	\$ 1,213,071	\$ 128,307	\$ 666,475	\$ 74,816	\$ -	\$ 137,154	\$ 306,215	\$ 162,887	\$ -
Dept. of Public Instructions	\$ 716,539		\$ 41,131		\$ 646,284		\$ 29,124									
Total	\$ 5,675,433	\$ -	\$ 1,105,556	\$ 127,898	\$ 1,692,680	\$ 31,250	\$ 1,242,195	\$ 128,307	\$ 666,475	\$ 74,816	\$ -	\$ 137,154	\$ 306,215	\$ 162,887	\$ -	
General Government	Dept. of Cultural Resources	\$ 92,273				\$ 45,000								\$ 47,273		
	Dept. of Insurance	\$ 38,266						\$ 38,266								
	Dept. of Secretary of State	\$ 50,857										\$ 50,857				
	Dept. of State Treasurer	\$ 903,605		\$ 370,688	\$ 26,527			\$ 191,000		\$ 315,390						
	General Assembly	\$ 137,500		\$ 137,500												
	Housing Finance Agency	\$ 249,208			\$ 53,336	\$ 195,872										
	Office of State Personnel	\$ 81,446										\$ 81,446				
	Office of the Governor	\$ 28,838		\$ 28,838												
Office of the State Controller	\$ 433,538								\$ 433,538							
Total	\$ 2,015,531	\$ -	\$ 537,026	\$ 79,863	\$ 240,872	\$ -	\$ 229,266	\$ -	\$ 748,928	\$ -	\$ 132,303	\$ -	\$ 47,273	\$ -	\$ -	
Health and Human Services	Division of Aging	\$ 64,307												\$ 64,307		
	Central Management	\$ 592,229		\$ 122,850					\$ 90,000	\$ 379,379						
	Division of Child Development	\$ 239,298				\$ 239,298										
	Division of Health Services	\$ 139,762						\$ 69,000						\$ 70,762		
	Division of Information Resource Management	\$ 159,453								\$ 159,453						
	Division of Medical Assistance	\$ 264,766						\$ 264,766								
	Division of Mental Health	\$ 413,674		\$ 300,374				\$ 73,300	\$ 40,000							
	Division of Public Health	\$ 94,334						\$ 94,334								
Total	\$ 1,967,823	\$ -	\$ 423,224	\$ -	\$ 239,298	\$ -	\$ 501,400	\$ 130,000	\$ 538,832	\$ -	\$ -	\$ -	\$ 135,069	\$ -	\$ -	

APPENDIX B
FY 2012 Personal Services Contract Expenditures by Legislative Appropriations Committee/Contract Category

Legislature Appropriations Committee	Agency Name	Total	Architect. Services (profess. & non)	Business & Financial Services	Commun. & Media Services	Educational Services	Engineer. Profess. Services	Health & Medical Services	Human Services	Inform. Technology Services	Interpret. Services	Legal & Law Enforce. Services	Mgmt. Services	Other	Scientific Services	Transport. Services
Justice and Public Safety	Administrative Office of the Courts-Indigent Defense Services	\$ 1,028,583										\$ 1,028,583				
	Administrative Office of the Courts-NC Judicial Branch of Government	\$ 1,857,170												\$ 1,857,170		
	Administrative Office of the Court Total	\$ 2,885,753	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 1,028,583	\$ -	\$ 1,857,170	\$ -	\$ -
	Dept. of Justice	\$ 427,688		\$ 31,721								\$ 395,967				
	Dept. of Public Safety	\$ 10,086,674	\$ 948,826	\$ 83,310		\$ 361,777	\$ 278,925	\$ 5,809,347	\$ 675,093	\$ 286,499		\$ 123,479	\$ 1,066,436	\$ 362,872		\$ 90,110
	Total	\$ 13,400,115	\$ 948,826	\$ 115,031	\$ -	\$ 361,777	\$ 278,925	\$ 5,809,347	\$ 675,093	\$ 286,499	\$ -	\$ 1,548,029	\$ 1,066,436	\$ 2,220,042	\$ -	\$ 90,110
Natural and Economic Resources	Dept. of Agriculture & Consumer Services	\$ 152,793		\$ 76,718						\$ 76,075						
	Dept. of Commerce	\$ 1,232,918		\$ 1,133,952		\$ 65,966				\$ 33,000						
	Total	\$ 1,385,711	\$ -	\$ 1,210,670	\$ -	\$ 65,966	\$ -	\$ -	\$ -	\$ 109,075	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Transportation	Dept. of Transportation	\$ 727,943						\$ 456,267						\$ 154,870		\$ 116,806
	Total	\$ 727,943	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 456,267	\$ -	\$ -	\$ -	\$ -	\$ -	\$ 154,870	\$ -	\$ 116,806
Grand Total		\$ 25,172,556	\$ 948,826	\$ 3,391,507	\$ 207,761	\$ 2,600,593	\$ 310,175	\$ 8,238,475	\$ 933,400	\$ 2,349,809	\$ 74,816	\$ 1,680,332	\$ 1,203,590	\$ 2,863,469	\$ 162,887	\$ 206,916
Percentage		100%	4%	13%	1%	10%	1%	33%	4%	9%	0%	7%	5%	11%	1%	1%

APPENDIX C

**FY 2008-FY 2012 SUMMARY OF
PERSONAL SERVICES CONTRACTS
BY STATE AGENCY**

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APPENDIX C
FY 2008-FY 2012 Summary of Personal Services Contracts by State Agency

Legislative Appropriations Committee	State Agency	FY 2012		FY 2011		FY 2010		FY 2009		FY 2008	
		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Education	University System										
	Appalachian State University	3	\$ 86,647	1	\$ 38,295	4	\$ 162,409	3	\$ 127,255	0	\$ -
	East Carolina University	8	\$ 392,193	8	\$ 342,002	11	\$ 543,480	13	\$ 554,622	24	\$ 2,674,492
	Elizabeth City State University	1	\$ 25,137	2	\$ 77,606	3	\$ 95,738	3	\$ 111,736	2	\$ 62,381
	Fayetteville State University	4	\$ 236,755	6	\$ 310,870	2	\$ 126,640	5	\$ 262,307	7	\$ 527,648
	NC A&T State University	1	\$ 68,688	0	\$ -	2	\$ 114,820	5	\$ 273,932	5	\$ 256,710
	NC Central University	0	\$ -	2	\$ 89,225	5	\$ 169,639	4	\$ 239,115	2	\$ 70,800
	NC School of Arts	8	\$ 252,234	3	\$ 89,671	5	\$ 160,439	8	\$ 291,591	5	\$ 226,735
	NC School of Science & Math	11	\$ 401,107	8	\$ 339,928	5	\$ 197,747	5	\$ 219,446	5	\$ 232,469
	NC State University	20	\$ 1,096,860	23	\$ 1,335,508	14	\$ 910,682	17	\$ 1,274,464	34	\$ 1,850,831
	UNC Asheville	0	\$ -	1	\$ 25,610	0	\$ -	0	\$ -	1	\$ 31,320
	UNC Chapel Hill	10	\$ 591,298	13	\$ 510,331	4	\$ 162,475	6	\$ 165,351	3	\$ 304,145
	UNC Charlotte	6	\$ 223,264	5	\$ 189,877	4	\$ 159,178	4	\$ 156,088	1	\$ 30,255
	UNC General Administration	3	\$ 128,965	2	\$ 126,264	4	\$ 226,448	5	\$ 220,230	18	\$ 668,449
	UNC Greensboro	6	\$ 470,819	15	\$ 820,962	13	\$ 796,251	14	\$ 715,341	11	\$ 577,205
	UNC Hospitals	6	\$ 431,799	5	\$ 590,315	11	\$ 837,385	8	\$ 623,149	6	\$ 720,630
	UNC Pembroke	1	\$ 94,880	0	\$ -	3	\$ 116,070	8	\$ 320,788	5	\$ 156,516
	UNC Wilmington	5	\$ 247,974	5	\$ 531,617	7	\$ 249,356	4	\$ 211,208	4	\$ 195,492
	Winston-Salem State University	4	\$ 210,274	3	\$ 183,115	5	\$ 195,010	3	\$ 257,307	4	\$ 479,985
	Total University System	97	\$ 4,958,894	102	\$ 5,601,196	102	\$ 5,223,768	115	\$ 6,023,932	137	\$ 9,066,063
Dept. of Community Colleges	0	\$ -	1	\$ 35,000	0	\$ -	0	\$ -	0	\$ -	
Dept. of Public Instruction	16	\$ 716,539	11	\$ 455,340	7	\$ 327,301	32	\$ 1,570,111	66	\$ 5,113,095	
Total Education	113	\$ 5,675,433	114	\$ 6,091,536	109	\$ 5,551,068	147	\$ 7,594,043	203	\$ 14,179,158	
General Government	Board of Elections	0	\$ -	0	\$ -	0	\$ -	1	\$ 138,493	1	\$ 30,000
	Dept. of Administration	0	\$ -	3	\$ 93,002	3	\$ 130,765	0	\$ -	0	\$ -
	Dept. of Cultural Resources	2	\$ 92,273	1	\$ 41,538	3	\$ 95,604	5	\$ 236,682	1	\$ 36,700
	Dept. of Insurance	1	\$ 38,266	1	\$ 38,627	1	\$ 40,660	1	\$ 40,660	3	\$ 97,066
	Dept. of Secretary of State	1	\$ 50,857	1	\$ 30,137	0	\$ -	0	\$ -	2	\$ 97,205
	Dept. of State Auditor	0	\$ -	0	\$ -	0	\$ -	0	\$ -	1	\$ 43,688
	Dept. of State Treasurer ¹	12	\$ 903,605	10	\$ 736,455	4	\$ 228,554	6	\$ 280,829	7	\$ 359,298
	Education Lottery	0	\$ -	1	\$ 200,000	2	\$ 127,275	1	\$ 36,354	0	\$ -
	General Assembly	1	\$ 137,500	1	\$ 54,950	2	\$ 81,493	2	\$ 151,004	1	\$ 157,447
	Housing & Finance Agency	3	\$ 249,208	2	\$ 175,820	0	\$ -	0	\$ -	0	\$ -
	Office of Information Technology Services	0	\$ -	0	\$ -	0	\$ -	1	\$ 59,441	4	\$ 147,104
	Office of State Controller	2	\$ 433,538	2	\$ 394,173	2	\$ 410,314	8	\$ 1,195,009	11	\$ 2,648,893
	Office of State Personnel	1	\$ 81,446	0	\$ -	0	\$ -	0	\$ -	0	\$ -
	Office of the Governor	1	\$ 28,838	0	\$ -	0	\$ -	0	\$ -	2	\$ 56,293
	State Ethics Commission	0	\$ -	2	\$ 90,000	0	\$ -	0	\$ -	0	\$ -
	State Health Plan ¹	0	\$ -	2	\$ 160,049	3	\$ 102,588	0	\$ -	0	\$ -
	Total General Government	24	\$ 2,015,531	26	\$ 2,014,751	20	\$ 1,217,253	25	\$ 2,138,472	33	\$ 3,673,694

1. State Health Plan transferred to Department of the State Treasure in FY2012.

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		Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount	Number of Contracts	Amount
Justice and Public Safety	Administrative Office of Courts-Indigent Defense Services	20	\$ 1,028,583	17	\$ 912,015	18	\$ 1,026,496	17	\$ 986,926	16	\$ 711,840
	Administrative Office of Courts-NC Judicial Branch of Government	48	\$ 1,857,170	46	\$ 1,708,663	50	\$ 1,922,298	49	\$ 1,725,294	1	\$ 33,000
	Administrative Office of the Courts Total	68	2,885,753	63	2,620,678	68	2,948,795	66	2,712,220	17	744,840
	Dept. of Justice	8	\$ 427,688	9	\$ 617,214	197	\$ 10,410,735	200	\$ 10,495,390	254	\$ 13,490,955
	Dept. of Correction ²	0	0	177	\$ 9,024,232	6	\$ 461,312	6	\$ 289,908	5	\$ 420,305
	Dept. of Crime Control and Public Safety ²	0	\$ -	57	\$ 2,084,726	58	\$ 1,792,165	63	\$ 2,189,652	55	\$ 1,955,183
	Dept. Of Juvenile Justice & Delinquency Prevention ²	0	\$ -	21	\$ 982,027	32	\$ 1,461,248	18	\$ 803,131	7	\$ 304,038
	Dept. of Public Safety ²	207	\$ 10,086,674	0	\$ -	0	\$ -	0	\$ -	0	\$ -
Total Justice & Public Safety	283	\$ 13,400,115	327	\$ 15,328,877	361	\$ 17,074,255	353	\$ 16,490,301	338	\$ 16,915,321	
Health and Human Services	Division of Aging	1	\$ 64,307	0	\$ -	0	\$ -	0	\$ -	0	\$ -
	Central Administration	6	\$ 592,229	8	\$ 602,265	6	\$ 316,234	8	\$ 698,885	9	\$ 427,887
	Division of Child Development	5	\$ 239,298	0	\$ -	1	\$ 29,346	1	\$ 34,500	1	\$ 31,570
	Division of Health Services	2	\$ 139,762	3	\$ 237,153	4	\$ 196,430	5	\$ 322,389	4	\$ 209,463
	Division of Information Resource Management	1	\$ 159,453	2	\$ 220,014	2	\$ 291,408	0	\$ -	0	\$ -
	Division of Medical Assistance	4	\$ 264,766	1	\$ 150,930	2	\$ 64,534	5	\$ 194,380	1	\$ 28,619
	Division of Mental Health	6	\$ 413,674	7	\$ 351,427	7	\$ 321,296	8	\$ 432,859	6	\$ 293,533
	Division of Public Health	2	\$ 94,334	0	\$ -	0	\$ -	3	\$ 135,583	2	\$ 145,820
	Division of Social Services	0	\$ -	0	\$ -	1	\$ 107,652	2	\$ 63,035	4	\$ 228,724
Total Health and Human Services	27	\$ 1,967,823	21	\$ 1,561,789	23	\$ 1,326,899	32	\$ 1,881,631	27	\$ 1,365,616	
Natural and Economic Resources	Dept. of Agriculture & Consumer Services	4	\$ 152,793	6	\$ 307,243	6	\$ 307,553	13	\$ 778,918	14	\$ 727,395
	Dept. of Commerce ³	8	\$ 1,232,918	9	\$ 1,682,681	8	\$ 1,959,576	6	\$ 781,428	6	\$ 952,043
	Dept. of Environmental & Natural Resources	0	\$ -	0	\$ -	0	\$ -	2	\$ 142,625	1	\$ 62,291
	Employment Security Commission ³	0	\$ -	1	\$ 29,052	0	\$ -	0	\$ -	0	\$ -
Total Natural and Economic Resources	12	\$ 1,385,711	16	\$ 2,018,976	14	\$ 2,267,128	21	\$ 1,702,971	21	\$ 1,741,729	
Transportation	Dept. of Transportation ⁴	8	\$ 727,943	4	\$ 177,798	6	\$ 260,792	5	\$ 330,504	5	\$ 209,574
	Global Transpark Authority ⁴	0	\$ -	1	\$ 95,211	1	\$ 34,086	1	\$ 125,471	2	\$ 84,000
	Total Transportation	8	\$ 727,943	5	\$ 273,009	7	\$ 294,877	6	\$ 455,975	7	\$ 293,574
TOTAL ALL AGENCIES	467	\$ 25,172,556	509	\$ 27,288,937	534	\$ 27,731,481	584	\$ 30,263,392	629	\$ 38,169,091	

2. The Departments of Correction, Crime Control and Public Safety, and Juvenile Justice and Delinquency Prevention consolidated to create the Department of Public Safety in FY2012.

3. Employment Security Commission merged into the Department of Commerce in FY2012.

4. Global Transpark Transferred into the Department of Transportation in FY2012.