

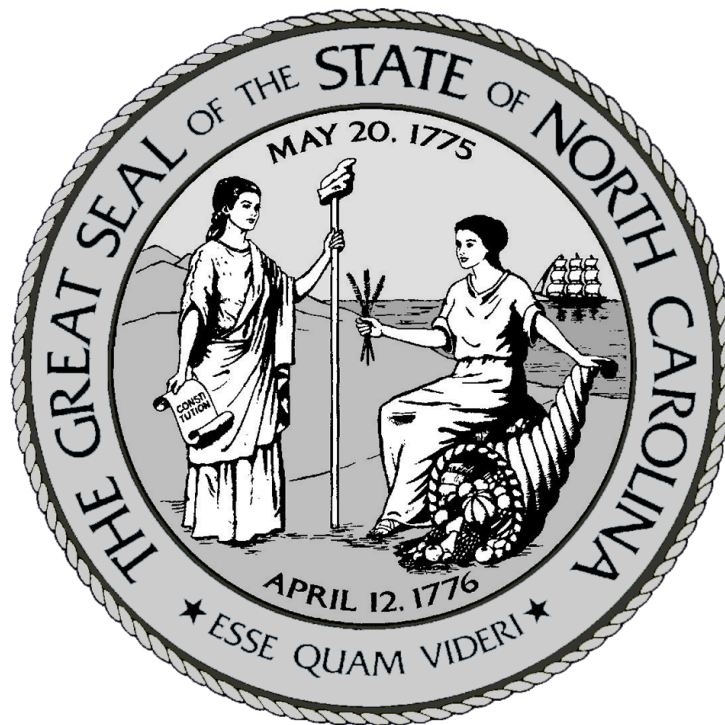
The North Carolina State Budget

RECOMMENDED OPERATING BUDGET

with Performance Management Information

2009-2011

Health and Human Services
Volume 3



Beverly Eaves Perdue
Governor

The North Carolina State Budget

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Health and Human Services
Volume 3

Office of State Budget and Management
Office of the Governor
Raleigh, North Carolina

www.osbm.state.nc.us

Charles E. Perusse, State Budget Director
David Brown, Deputy Director for Budget
Jonathan Womer, Deputy Director for Management

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<http://www.osbm.state.nc.us>

Preface

The North Carolina State Budget: Recommended Operating Budget with Performance Management Information, 2009-2011 reflects the work of multiple people within the Office of State Budget and Management (OSBM) and the Office of Economic Recovery and Investment. Budget administrators and analysts, economists, technical staff, and paraprofessionals are listed below by administrative area.

This document is available online at www.osbm.state.nc.us. For additional information about its contents, please contact the appropriate administrator at the e-mail address cited below or by telephone at 919/807-4700. The mailing address for OSBM is 20320 Mail Service Center, Raleigh, NC 27699-0320.

Office of State Budget and Management

Charles Perusse, State Budget Director (charles.perusse@osbm.nc.gov)

Debbie Young, Special Assistant to State Budget Director (debbie.young@osbm.nc.gov)

Business Office

Arnetha Dickerson, Business Officer (arnetha.dickerson@osbm.nc.gov)

Frances Doak, Accounting Technician (frances.doak@osbm.nc.gov)

Laveta Pickett, Accounting Technician (laveta.pickett@osbm.nc.gov)

Human Resources

Ursula Hairston, Human Resources Director (ursula.hairston@osbm.nc.gov)

Shelia Stewart, Human Resources Coordinator (shelia.stewart@osbm.nc.gov)

Tonya Austin, Human Resources Associate (tonya.austin@osbm.nc.gov)

Internal Audit

Barbara Baldwin, Internal Audit Manager (barbara.baldwin@osbm.nc.gov)

Michele Evans, Internal Auditor (michele.evans@osbm.nc.gov)

Regina Hill, Internal Auditor – Information Systems (regina.hill@osbm.nc.gov)

Courtney Michelle, Internal Auditor (courtney.michelle@osbm.nc.gov)

Quality Assurance

vacant, Director of Quality Assurance

Betty Haley, Research Assistant (betty.haley@osbm.nc.gov)

David Brown, Deputy Director for Budget (david.brown@osbm.nc.gov)

Kela Lockamy, Executive Assistant to Deputy State Budget Directors
(kela.lockamy@osbm.nc.gov)

Julie Mitchel, Associate State Budget Director (julie.mitchel@osbm.nc.gov)

Susie Esealuka, Team Assistant (susie.esealuka@osbm.nc.gov)

Pat Taylor, Team Assistant (pat.taylor@osbm.nc.gov)

Education

Elizabeth Grovenstein, Assistant State Budget Officer

(elizabeth.grovenstein@osbm.nc.gov)

Pam Leaman, Budget Analyst (pam.leaman@osbm.nc.gov)

Bryan Conrad, Budget Analyst (bryan.conrad@osbm.nc.gov)

Joyce Wallace, Budget Analyst (joyce.wallace@osbm.nc.gov)

vacant, Budget Analyst

Health and Human Services

Jennifer Hoffmann, Assistant State Budget Officer (jennifer.hoffmann@osbm.nc.gov)

Pam Kilpatrick, Budget Analyst (pam.kilpatrick@osbm.nc.gov)

Kari Barsness, Budget Analyst (kari.barsness@osbm.nc.gov)

Melvin Lee, Budget Analyst (melvin.lee@osbm.nc.gov)

Wayne Williams, Budget Analyst (wayne.williams@osbm.nc.gov)

Infrastructure

Jim Lora, Assistant State Budget Officer (jim.lora@osbm.nc.gov)

Adam Breuggemann, Budget Analyst (adam.breuggemann@osbm.nc.gov)

Kristen Crosson, Budget Analyst (kristen.crosson@osbm.nc.gov)

Jennifer Wimmer, Budget Analyst (jennifer.wimmer@osbm.nc.gov)

Sarah Porper, IT Budget Analyst (sarah.porper@osbm.nc.gov)

Justice and Public Safety / Natural and Environmental Resources / General Government

Sheryl Kelly, Assistant State Budget Officer (sheryl.kelly@osbm.nc.gov)

Donna Cox, Budget Analyst (donna.cox@osbm.nc.gov)

Aaron Gallagher, Budget Analyst (aaron.gallagher@osbm.nc.gov)

Jack Hubbard, Budget Analyst (jack.hubbard@osbm.nc.gov)

Alicia James, Budget Analyst (alicia.james@osbm.nc.gov)

Trevor Minor, Budget Analyst (trevor.minor@osbm.nc.gov)

Cheryl Reed, Budget Analyst (cheryl.reed@osbm.nc.gov)

Transportation

Mercidee Benton, Assoc. State Budget Officer for Transportation

(mercidee.benton@osbm.nc.gov)

Jonathan Womer, Deputy Director for Management (jonathan.womer@osbm.nc.gov)
Kela Lockamy, Executive Assistant to Deputy State Budget Directors
(kela.lockamy@osbm.nc.gov)

Demographic and Economic Analysis

Nathan Knuffman, Associate State Budget Officer (Nathan.Knuffman@osbm.nc.gov)
Warren Plonk, Economic Analyst (warren.plonk@osbm.nc.gov)
Will Crumbley, Economic Analyst (william.crumbley@osbm.nc.gov)
Jennifer Song, State Demographer (jennifer.song@osbm.nc.gov)

Government Evaluation and Review

Anne Bander, Assistant State Budget Officer (anne.bander@osbm.nc.gov)
Angela Houston, Management Analyst (angela.y.houston@osbm.nc.gov)
Philip Bartholomew, Management Analyst (philip.bartholomew@osbm.nc.gov)
Donald Crooke, Management Analyst (donald.crooke@osbm.nc.gov)
John Leskovec, Management Analyst (john.leskovec@osbm.nc.gov)
Joe Turlington, Management Analyst (joe.turlington@osbm.nc.gov)

Strategic Management

Erin Matteson, Associate State Budget Officer (erin.matteson@osbm.nc.gov)
Bill Stockard, Management Analyst (bill.stockard@osbm.nc.gov)
Bob Coats, Business and Technology Applications Analyst (bob.coats@osbm.nc.gov)
Brandon James, Management Analyst (brandon.james@osbm.nc.gov)
Joe White, Management Analyst (joseph.white@osbm.nc.gov)

Technology and Data Services

Joel Sigmon, Assistant State Budget Officer (joel.sigmon@osbm.nc.gov)
Francine Stephenson, Business and Technology Applications Specialist
(francine.stephenson@osbm.nc.gov)
Wayne Crews, Business and Technology Applications Specialist
(wayne.crews@osbm.nc.gov)
Agness Gunter, Business and Technology Applications Specialist
(agness.gunter@osbm.nc.gov)
Paula Jones, Business and Technology Applications Analyst (paula.a.jones@osbm.nc.gov)
Ernest Pecounis, Business and Technology Applications Analyst
(ernest.pecounis@osbm.nc.gov)
Lucy Ringland, Technical Editor/Applications Analyst (lucy.ringland@osbm.nc.gov)
Paul Young, Business and Technology Applications Specialist (paul.young@osbm.nc.gov)

Office of Economic Recovery and Investment

Dempsey Benton, Director (dempsey.benton@osbm.nc.gov)

Introduction

Operating budget by department

The North Carolina State Budget: Recommended Operating Budget with Performance Management Information, 2009-2011 is a six-volume document that details Governor Perdue's recommended fiscal plan for the budgets of each department in the State of North Carolina for the upcoming biennium.

Performance management information

Integrated with the budget details for each department are mission statements, goals, strategies, fund descriptions, key services supported by each fund, costs of services in dollars and staff, and performance measures. These performance management elements are intended to improve the reader's understanding of why an agency exists, what the agency does, how much money its services cost, and how effective its services are. The inclusion of these elements is part of the effort to provide members of the North Carolina General Assembly and the public with expanded budget and operational information. Ultimately, this information is designed to improve funding, planning, and management decisions in state government.

Line item details

Line item base budget details for all budgeted budget codes and their component funds are available in PDF files on the Web site of the Office of State Budget and Management, www.osbm.state.nc.us.

Order of presentation, explanation of codes

For publication of the recommended operating budget, the various departments are grouped by function within the following volumes:

Volume 1: Education

Volume 2: General Government

Volume 3: Health and Human Services

Volume 4: Justice and Public Safety

Volume 5: Natural and Economic Resources

Volume 6: Transportation

Each volume is organized by department, by budget code, and then by fund code. Each department is assigned a unique five-digit budget code or set of budget codes (except for the Department of Health and Human Services, which has a unique budget code for each of its divisions). Each budget code is further divided into four-character fund codes that represent a group of activities for accounting purposes.¹ Within budget codes, the recommended base budget is presented in order by fund code. For budget code 14300, Department of Environmental and Natural Resources, funds are presented within each division.

Presentation of base budget and recommended adjustments

The governor's recommended operating budget is comprised of two distinct components: 1) the base budget, or continuation budget, which enables a department to continue existing services and activities at current levels, and 2) recommended adjustments to the base budget, which include new programs and expansions to current activities, as well as reductions and eliminations. The base budget combined with any recommended adjustments yields the recommended operating budget for the biennium.

For each budget code in the General Fund that begins with "1" and for budget codes in Transportation, Reserves and Transfers, and Capital Improvements, summarized information shows recommended changes to the base budget's appropriations and positions. Brief descriptions of

1. For example, the Department of Environment and Natural Resources (budget code 14300) has numerous fund codes corresponding to each of its programs. The budget for the Division of Marine Fisheries appears in three fund codes, one for each of its major activities, administration (14300-1315), research (14300-1320), and law enforcement (14300-1325).

recommended adjustments to the base budget are included with associated costs in dollars and positions. These costs are specified as recurring or nonrecurring. This section of information for the budget code is titled "Governor's Recommended Adjustments to Base Budget."

Following the display of recommendations for adjustments to the base budget² is a section for the budget code titled "Base Budget and Performance Management Information." This base budget section begins with graphs of expenditure and position histories that illustrate funding and staffing trends over the last several years.

The graphs are followed by a summary base budget table. The summary base budget table displays the requirements, receipts, appropriation, and positions for the following:

- Actual expenditures for FY 2007-08 (the most recent year for which actual information is available)
- Certified budget for FY 2008-09
- Authorized budget for FY 2008-09
- Allowable adjustments, such as inflationary increases, to the current authorized budget for each fiscal year of the 2009-11 biennium
- Totals for each fiscal year of the 2009-11 biennium

Following the base budget table for a budget code is a display of base budget information for each fund within the budget code, in order by fund code. The presentation for each fund begins with a base budget for the fund followed by a fund description that explains the major activities being funded.

Performance management information presented with base budget

To explain and justify the expenditure of state taxpayers' money, agencies have prepared supporting performance management information. This information includes service statements; actual requirements (expenditures) and personnel requirements (FTEs) for each service statement; and performance measures that tie specifically to

2. For budget codes that do not begin with "1", there are no adjustments to the base budget except for transportation budget codes.

the services provided in the fund. The positions are those actually budgeted, not necessarily those filled.

Further information

Questions about *The North Carolina State Budget: Recommended Operating Budget with Performance Management Information, 2009-2011* or requests for further information may be directed to the Office of State Budget and Management, 20320 Mail Service Center, Raleigh, NC 27699-0320, or telephone (919) 807-4700. The document may also be downloaded from the Office of State Budget and Management's Web site at www.osbm.state.nc.us.

Glossary

Agency Indicators. Quantifiable measures that reflect outcomes critical to an agency's success. These indicators ideally help to determine whether an agency is making progress towards its goals and fulfilling its mission.

Authorized budget. The certified budget plus revisions delegated to the Office of State Budget and Management and to agencies by the State Budget Act (G.S. 143C).

Base budget. That portion of the recommended operating budget that maintains existing, authorized levels of recurring services in agencies for a biennium. The base budget, or continuation budget, is based on the current year's authorized budget and may be adjusted for inflationary increases, annualization of programs, reductions for nonrecurring programs, and adjustments for receipts. The State Budget Act specifically mandates that enrollment increases in public schools, community colleges, and the university system be reflected in the continuation budget submitted for the legislature's review.

Budget code. A five-digit number established for budgetary reporting. This number generally identifies major fund types and the responsible entity for reporting. The first digit is the fund type. Budget codes are established for the following fund types:

-
- 0xxxx - Institutional Funds Revenue
 - 1xxxx - General Fund
 - 2xxxx - Special Funds
 - 3xxxx - Federal Funds
 - 4xxxx - Capital Project Funds
 - 5xxxx - Enterprise Funds
 - 6xxxx - Trust and Agency Funds
 - 7xxxx - Service Funds
 - 8xxxx - Highway Funds

Certified budget. The budget as enacted by the General Assembly, including adjustments made for (1) distributions to state agencies from statewide reserves appropriated by the General Assembly, (2) distributions of reserves appropriated to a specific agency by the General Assembly, and (3) organizational or budget changes directed by the legislature but left to the governor to carry out.

Continuation budget. Another term for the base budget.

Expansion budget. That portion of the recommended operating budget that presents additional operating funds for expansion of existing services or activities, including inflationary costs for the state's share of locally-operated programs, new programs, or pilot projects; proposals to change a statutorily-controlled program by redirecting funds from one program to another; one-time major equipment purchases; and continued phase-in of new programs initiated in a previous biennium.

FTE (full-time equivalent). FTE is the percentage of time that a staff member works represented as a decimal.

Fund code. A four-character code that, with its parent budget code, uniquely identifies the fund. Most budget codes have multiple fund codes that may reflect a department's organizational structure and are created for each division within a department and/or for specific programs or purposes of a division. Fund codes are established as a result of authorization by the General Assembly; changes in the accounting standards; authorizations by OSBM; and/or grant awards from federal, state, local, or private agencies, or from individuals.

Fund description. A description of the purpose of the programs, services, activities, or functions funded in the fund code. It provides justification for the expenditure of the funds.

Goal. A broad statement of what a department wants to achieve over a long period of time. Goals explain how an agency fulfills its mission.

Mission statement. A description of an organization's basic purpose (its fundamental reason for being) that specifies its major functional role and communicates its values.

Performance measure. A quantitative characterization of the quality of, need for, or impact of a service provided, ideally to help determine whether a desired outcome has been attained.

Recommended operating budget. Total of the base budget and recommended expansions and reductions submitted by the governor to the General Assembly for a biennium beginning with an odd-numbered year, for example, 2009-11. The six budget volumes described previously do not include the capital improvements budget, which is found in the accompanying budget publication entitled, *The North Carolina State Budget: Summary of Recommendations*. Also, a Six-Year Capital Improvements Plan that supports and explains all proposed capital improvements is prepared as a separate document.

Service analysis. A description of the relationship between an activity supported by a fund or budget code and the dollars and personnel allocated to those activities.

Service statement. A description of the set of specific activities, lines of business, or work processes that are funded by a particular budget code or fund code.

Strategies. Actions the agency will take over the next few years in order to make progress toward achieving identified goals. Strategies serve as a foundation for decisions regarding budget expansions and reductions, resource allocations, and operational changes.

Department of Health and Human Services

Mission

To provide efficient services that enhance the quality of life of North Carolina individuals and families so that they have opportunities for healthier and safer lives resulting ultimately in the achievement of economic and personal independence.

Governor's Recommended Adjustments to Base Budget

Department of Health and Human Services (144xx)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$18,044,751,536	\$18,945,866,755
Receipts	<u>\$12,508,406,597</u>	<u>\$13,139,305,810</u>
Appropriation	\$5,536,344,939	\$5,806,560,945
Recommended Adjustments		
Requirements	(\$196,800,547)	(\$408,384,178)
Receipts	<u>\$54,917,915</u>	<u>(\$150,970,965)</u>
Appropriation	(\$251,718,462)	(\$257,413,213)
Total		
Requirements	\$17,847,950,989	\$18,537,482,577
Receipts	<u>\$12,563,324,512</u>	<u>\$12,988,334,845</u>
Recommended Appropriation	<u>\$5,284,626,477</u>	<u>\$5,549,147,732</u>
<hr/>		
Positions		
Base Budget Positions	18,781.800	18,904.300
Reductions	(270.000)	(393.500)
Expansion	<u>179.750</u>	<u>5.000</u>
Recommended Positions	<u>18,691.550</u>	<u>18,515.800</u>

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$478,620,150)	(\$560,971,023)
Receipts	(268,748,330)	(316,800,140)
Appropriation	(\$209,871,820)	(\$244,170,883)
Positions	(270.000)	(393.500)

Nonrecurring

Requirements	(\$40,878,625)	(\$39,428,761)
Receipts	23,668,983	4,839,643
	<hr/>	<hr/>
Appropriation	(\$64,547,608)	(\$44,268,404)
Positions	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$39,318,811	\$95,396,456
Receipts	20,021,551	64,370,382
	<hr/>	<hr/>
Appropriation	\$19,297,260	\$31,026,074
Positions	179.750	5.000
Nonrecurring		
Requirements	\$283,379,417	\$96,619,150
Receipts	279,975,711	96,619,150
	<hr/>	<hr/>
Appropriation	\$3,403,706	-
Positions	-	-

**Total Recommended Adjustments for
 Department of Health and Human Services
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$439,301,339)	(\$465,574,567)
Receipts	(248,726,779)	(252,429,758)
Appropriation	(\$190,574,560)	(\$213,144,809)
Positions	(90.250)	(388.500)
Nonrecurring		
Requirements	\$242,500,792	\$57,190,389
Receipts	303,644,694	101,458,793
Appropriation	(\$61,143,902)	(\$44,268,404)
Positions	-	-
Total Appropriation Adjustments	(\$251,718,462)	(\$257,413,213)
Total Position Adjustments	(90.250)	(388.500)

Division of Central Management and Support

Mission

Direct operations and provide support services for the department's agencies and institutions to ensure that legislative and administrative policies are properly implemented to the benefit of citizens, clients, and employees and to promote health care access and self-sufficiency for underserved, low income, and developmentally disabled people.

Goals

Improve program and service delivery by clearly identifying business needs and leveraging resources along with focusing efforts on internal process improvement in order to meet the internal needs of the organization and the needs of service recipients.

Enhance internal and external communications through targeted marketing efforts and the application of best practices to better inform constituents about DHHS services, to maintain focus on customer service, and to promote the department's agenda to the general public.

Advance human resource practices that are required to build a high performance workforce for tomorrow.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Annual voluntary turnover rate for the department ¹	9.8%	9.8%	10.5%	8.9%	12.9%
Percentage of the network infrastructure supporting DHHS's most critical facilities that require replacement ²	-	-	-	-	81.0%
Uninsured adults, with incomes below 200% of the Federal poverty level, who are provided a medical home, care coordination, and primary care services	-	-	29,995	33,253	-

¹Percentage is a formula-based estimate from SAP due to system changes and unavailability of data between PMIS and BEACON.

²This is a new measure tracking one component of significant infrastructure needs. This does not include the computer inventory of over 5,400 computers/laptops and 604 network printers and other equipment reaching end of life by June 2009.

Governor's Recommended Adjustments to Base Budget

Division of Central Management and Support (14410)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$168,712,454	\$167,584,156
Receipts	<u>\$94,697,591</u>	<u>\$93,101,563</u>
Appropriation	\$74,014,863	\$74,482,593
Adjustments		
Requirements	\$168,196,282	\$58,920,727
Receipts	<u>\$191,832,255</u>	<u>\$81,051,700</u>
Appropriation	(\$23,635,973)	(\$22,130,973)
Total		
Requirements	\$336,908,736	\$226,504,883
Receipts	<u>\$286,529,846</u>	<u>\$174,153,263</u>
Recommended Appropriation	<u>\$50,378,890</u>	<u>\$52,351,620</u>
<hr/>		
Positions		
Base Budget Positions	732.250	732.250
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>732.250</u>	<u>732.250</u>

Appropriation Items -- Recommended Adjustments

Reductions

	<u>2009-10</u>	<u>2010-11</u>
1. Truth in Budgeting Reform (Budget Salaries at Less Than 100 Percent)		
The Governor recommends budgetary reform by reducing the budgeted salaries to more closely match the actual salary expenditures. Budget line items which normally use lapsed salaries for the agency's operation were increased in the continuation budget. These changes along with budget flexibility will allow the agency to operate more efficiently. General Fund salaries in the Department of Health and Human Services are recommended to be reduced by 6%.		
Appropriation	(\$22,502,488)	(\$22,502,488)
2. Reduce Operating Budgets		
This recommendation reduces the operating budgets in the Central Management and Support divisions and offices, including the Secretary's Office, the administrative and support section, the Controller's Office, and the Office of Rural Health and Community Care.		
Requirements	(\$490,324)	(\$490,324)
Receipts	(\$141,089)	(\$141,089)
Appropriation	(\$349,235)	(\$349,235)
3. Reduce Various Non-Profit Appropriations		
This recommendation is a 7% reduction to each of the non-profit organizations that receive funds through Central Management and Support's budget. Non-profits affected include the Institute of Medicine, Food Runners, Special Olympics, ALS Association, and Action for Children.		
Appropriation	(\$89,250)	(\$89,250)
4. Reduce Community Health Grants		
This recommendation is a 7% reduction to the Community Health Grant Program, with one million, eight hundred sixty thousand dollars (\$1,860,000) remaining in recurring support. The Community Health Program is a competitive grant program that provides grants, up to three years, to the State's safety net clinics to fund programmatic and some capital needs. This reduction decreases the number of grants awarded annually by approximately two.		
Appropriation	(\$140,000)	(\$140,000)
5. Reduce Rental Subsidy		
A nonrecurring reduction in the transfer from the department to the Housing Finance Agency (HFA) to operate the Key Program is recommended. The department's transfer supports operating subsidy that makes rental units developed as part of the of the DHHS-HFA		

partnership affordable to low income persons with disabilities. Due to uncertainties in the equity market and new construction needs, approximately 310 rental units will not be ready for occupancy in fiscal year 2009-10. This nonrecurring reduction will not affect service levels.

Appropriation - Nonrecurring **(\$930,000)** -

6. Reduce Psychiatric Loan Repayment Program

A 7% nonrecurring reduction in the Psychiatric Loan Repayment program is recommended. This repayment program was started in FY 2006-07 with a one million (\$1,000,000) appropriation. Although varying annual amounts have been committed to recruit and retain psychiatrists to rural and underserved areas of the state, funds have reverted to the General Fund each year. This nonrecurring reduction leaves sufficient funds to expand the number of contract awards over the previous year.

Appropriation - Nonrecurring **(\$70,000)** -

7. Reduce Physician Loan Repayment Program

A 7% nonrecurring reduction to the physician loan repayment program is recommended. This one-time action will reduce the number of contracts awarded by approximately four of 95 contracts (based on awards in FY 08). For one year, the Office of Rural Health will assure that the neediest sites get first priority.

Appropriation - Nonrecurring **(\$105,000)** -

8. Reduce Loan Repayment Incentives at State Facilities

This recommendation reduces funds to the loan repayment program at state facilities on a nonrecurring basis. During the 2008 Session, the General Assembly appropriated \$868,519 in loan repayment funds to recruit medical doctors to the State's mental health hospitals. To date, these funds have not been awarded. This one-time reduction allows the department to continue to implement the program in FY 2009-10 and fully implement it in FY 2010-11.

Appropriation - Nonrecurring **(\$400,000)** -

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$23,222,062)	(\$23,222,062)
Receipts	(141,089)	(141,089)
Appropriation	(\$23,080,973)	(\$23,080,973)
Positions	-	-

Nonrecurring

Requirements	(\$1,505,000)	-
Receipts	-	-
<hr/>		
Appropriation	(\$1,505,000)	-
Positions	-	-

Expansion

2009-10 2010-11

1. Medicaid Management Information System (MMIS) Replacement

The Governor recommends a nonrecurring appropriation of prior year earned revenue to match federal funds for the Medicaid Management Information System (MMIS) replacement project. The amount of prior year earned revenue recommended is \$11,071,502 for 2009-10 and \$9,820,689 for 2010-11. Prior year earned revenue serves as the required state match to earn on average 80% federal funding for the project. The system will replace the current Medicaid claims processing system and add functionality to support the State Children's Health Insurance Program (SCHIP), the collection of premiums, and mental health and public health programs. Funds support procurement, design, development and implementation of the new MMIS system and the central office that manages the project.

Requirements - Nonrecurring	\$55,357,510	\$49,103,445
Receipts - Nonrecurring	\$55,357,510	\$49,103,445
<hr/>		
Appropriation - Nonrecurring	-	-

2. Health Net

The Governor recommends funds for Health Net to expand access to comprehensive health services for uninsured individuals across the state. Health Net is a care management program that assists low income, uninsured North Carolinians by providing them with a medical home and coordinating the receipt of free or low cost health care services for them through CCNC (Community Care of North Carolina), hospitals, local health departments and locally based indigent care programs. This recommendation replaces the nonrecurring funding received in FY 2008-09 with recurring funds in a like amount.

Appropriation	\$950,000	\$950,000
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3. Medication Assistance Program

The Governor recommends extending and expanding the Health and Wellness Trust Fund's (HWTF) support for the Medication Assistance Program for two additional years. The Medication Assistance Program assists indigent residents in need of prescription drugs to obtain access to the pharmaceutical manufacturers' free drug program. To increase access to primary health care to rural residents, this recommendation provides \$30,000 annually to maintain or establish a Medication Management or a Prescription Assistance site in all 100 North Carolina counties.

Requirements - Nonrecurring	\$2,745,000	\$3,000,000
Receipts - Nonrecurring	\$2,745,000	\$3,000,000
<hr/>		
Appropriation - Nonrecurring	-	-

4. Additional Community Services Block Grants from Federal Recovery Act

The Governor recommends budgeting additional Community Services Block Grant (CSBG) funds available through the American Reinvestment and Recovery Act. US HHS has not issued a final funding authorization to North Carolina at the time of publication, but the state's share is estimated at \$26,245,000. Funds will help those hardest hit by the economic downturn by increasing access to employment supports, food, housing and health care. The Governor's recommended CSBG plan provides funds for community action agencies and limited purpose agencies with a small amount (one percent) set aside for benefit enrollment and coordination activities.

Requirements - Nonrecurring	\$21,870,834	\$4,374,166
Receipts - Nonrecurring	\$21,870,834	\$4,374,166
<hr/>		
Appropriation - Nonrecurring	-	-

5. Federal Recovery Funds for Weatherization Assistance

The Governor recommends budgeting additional funds received through the American Reinvestment and Recovery Act for weatherization assistance to low income North Carolinians. Official information from the US Department of Energy had not been received at the time of publication, but unofficial estimates put the state's share at \$136 million. Funds will be used to support the program's approved providers and subcontractors in order to weatherize an estimated 15,000 additional homes.

Requirements - Nonrecurring	\$112,000,000	\$24,715,178
Receipts - Nonrecurring	\$112,000,000	\$24,715,178
<hr/>		
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$950,000	\$950,000
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$950,000	\$950,000
Positions	-	-
Nonrecurring		
Requirements	\$191,973,344	\$81,192,789
Receipts	191,973,344	81,192,789
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

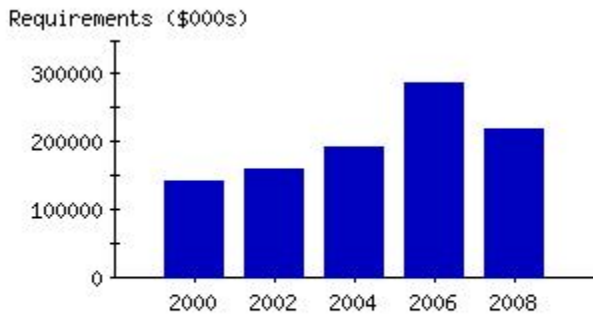
**Total Recommended Adjustments for
 Division of Central Management and Support (14410)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$22,272,062)	(\$22,272,062)
Receipts	(141,089)	(141,089)
	<hr/>	<hr/>
Appropriation	(\$22,130,973)	(\$22,130,973)
Positions	-	-
Nonrecurring		
Requirements	\$190,468,344	\$81,192,789
Receipts	191,973,344	81,192,789
	<hr/>	<hr/>
Appropriation	(\$1,505,000)	-
Positions	-	-
Total Appropriation Adjustments	(\$23,635,973)	(\$22,130,973)
Total Position Adjustments	-	-

Base Budget and Performance Management Information

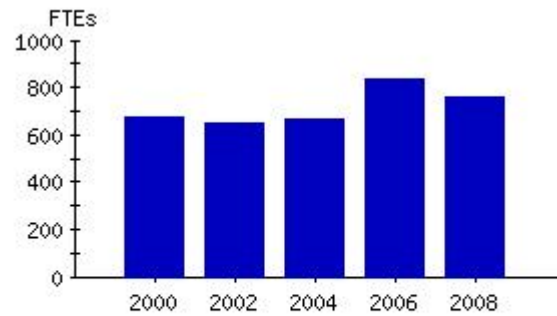
Budget Code 14410 DHHS - Division of Central Management and Support

**Actual Expenditures
by Fiscal Year**



Expenditure growth in 2004-05 and 2005-06 largely due to Senior Cares Prescription Assistance Program.

**Actual Positions
by Fiscal Year**



Position growth occurred primarily in the information technology funds -- contractor funding converted to positions for efficiency, Office of Medicaid Management Information Services established to oversee implementing new Medicaid claims management system, and technology projects required time-limited position support.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$217,884,029	\$160,286,844	\$176,888,916	(\$8,176,462)	\$168,712,454	(\$9,304,760)	\$167,584,156
Receipts	\$133,603,414	\$101,060,800	\$109,887,594	(\$15,190,003)	\$94,697,591	(\$16,786,031)	\$93,101,563
Appropriation	\$84,280,615	\$59,226,044	\$67,001,322	\$7,013,541	\$74,014,863	\$7,481,271	\$74,482,593
Positions	758.000	731.250	732.250	-	732.250	-	732.250

Budget Code 14410 DHHS - Division of Central Management and Support

Fund 14410-1010 Central Management and Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$10,561,375	\$6,401,558	\$13,174,786	(\$303,211)	\$12,871,575	(\$299,760)	\$12,875,026
Receipts	\$1,684,566	\$1,695,553	\$1,763,785	(\$17,190)	\$1,746,595	(\$17,079)	\$1,746,706
Appropriation	\$8,876,809	\$4,706,005	\$11,411,001	(\$286,021)	\$11,124,980	(\$282,681)	\$11,128,320
Positions	49.250	41.250	44.250	-	44.250	-	44.250

Fund description

The Office of the Secretary sets policy and coordinates program administration including fiscal, automation, and personnel activities in the Department of Health and Human Services to ensure that legislation and policy direction from the Governor are properly implemented. Additionally, this fund includes activities of the Office of Public Affairs, Office of Governmental and Community Relations, Office of General Council, and Office of Equal Employment Opportunity.

Services for the fund

Administrative Service - Legal. Provide legal representation and litigation functions for the department.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$563,428	5.250
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Administrative Service - Public Information/Communication. Exchange information and communication between the department, citizens, and stakeholders; establish and promote media relations; prepare and publish press releases and other publications; and coordinate events and conference delivery.

\$1,379,798	11.000
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Secretary and Departmental Management. Develop and implement policies and manage department operations in compliance with North Carolina statutes and administrative codes; and provide a strong and experienced executive management team to establish department goals and priorities, oversee division operations, and interface with decision makers elsewhere in government and the general public.

\$2,747,174	20.000
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Housing and Homeless Programs. Increase the supply of affordable and accessible community housing and access to supportive services for persons served by DHHS through collaboration with other components of the housing system and the provision of technical assistance and support to local communities and counties.

\$5,592,288	10.000
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Governmental and Community Relations. Manage DHHS intergovernmental and community relations by developing the federal and state legislative agenda for the Department and coordinating the legislative efforts that impact DHHS programs and services with the Office of the Governor, other departments, governmental and private entities in order to address the service delivery needs of internal customers and the residents of North Carolina seeking or receiving DHHS services.

\$278,687	3.000
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Actual Totals

\$10,561,375	49.250
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Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Federal funding received for increased number of new permanent supported housing units for the homeless (dollars) ¹	\$3,302,004	\$4,151,990	\$990,606
Communities/County endorsed End Homelessness Plans	12	12	14

Utilization rate of available and affordable housing credit units in the community	88 %	92 %	94 %
Affordable rental units developed in partnership with North Carolina Housing Finance Agency	252	425	418

¹2007-08 data to be determined.

Fund 14410-1011 Administration and Support — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$7,003,685	\$5,779,512	\$7,432,413	(\$136,979)	\$7,295,434	(\$134,144)	\$7,298,269
Receipts	\$2,342,456	\$1,084,916	\$2,749,381	(\$161,258)	\$2,588,123	(\$160,798)	\$2,588,583
Appropriation	\$4,661,229	\$4,694,596	\$4,683,032	\$24,279	\$4,707,311	\$26,654	\$4,709,686
Positions	50.000	50.000	50.000	-	50.000	-	50.000

Fund description

The purpose of this fund is to provide fiscal and program analyses and evaluations, coordination of capital projects, property control, and purchase and contract management to ensure services are administered in an efficient and effective manner. Included in this fund are activities of the Division of Budget and Analysis, Division of Procurement and Contract Services, Office of Internal Audit, and Office of Property and Construction.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Budget and Analysis. Conduct resource planning and allocation activities; develop and execute the budget; and analyze issues impacting the department's budgets and management.	\$4,094,862	16.000
Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.	\$804,072	12.000
Administrative Service - Internal Auditing. Provide independent assessments to department management; ensure operations and programs comply with applicable laws and regulations; prevent inefficiency, fraud, and abuse; analyze exposure to risk and determine appropriate countermeasures; and ensure accounting, administrative, and other information systems have the proper controls.	\$856,537	9.000
Administrative Service - Construction/Engineering. Provide design, construction, major maintenance, and administrative oversight for the department's capital improvement projects and physical plant operations.	\$1,248,214	13.000
Actual Totals	\$7,003,685	50.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Contracts issued	3,306	3,140	2,828
Capital projects managed	136	121	75
Value of capital projects (\$ million)	\$220	\$640	\$629

Fund 14410-1012 Controller's Office — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$18,978,532	\$18,057,594	\$19,168,919	(\$218,439)	\$18,950,480	(\$218,439)	\$18,950,480
Receipts	\$6,630,117	\$5,550,716	\$6,662,041	(\$729,391)	\$5,932,650	(\$729,391)	\$5,932,650
Appropriation	\$12,348,415	\$12,506,878	\$12,506,878	\$510,952	\$13,017,830	\$510,952	\$13,017,830
Positions	273.000	276.000	273.000	-	273.000	-	273.000

Fund description

The purpose of this fund is to support the North Carolina Department of Health and Human Services (DHHS), and all its divisions, facilities, and schools in all fiscal operations so that they are accomplished according to state and federal requirements to the benefit of citizens, clients and employees. This is accomplished by performing all accounting and financial functions for the department and providing accountability for the resources appropriated to the department.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Fiscal Management. Manage and analyze financial information; account for and forecast the use of department resources; conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.	\$10,138,731	121.000
Accounts Receivable. Provide accounts receivable, receipts management, billing and collection, and cash management plan oversight for all divisions and institutions of DHHS; provide billing and technical support for all inpatient medical providers at the institutions and all 100 counties for their share of the Medicaid Program.	\$2,475,407	50.000
Cost Accounting and Financial Reporting. Implement cost allocation plans to maximize federal revenues; resolve state level financial and program audits of local governments, manage federal fund accounting for federal programs, and preparation of the annual report (CAFR); develop reimbursement rates for several specialty programs; and provide technical support for the NCAS.	\$2,883,671	45.000
Program Benefit Payments. Process payments to programs of local government agencies and non-profit entities that receive state and federal funding from DHHS through accounting functions. Disseminate financial reporting requirements to those agencies to ensure uniformity and consistency.	\$3,480,723	57.000
Actual Totals	\$18,978,532	273.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Federal expenditures (\$ million)	\$8,369	\$8,046	\$8,417
Audits with findings	71	69	109

Fund 14410-1013 Office Medicaid Management Information System — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,939,046	\$397,864	\$397,864	\$0	\$397,864	\$0	\$397,864
Receipts	\$2,171,493	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$3,767,553	\$397,864	\$397,864	\$0	\$397,864	\$0	\$397,864
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to develop, design, and implement a replacement Medicaid Management Information System (MMIS) that will continue to support the Medicaid health insurance program administered by the Division of Medical Assistance (DMA) for all state Medicaid claims; multiple benefit programs designated by the state and administered by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services (DMH/DD/SAS); certification of provider services overseen by the Division of Health Service Regulation (formally known as the Division of Facility Services); and the Purchase of Medical Care System (POMCS) for the Division of Public Health.

Services for the fund

Medicaid Management Information System (MMIS). Facilitate the Centers for Medicare and Medicaid Services (CMS) mandate to rebid and implement a replacement Medicaid Management Information System in North Carolina by providing project management and support for the development, design, and implementation of the replacement Medicaid Management Information System.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$5,939,046	-
\$5,939,046	-

Actual Totals

Measures for the fund

Cost to operate and maintain the current Medicaid Management Information System (MMIS) (Division of Medical Assistance and Division of Mental Health) (dollars)

Cost to operate and maintain the current Purchase of Medical Care Services System (POMCS)(Division of Public Health) (dollars)

Total operations and maintenance costs of current systems (dollars)

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
	-	-	\$56,264,587
	-	-	\$478,850
	-	-	\$58,790,498

Fund 14410-1030 Citizen Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,388,559	\$1,543,847	\$1,996,737	(\$449,986)	\$1,546,751	(\$449,986)	\$1,546,751
Receipts	\$491,905	\$308,681	\$761,571	(\$452,221)	\$309,350	(\$452,221)	\$309,350
Appropriation	\$896,654	\$1,235,166	\$1,235,166	\$2,235	\$1,237,401	\$2,235	\$1,237,401
Positions	27.000	27.000	27.000	-	27.000	-	27.000

Fund description

The purpose of this fund is to guide citizens through the human services delivery system by providing information about or referral to the proper department or agency, by providing problem resolution for concerns or complaints via the ombudsman component, by maintaining a robust state-wide human service data repository that is accessible through CARE-LINE, NCcareLINK.gov, and human services across the state and by serving as the coordinator of DHHS services during a declared emergency and as the communication liaison in the Emergency Operations Center on behalf of the Secretary and DHHS.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
CARE-LINE. Enable North Carolina citizens to obtain accurate and timely information about government and community services that address their needs through the operation of a 24/7/365 information and referral service.	\$1,110,846	21.000
Ombudsman. Resolve issues that arise between individual North Carolina citizens and the DHHS divisions that serve them by responding to complaints or concerns from citizens and public officials regarding programs and services of the Department of Health and Human Services and then responding with information or clarification.	\$128,708	3.000
Database Maintenance. Maintain and grow, through cooperative relationship with 22 regional organizations, a state-wide human service database, NCcareLINK, that is available to citizens via the Care-Line, NCcareLINK.gov, and regional Information and Referral Services.	\$113,223	2.000
DHHS Disaster Coordination. Improve the quality of DHHS's responses to North Carolina residents throughout a disaster or emergency situation through collaborative planning, training, and regular drills.	\$35,782	1.000
Actual Totals	\$1,388,559	27.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Ombudsman issues resolved	5,874	5,222	15,839
Calls providing information or referral	96,427	92,696	101,227
Calls providing information or referral in Spanish	6,302	7,322	7,404

Fund 14410-1110 NC Council on Developmental Disabilities — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,265,741	\$1,248,046	\$2,872,624	\$1,159	\$2,873,783	\$1,350	\$2,873,974
Receipts	\$2,163,231	\$1,121,397	\$2,745,975	\$1,159	\$2,747,134	\$1,350	\$2,747,325
Appropriation	\$102,510	\$126,649	\$126,649	\$0	\$126,649	\$0	\$126,649
Positions	11.000	11.000	11.000	-	11.000	-	11.000

Fund description

The purpose of the North Carolina Council on Developmental Disabilities is to promote access to and participation in the design of culturally competent community services, individualized supports, and other forms of assistance and opportunities that enhance self-determination, independence, productivity, and integration and inclusion into the community for individuals with developmental disabilities and their families. This is done through conducting systemic change, capacity building, and advocacy activities, that are consistent with a participatory family-centered, comprehensive system, and coordinated array of services, supports, and other assistance, in the areas of (1) employment; (2) community living; (3) prevention and child development; (4) self-determination; (5) health care; (6) recreation; (7) transportation; (8) education; (9) housing; and (10) system coordination and community education.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
NC Council on Developmental Disabilities. Support people with developmental disabilities and their families by funding community service partners that conduct activities across the state that advance innovative, cost-effective ways of providing services by advocating changes to make North Carolina communities more welcoming to and supportive of people with disabilities.	\$2,265,741	11.000
Actual Totals	\$2,265,741	11.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
People active in advocacy about employment	146	44	0
People active in advocacy about health	459	204	35
People active in advocacy about formal/informal community supports	685	442	136

Fund 14410-1210 Human Resources — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,846,306	\$2,961,156	\$3,353,245	(\$111,500)	\$3,241,745	(\$111,500)	\$3,241,745
Receipts	\$469,809	\$362,848	\$474,348	(\$96,405)	\$377,943	(\$96,405)	\$377,943
Appropriation	\$2,376,497	\$2,598,308	\$2,878,897	(\$15,095)	\$2,863,802	(\$15,095)	\$2,863,802
Positions	35.000	35.000	35.000	-	35.000	-	35.000

Fund description

The Division of Human Resources' mission is to provide the highest principles of professional human resources administration to the Department of Health and Human Services. Staff throughout the division serves as management consultants and employee advocates in support of the department's mission and goals. The purpose of this fund is to provide consultative, regulatory, and monitoring services to over 19,000 employees and managers of the 14 divisions/offices and 18 institutions that comprise the Department of Health and Human Services through the broad functional areas of Classification and Pay, Employee and Management Development, Employee Relations, Employee Safety and Health, Recruitment Services, and Work/Life and Benefits Services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Classification and Compensation. Administer the State of North Carolina classification and pay plan for all positions in the department and maintain compliance with the Fair Labor Standards Act.	\$593,869	6.000
Employee and Management Development. Provide consulting services in training development, needs assessment, and curriculum planning while overseeing training and staff development for the department.	\$309,866	5.000
Employee Relations. Provide oversight for the department's grievance process, performance rating dispute process, unemployment insurance program, and manage the resolution of employee appeals filed with the Office of Administration Hearings, the State Personnel Commission, and the courts to ensure compliance with federal/state regulations.	\$334,310	4.000
Safety and Benefits Services. Administer and coordinate safety and health related programs for the department to ensure compliance with federal, state, and department regulations to maintain safe work environments for employees and clients.	\$181,820	4.000
Recruitment Services. Coordinate and facilitate recruitment activities throughout the department to ensure fair employment practices are followed and that the department hires an effective workforce to meet today's business challenges.	\$199,290	3.000
Human Resources for CMS. Provide human resource management for the Division of Central Management and Support ensuring the highest level of customer satisfaction.	\$291,109	5.000
Personnel Policies and Administration. Provide interpretation and consultation in the development and application of personnel policies and employee benefit programs; and perform administrative and human resource management functions for the division/facilities and schools to ensure compliance with state/federal laws.	\$936,042	8.000
Actual Totals	\$2,846,306	35.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Employees receiving supervisory and management training	360	497	505
Applicants evaluated for potential employment	95,500	99,554	97,982
Classification determinations made for the establishment of new positions and/or reallocation of existing positions ¹	1,422	2,463	68,995

¹SAP configuration does not provide a report for voluntary turnover nor does it transfer information from PMIS prior to April 1, 2008, so the information is not currently available for 2008.

Fund 14410-1310 Office of Economic Opportunity — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$17,885,486	\$20,471,873	\$20,310,662	(\$41,894)	\$20,268,768	(\$41,894)	\$20,268,768
Receipts	\$17,885,487	\$20,396,274	\$20,307,662	(\$41,894)	\$20,265,768	(\$41,894)	\$20,265,768
Appropriation	(\$1)	\$75,599	\$3,000	\$0	\$3,000	\$0	\$3,000
Positions	11.000	11.000	11.000	-	11.000	-	11.000

Fund description

The Office of Economic Opportunity administers federal and state programs that provide funds to local agencies to raise families, including homeless persons, out of poverty. Funds from the major grant programs (Community Services Block Grant and Emergency Shelter Grants) are used in local communities to carry out a wide range of strategies, based on local needs, which are designed to lead families to self-sufficiency.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Community Services. Provide funds to Community Action Agencies and other community-based organizations for the delivery of programs and services designed to assist low-income families in acquiring the resources and skills necessary to achieve economic self-sufficiency.	\$15,413,694	10.000
Emergency Shelter Program. Provide grants to local homeless assistance agencies for the operation of emergency facilities that provide shelter for homeless individuals and families.	\$2,471,792	1.000
Actual Totals	\$17,885,486	11.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Individuals provided shelter per year	46,302	41,966	40,366
Low-income families rising above the poverty level	761	810	838
Participants securing standard housing	598	621	322

Fund 14410-1311 Residential Energy Conservation Assistance Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$14,012,484	\$14,054,041	\$14,054,041	\$2,626	\$14,056,667	\$4,011	\$14,058,052
Receipts	\$14,012,479	\$14,054,041	\$14,054,041	\$2,626	\$14,056,667	\$4,011	\$14,058,052
Appropriation	\$5	\$0	\$0	\$0	\$0	\$0	\$0
Positions	5.000	5.000	5.000	-	5.000	-	5.000

Fund description

The Residential Energy Efficiency Services Program, administered by the Office of Economic Opportunity, helps reduce energy costs for low income families through energy education, the installation of weatherization measures, and the repair and replacement of inefficient heating and cooling systems while addressing health and safety hazards.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Weatherization Assistance Program. Provide funds to local weatherization service providers to perform energy education and to install energy efficiency measures in the homes of low-income families to reduce energy usage and costs.	\$10,534,056	2.500
Heating and Air Repair and Replacement Program. Provide funds to local weatherization assistance agencies to repair and replace heating and air systems in dwellings occupied by low-income families to reduce energy costs and to improve health and safety conditions.	\$3,478,428	2.500
Actual Totals	\$14,012,484	5.000

Measures for the fund	2005-06	2006-07	2007-08
Heating and/or air systems repaired and/or replaced	1,033	1,720	1,240
Dwellings weatherized	3,456	3,808	3,141

Fund 14410-1410 Information Systems Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$52,801,246	\$57,875,593	\$59,758,044	\$2,625,677	\$62,383,721	\$3,319,322	\$63,077,366
Receipts	\$35,869,803	\$34,155,892	\$35,961,731	\$913,716	\$36,875,447	\$1,148,844	\$37,110,575
Appropriation	\$16,931,443	\$23,719,701	\$23,796,313	\$1,711,961	\$25,508,274	\$2,170,478	\$25,966,791
Positions	232.000	232.000	232.000	-	232.000	-	232.000

Fund description

The Division of Information Resource Management (DIRM) supports the business functions of the Department of Health and Human Services by developing, modifying, transferring, maintaining, and supporting automated systems; telecommunications and network design and management support; computer hardware planning and installation support; operational support including printing and distributing computer output; and technical assistance with acquisitions of computer hardware and software. DIRM provides enterprise information technology leadership to DHHS and its partners so that they can leverage technology resulting in delivery of consistent, cost effective, reliable, accessible, and secure services. DIRM also researches and analyzes existing federal, state, and departmental Information Resource Management (IRM) policies; develops recommendations for new IRM policies; monitors the implementation of IRM policies adopted by the department; and coordinates and supports IRM planning, quality assurance, and information resource security processes of the department.

Services for the fund

	Actual Requirements 2007-08	Actual FTEs 2007-08
Information Technology Payments. Payments for information technology and other related data services on behalf of DHHS divisions, offices, and facilities.	\$28,933,174	-
Contractor/Vendor Reimbursement. Reimburse contractor and vendor contractual costs to support DHHS business functions.	\$7,960,337	-
Staffing and Operational. Provide resources for state staffing and other operational costs to support DHHS business functions.	\$15,907,735	232.000
Actual Totals	\$52,801,246	232.000

Measures for the fund	2005-06	2006-07	2007-08
Legacy information technology applications managed	122	125	123

Fund 14410-1411 DIRM - Planning and Development — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$12,364,889	\$806,800	\$806,800	\$33,070	\$839,870	\$35,347	\$842,147
Receipts	\$3,134,955	\$295	\$295	\$0	\$295	\$0	\$295
Appropriation	\$9,229,934	\$806,505	\$806,505	\$33,070	\$839,575	\$35,347	\$841,852
Positions	18.750	-	-	-	-	-	-

Fund description

This fund was established to create a method of capturing and reporting the planning and development expense for automation initiatives. This separation from the Division of Information Resource Management (DIRM) routine applications assists with federal reporting as well as information prepared for the Office of Information Technology Services (ITS). Projects include applications development efforts as well as infrastructure projects for the Department of Health and Human Services.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
North Carolina Families Accessing Services through Technology. Develop and expend funds for North Carolina Families Accessing Services through Technology (NC FAST) to use technology to enable county department of social services workers to spend less time on administrative tasks and more time assisting families. These expenditures reflect DIRM's support (i.e. DIRM salaries, fringes and other administrative costs) for NC FAST planning and development.	\$821,458	-
Health Information System. Develop and expend funds for the Health Information System (HIS) to capture, monitor, report, and bill public health services. Of the \$6,251,698 total expenditures in FY 2007-08 in Fund 1411, \$5,295,557 was transferred to Budget Code 24410 for the HIS Project. The remaining expenditures represent DIRM's support (i.e. DIRM other administrative costs) for HIS planning and development.	\$6,251,698	-
DHHS Security Project. Develop and expend funds for the DHHS Security Project to protect the security and privacy of information (particularly health information) maintained by DHHS.	\$1,446,329	17.000
Central Regional Psychiatric Hospital Automation Project. Develop and expend funds for the Central Regional Psychiatric Hospital Automation Project (CHAPS) to implement an integrated network infrastructure for the new central psychiatric hospital.	\$201,923	-
Other Expenditures. All other expenditures are recorded here, including: project support and management for procurement of equipment and services for the Martin County Call Center Upgrade (project expenditures in FY 2007-08 include a transfer to 24410 of \$2M); project support and management for planning the replacement of the Electronic Services System; and project support, management and other services for DHHS IT initiatives.	\$3,643,481	1.750
Actual Totals	\$12,364,889	18.750

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Information technology development projects managed	11	17	16

Fund 14410-1510 Office of Research Demonstration/Rural Health Dev. — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$33,050,401	\$29,086,563	\$31,760,457	(\$9,768,167)	\$21,992,290	(\$11,600,249)	\$20,160,208
Receipts	\$13,153,632	\$8,554,636	\$10,420,849	(\$2,550,327)	\$7,870,522	(\$4,383,630)	\$6,037,219
Appropriation	\$19,896,769	\$20,531,927	\$21,339,608	(\$7,217,840)	\$14,121,768	(\$7,216,619)	\$14,122,989
Positions	46.000	43.000	44.000	-	44.000	-	44.000

Fund description

The purpose of the Office of Rural Health and Community Care is to make quality primary medical and dental care available and accessible to residents in North Carolina's rural communities, particularly the uninsured and medically-indigent, by assisting local leadership to identify appropriate resources, by recruiting physicians and dentists, and by developing primary care systems and rural health centers. The office designs and tests innovative health care delivery strategies for Medicaid and other indigent residents to bring about improvement in health status, reduction of health disparities, and improvement in cost effectiveness. Assistance is also provided to migrant and seasonal farm workers in obtaining needed services, to small rural hospitals in network development, and to communities in developing prescription drug assistance programs.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Community Care of North Carolina. Build community health networks by facilitating a community based provider organization that promotes long-term quality, cost, access, and utilization objectives in the management of care for Medicaid recipients in rural areas.	\$10,849,986	7.870
Community Health Grants. Provide grant funding to community health safety-net providers to increase access to care for uninsured and medically indigent patients at existing facilities, develop new service delivery sites, and create new or expand existing services to uninsured and medically indigent patients including medical, dental, pharmacy, and mental/behavioral health.	\$6,999,150	-
Medical and Dental Placement. Recruit primary medical and dental providers and psychiatrists by conducting interviews, matching candidates, and providing incentives in order to promote access to health care in underserved communities.	\$3,290,574	4.550
N.C. Farm Worker Health. Provide increased access to primary health care, preventive, and educational services through a network of providers for migrant and seasonal farm workers to improve the health of these individuals and their families.	\$2,450,664	5.240
Prescription Assistance. Provide prescription drug assistance to uninsured, low-income patients through sites across the state that are issued software, known as the Medication Access and Review Program (MARF). Through premium assistance, the program also supports the medication needs of low-income seniors who are Medicare prescription drug program enrollees.	\$2,615,886	10.350
Rural Health Centers. Assist local communities in establishing and operating community-owned centers by providing technical assistance, operational and capital funding, and indigent care funding through the Medical Assistance Plan (MAP) which enables the centers to provide primary care to rural residents who face geographic and economic barriers to receiving care.	\$4,222,449	16.990
Rural Hospital Assistance. Promote the financial stability of small rural hospitals by limiting duplication of services and enhancing quality of care while supporting collaboration of health care within their respective service areas. In addition, support is provided to the most financially vulnerable rural hospitals that treat a higher percentage of medically indigent and uninsured patients.	\$2,621,692	1.000
Actual Totals	\$33,050,401	46.000

Measures for the fund	2005-06	2006-07	2007-08
Medicaid recipients enrolled in a Community Care network	715,000	754,325	830,000
Percentage of rural health center funding allocated to Medical Access Plan (MAP) for uninsured and indigent patients	68 %	75 %	66 %
Value (average wholesale price) of free prescription drugs requested through the Medication Access and Review Program (MARF) for low-income and uninsured patients (dollars)	\$40,787,566	\$44,692,646	\$104,199,284

Fund 14410-1810 Revenue Clearing Account — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$4,693,577)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14410-1910 Reserves and Transfers — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$300,000	(\$2,549,553)	(\$2,549,553)	\$2,549,553	\$0	\$2,549,553	\$0
Receipts	\$0	\$9,700,447	\$9,700,447	(\$9,700,447)	\$0	(\$9,700,447)	\$0
Appropriation	\$300,000	(\$12,250,000)	(\$12,250,000)	\$12,250,000	\$0	\$12,250,000	\$0
Positions	-	-	-	-	-	-	-

Fund description

This Fund is used to record budgetary reserves and non-operating transfers.

Fund 14410-1991 Indirect Cost - Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$216,737	\$116,418	\$326,782	(\$210,364)	\$116,418	(\$210,364)	\$116,418
Receipts	\$261,502	\$116,418	\$326,782	(\$210,364)	\$116,418	(\$210,364)	\$116,418
Appropriation	(\$44,765)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14410-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,190,922	\$2,758,686	\$2,758,686	(\$2,148,007)	\$610,679	(\$2,148,007)	\$610,679
Receipts	\$2,191,008	\$2,758,686	\$2,758,686	(\$2,148,007)	\$610,679	(\$2,148,007)	\$610,679
Appropriation	(\$86)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14410-1993 Prior Years Audits and Adjustments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$13,657,998	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$14,274,052	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$616,054)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Fund 14410-1995 Reserve for Automation — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$22,420,622	\$1,276,846	\$1,266,409	\$0	\$1,266,409	\$0	\$1,266,409
Receipts	\$21,560,496	\$1,200,000	\$1,200,000	\$0	\$1,200,000	\$0	\$1,200,000
Appropriation	\$860,126	\$76,846	\$66,409	\$0	\$66,409	\$0	\$66,409
Positions	-	-	-	-	-	-	-

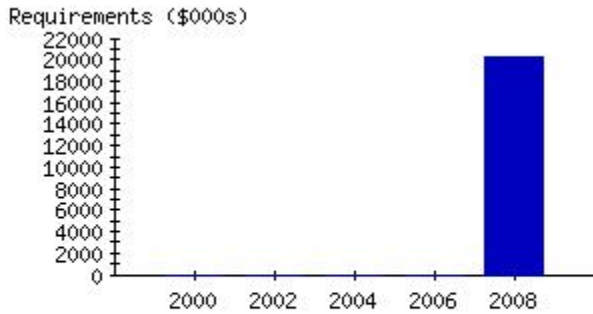
Fund description

This fund records the budget and expenditures associated with new development and enhancements of approved Information Technology Services (ITS) systems. The information is used for generating the reporting information required by the federal and state government to obtain federal funding and to make management decisions.

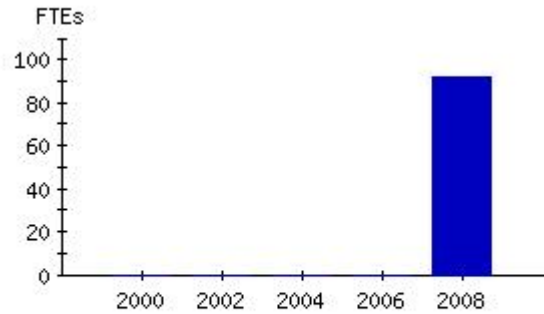
Base Budget and Performance Management Information

Budget Code 24410 DHHS - Central Management - Special Fund

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$20,227,308	\$38,325,383	\$43,560,898	(\$35,014,574)	\$8,546,324	(\$35,665,461)	\$7,895,437
Receipts	\$60,720,639	\$24,414,588	\$24,506,338	(\$17,735,691)	\$6,770,647	(\$17,735,691)	\$6,770,647
Chng Fund Bal	\$40,493,331	(\$13,910,795)	(\$19,054,560)	\$17,278,883	(\$1,775,677)	\$17,929,770	(\$1,124,790)
Positions	92.000	21.000	92.000	-	92.000	-	92.000

Budget Code 24410 DHHS - Central Management - Special Fund

Fund 24410-2410 IT Health Information System — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,469,441	\$4,170,441	\$9,314,206	(\$5,143,765)	\$4,170,441	(\$5,143,765)	\$4,170,441
Receipts	\$9,613,206	\$4,170,441	\$4,170,441	\$0	\$4,170,441	\$0	\$4,170,441
Chng Fund Bal	\$5,143,765	\$0	(\$5,143,765)	\$5,143,765	\$0	\$5,143,765	\$0
Positions	3.000	3.000	3.000	-	3.000	-	3.000

Fund description

The purpose of this fund is to record the budget and expenditures for the Health Information System automation project. The purpose of the Health Information System initiative is to deliver a seamless, fully integrated automated health information system comprised of proven solutions that are built on the public health model and that will support the current and future automation needs of the Division of Public Health.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Develop and implement the Health Information System Project.	\$4,469,441	3.000
Actual Totals	\$4,469,441	3.000

Fund 24410-2411 DIRM - IT NC FAST — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,310,112	\$15,730,586	\$15,748,052	(\$13,902,955)	\$1,845,097	(\$13,902,955)	\$1,845,097
Receipts	\$22,415,737	\$4,438,532	\$4,455,998	(\$3,735,691)	\$720,307	(\$3,735,691)	\$720,307
Chng Fund Bal	\$20,105,625	(\$11,292,054)	(\$11,292,054)	\$10,167,264	(\$1,124,790)	\$10,167,264	(\$1,124,790)
Positions	20.000	18.000	20.000	-	20.000	-	20.000

Fund description

The purpose of the North Carolina Families Accessing Services through Technology (NC FAST) initiative is to provide an integrated Case Management system to provide a cost effective, fully compliant, and functional system for the North Carolina DHHS and the caseworkers and managers in county departments of social services. The NC FAST initiative is expected to deliver family-centered benefits and services to the residents of North Carolina through enabling technology. Benefits and services encompass the following mandated programs: Child Welfare, Child Support Enforcement (NC FAST intends to only interface to this benefitting program), Child Care, Medicaid, Work First, Food Stamp, Refugee Assistance, Special Assistance, Energy Assistance, and Adult and Family Services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Develop and expend funds for the NC FAST project to use technology to enable County Department of Social Services workers to spend less time on administrative tasks and more time assisting families.	\$2,310,112	20.000
Actual Totals	\$2,310,112	20.000

Fund 24410-2412 IT - Martin County Call Center — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$51,656	\$1,967,854	\$1,967,854	(\$1,967,854)	\$0	(\$1,967,854)	\$0
Receipts	\$2,019,512	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$1,967,856	(\$1,967,854)	(\$1,967,854)	\$1,967,854	\$0	\$1,967,854	\$0
Positions	-	-	-	-	-	-	-

Fund description

The North Carolina Department of Health and Human Services worked with the North Carolina Office of Information Technology Services to implement substantial upgrades to the telephones and the voice response unit used to handle client inquiries and payments for the Division of Social Services's Child Support Enforcement Program and the Food Stamp Electronic Benefits Transfer Program. Through the implementation of this project, the Martin County Call Center has an upgraded telephone system with extended maintenance.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Provide project support and management.	\$51,656	-
Actual Totals	\$51,656	-

Fund 24410-2413 Medicaid Management Information Systems — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$12,161,144	\$1,805,615	\$1,879,899	\$0	\$1,879,899	\$0	\$1,879,899
Receipts	\$16,421,687	\$1,805,615	\$1,879,899	\$0	\$1,879,899	\$0	\$1,879,899
Chng Fund Bal	\$4,260,543	\$0	\$0	\$0	\$0	\$0	\$0
Positions	69.000	-	69.000	-	69.000	-	69.000

Fund description

The purpose of the Medicaid Management Information System (MMIS) replacement initiative is to implement a new system that will continue to support the North Carolina Medicaid Management Information System for the Medicaid health insurance program administered by the Division of Medical Assistance for all state Medicaid claims; multiple benefit programs designated by the state and administered by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services; certification of provider services overseen by the Division of Health Services Regulation, and the Purchase of Medical Care System (POMCS) for the Division of Public Health.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Provide project support and management for the development of the new MMIS.	\$12,161,144	69.000
Actual Totals	\$12,161,144	69.000

Fund 24410-2415 Vital Records Automation — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$650,887	\$650,887	\$0	\$650,887	(\$650,887)	\$0
Receipts	\$1,301,775	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$1,301,775	(\$650,887)	(\$650,887)	\$0	(\$650,887)	\$650,887	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Vital Records Automation initiative is to plan, develop, and implement the Vital Records and Statistics Automation System.

Fund 24410-2416 Mental Health State Facilities Automation — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,234,955	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$8,943,144	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$7,708,189	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of Mental Health State Facilities Automation initiative is to plan, develop, and implement the Central Regional Psychiatric Hospital Automation and related implementations in other division-operated facilities of the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Develop automated systems and procure information technology equipment needed to complete the IT systems for the Central Regional Psychiatric Hospital and related implementation in other Division operated facilities.	\$1,234,955	-
Actual Totals	\$1,234,955	-

Fund 24410-2418 Medicaid Mgmt. Info. System Code Conversion — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$14,000,000	\$14,000,000	(\$14,000,000)	\$0	(\$14,000,000)	\$0
Receipts	\$0	\$14,000,000	\$14,000,000	(\$14,000,000)	\$0	(\$14,000,000)	\$0
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the MMIS Code Conversion to HCPCS (Healthcare Common Procedure Coding System) project is to convert locally-developed claims processing codes to nationally accepted 'HCPCS' codes in the existing MMIS system.

Fund 24410-2810 DHHS - Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$5,578	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The revenue clearing process provides an automated analysis of the federal share of expenditures and the federal dollars drawn for each grant. The purpose of this fund is to determine the over/under drawn balance of federal funds supporting information technology projects.

Division of Aging and Adult Services

Mission

The mission of the Division of Aging and Adult Services is to plan, develop, coordinate, and implement a comprehensive support and service delivery system through effective partnerships that ensures available choices to foster the well-being and independence of North Carolina's seniors, adults with disabilities, and their families by protecting their dignity, safety, and quality of life.

Goals

Provide coordinated access to a comprehensive home and community-based support and service delivery system with emphasis on reaching those who are socially and economically needy. Increase choices of living arrangements and promote independence among seniors, adults with disabilities, and their families.

Promote and protect the rights and well-being of seniors, adults with disabilities, and their families through advocacy, information and education, and increased access to services to further their economic, social, civic, and healthy well-being, and prevent abuse, neglect, and exploitation among those who are most vulnerable.

Optimize use of available resources through effective targeting, rigorous monitoring and evaluation, and collaboration with many partners to assure their positive effect on seniors, adults with disabilities, and their families.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of DAAS expenditures for services that support community-based long term care	-	-	96.7%	97.6%	98.7%
Percentage growth in number of clients served in the State/County Special Assistance In-Home Program	-	-	10.8%	44.5%	34.9%
Percentage change in cumulative number of clients receiving Home and Community Care Block Grant services to foster their well-being and independence	-	-	-3.3%	1.4%	7.9%

Governor's Recommended Adjustments to Base Budget

Division of Aging and Adult Services (14411)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$90,530,181	\$90,265,908
Receipts	<u>\$52,937,340</u>	<u>\$52,671,268</u>
Appropriation	\$37,592,841	\$37,594,640
Adjustments		
Requirements	\$6,038,961	\$1,409,796
Receipts	<u>\$4,779,165</u>	<u>\$150,000</u>
Appropriation	\$1,259,796	\$1,259,796
Total		
Requirements	\$96,569,142	\$91,675,704
Receipts	<u>\$57,716,505</u>	<u>\$52,821,268</u>
Recommended Appropriation	<u>\$38,852,637</u>	<u>\$38,854,436</u>
<hr/>		
Positions		
Base Budget Positions	60.000	59.000
Reductions	(1.000)	(1.000)
Expansion	____-	____-
Recommended Positions	<u>59.000</u>	<u>58.000</u>

Appropriation Items -- Recommended Adjustments

Reductions

	<u>2009-10</u>	<u>2010-11</u>
1. Eliminate Quality Improvement Consultation Program		
This recommendation eliminates a contract and position that supported a Quality Improvement Program pilot, which has ended (Session Law 2005-276, section 10.40A(p)).		
Requirements	(\$190,204)	(\$190,204)
Receipts	-	-
Appropriation	(\$190,204)	(\$190,204)
Positions	(1.000)	(1.000)
2. Reduce Senior Center Outreach Program		
This recommendation reduces funding to the Senior Center Outreach Program on a temporary basis. Funding allocated to the 17 Area Agencies on Aging to promote the use of services available through senior centers will be reduced by one-half for each year of the biennium on a non-recurring basis.		
Requirements - Nonrecurring	(\$66,666)	(\$66,666)
Receipts - Nonrecurring	(\$16,666)	(\$16,666)
Appropriation - Nonrecurring	(\$50,000)	(\$50,000)
Total Recommended Reductions		
	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$190,204)	(\$190,204)
Receipts	-	-
Appropriation	(\$190,204)	(\$190,204)
Positions	(1.000)	(1.000)
Nonrecurring		
Requirements	(\$66,666)	(\$66,666)
Receipts	(16,666)	(16,666)
Appropriation	(\$50,000)	(\$50,000)
Positions	-	-

Expansion

2009-10 2010-11

1. Project C.A.R.E. (Caregivers Alternatives to Running on Empty)

The Governor recommends a recurring appropriation to replace nonrecurring funds used to support Project C.A.R.E., a nationally recognized consumer-directed respite care program that provides comprehensive support to caregivers of persons with dementia. The program is a collaboration between Mecklenburg County and the Western N.C. Alzheimer's Association that operates in 14 western counties and serves approximately 500 families. In October 2008, the division was awarded a new three year grant to expand Project C.A.R.E. to eastern North Carolina. The federal and state funds combined help move this program toward the goal of statewide implementation. Further support for families caring for a person with dementia is provided through a caregiver tax credit being proposed by the Governor in her tax package.

Requirements	\$555,555	\$555,555
Receipts	\$55,555	\$55,555
Appropriation	\$500,000	\$500,000

2. Home and Community Care Block Grant and Federal Recovery Funds

The Governor recommends an increase of \$1 million for the Home and Community Block Grant (HCCBG) to support services designed to help frail elderly stay living in their homes, such as in-home personal care. She also recommends budgeting \$3.7 million in HCCBG funds available through the American Reinvestment and Recovery Act, which are targeted at nutrition services. In addition, beginning in FY 2010, the Governor's recommended budget restores the availability of the \$2 million appropriated by the General Assembly during the 2008 Session for the HCCBG. These funds were not allocated in the current fiscal year due to the budget shortfall.

Requirements	\$1,111,111	\$1,111,111
Requirements - Nonrecurring	\$4,076,667	-
Receipts	\$111,111	\$111,111
Receipts - Nonrecurring	\$4,076,667	-
Appropriation	\$1,000,000	\$1,000,000

3. Senior Community Service Employment Program and Federal Recovery Funds

The Senior Community Service Employment Program (SCSEP) places economically disadvantaged individuals 55 years of age and older with an income at 125% of the federal poverty level or below into part-time community service programs while transitioning clients into unsubsidized employment. Currently, five Area Agencies on Aging provide employment services in 25 counties. \$621,560 in federal recovery funds will be available to support the program. Twenty percent of the funds must be spent in the current year. The Governor recommends that the remaining funds (\$497,248), plus a required local match (\$55,250), be budgeted.

Requirements - Nonrecurring	\$552,498	-
Receipts - Nonrecurring	\$552,498	-
<hr/>		
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$1,666,666	\$1,666,666
Receipts	166,666	166,666
<hr/>		
Appropriation	\$1,500,000	\$1,500,000
Positions	-	-
Nonrecurring		
Requirements	\$4,629,165	-
Receipts	4,629,165	-
<hr/>		
Appropriation	-	-
Positions	-	-

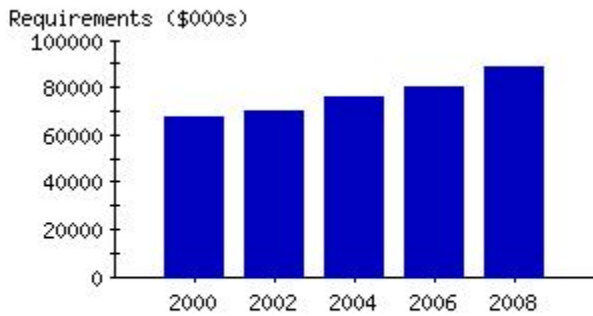
**Total Recommended Adjustments for
 Division of Aging and Adult Services (14411)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$1,476,462	\$1,476,462
Receipts	166,666	166,666
	\$1,309,796	\$1,309,796
Appropriation		
Positions	(1.000)	(1.000)
Nonrecurring		
Requirements	\$4,562,499	(\$66,666)
Receipts	4,612,499	(16,666)
	(\$50,000)	(\$50,000)
Appropriation		
Positions	-	-
Total Appropriation Adjustments	\$1,259,796	\$1,259,796
Total Position Adjustments	(1.000)	(1.000)

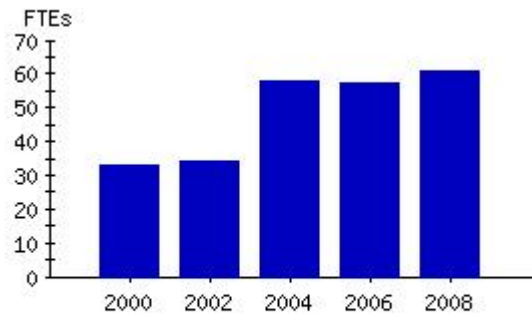
Base Budget and Performance Management Information

Budget Code 14411 DHHS - Division of Aging and Adult Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2004 - Adult Services Section moved from Division of Social Services to Division of Aging, consistent with the NC Long Term Plan.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$88,407,112	\$87,728,167	\$91,459,024	(\$928,843)	\$90,530,181	(\$1,193,116)	\$90,265,908
Receipts	\$52,504,654	\$49,378,400	\$53,102,622	(\$165,282)	\$52,937,340	(\$431,354)	\$52,671,268
Appropriation	\$35,902,458	\$38,349,767	\$38,356,402	(\$763,561)	\$37,592,841	(\$761,762)	\$37,594,640
Positions	61.000	57.000	60.000	-	60.000	(1.000)	59.000

Budget Code 14411 DHHS - Division of Aging and Adult Services

Fund 14411-1110 State Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,840,449	\$2,623,885	\$2,809,401	\$6,756	\$2,816,157	(\$144,529)	\$2,664,872
Receipts	\$2,115,347	\$1,858,043	\$2,043,559	\$4,460	\$2,048,019	(\$147,694)	\$1,895,865
Appropriation	\$725,102	\$765,842	\$765,842	\$2,296	\$768,138	\$3,165	\$769,007
Positions	31.000	30.000	31.000	-	31.000	(1.000)	30.000

Fund description

These funds are used to provide administration for the Division of Aging and Adult Services. The division advocates for opportunities to enable older adults to remain active in their community, assists older adult citizens and/or their family caregivers by developing programs, fiscal policies, and guidelines for an array of social, health, employment support, and nutritional services funded under the Older Americans Act and with state funds. The division also provides technical assistance and training for Area Agencies on Aging and more than 440 local service providers, as well as conducts programs and disseminates information on topics of interest to current and future older adults and family caregivers. The division also provides services and benefits to older and disabled adults through the 100 county departments of social services. The division monitors for compliance with state and federal program and fiscal requirements. The division also provides leadership to facilitate local, regional, and state level strategic planning to meet the needs of well, moderately impaired, and frail older adults.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Division Director's Office. Develop policy in collaboration with the Department of Health and Human Services; manage federal, state, and local relations; and lead the management and administration of the division and the delivery of services to older and disabled adults.	\$617,814	2.000
Budget and Planning. Manage the division's budget, including allocation of federal and state funds, coordination of programmatic and fiscal monitoring; develop the State Aging Services Plan required by the North Carolina General Assembly and the State's Title III plan required by the Older Americans Act.	\$676,407	10.000
Management Information Systems. Manage the division's Aging Resources Management System (ARMS), a client data and reimbursement to service providers system, and coordinate the division web site.	\$147,832	2.000
Service Operations. Manage programs providing services funded through the Home and Community Care Block Grant, which include in-home services, transportation, nutrition, respite care, care management, housing and home improvements, and senior centers. Manage activities relevant to Alzheimer's disease and provide training and technical assistance to local service providers.	\$927,152	12.250
Elder Rights Administration. Administer programs under Title VII of the Older Americans Act including long term care ombudsman; prevention of elder abuse, neglect, and exploitation; and initiatives to prevent consumer fraud and promote consumer rights.	\$119,861	2.000
Senior Community Service Employment Program. Administer employment and training program that serves persons 55 years old and older who have poor employment prospects. Services include part-time employment in community service assignments, job training and related educational opportunities, and opportunities for placement into unsubsidized jobs.	\$44,183	1.000
Project C.A.R.E. Increase quality, access, choice, use of respite and support services to low-income rural and minority families caring for a person with dementia. The program is administered by a .5 FTE that is paid by state funds and is a portion of the required non-federal match.	\$43,709	.500

Performance Outcomes Measures Project (POMP). Develop the capacity for performance measurement by participating with the U.S. Administration on Aging in constructing and field-testing a core set of outcomes measures and reporting methodologies for Older Americans Act programs and services.	\$193,078	.250
Aging and Disability Resource Center (ADRC). Empower individuals to make informed choices about long-term services and supports through ADRC services focused on public awareness, assistance and access to long-term care, and by streamlining access to long-term services.	\$17,180	-
Chronic Disease Self-Management Program. Develop workshops for a statewide campaign to implement and sustain Stanford University's Chronic Disease Self-Management Program to reduce the risk of disease and disability among seniors in North Carolina.	\$53,223	1.000
Actual Totals	\$2,840,449	31.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Training workshops conducted	8	8	9
Monitoring site visits performed	79	50	72

Fund 14411-1170 Adult Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,748,332	\$3,017,978	\$3,040,099	(\$269,075)	\$2,771,024	(\$266,563)	\$2,773,536
Receipts	\$1,572,228	\$1,787,523	\$1,803,009	(\$3,197)	\$1,799,812	(\$1,615)	\$1,801,394
Appropriation	\$1,176,104	\$1,230,455	\$1,237,090	(\$265,878)	\$971,212	(\$264,948)	\$972,142
Positions	26.000	23.000	25.000	-	25.000	-	25.000

Fund description

Adult Services Administration ensures that social services for the elderly and disabled adults and families (e.g., Adult Protective Services, guardianship, and at-risk case management) are developed, implemented, and administered accurately and consistently across the state, primarily through county departments of social services. Programs for adults are designed to prevent or delay institutional care; provide a continuum of community based services for elderly, disabled, and needy adults; and strengthen and support family based care. Administrative support includes the provision of training and technical assistance to service delivery agencies, as well as supervision and monitoring to ensure policy compliance and to strengthen quality of service. Costs of Adult Services programs are recorded in the Division of Social Services budget.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
UNC CARES. Provide training through UNC CARES to county departments of social services to assist adult service workers and supervisors in effectively addressing the needs of disabled and elderly clients.	\$247,074	-
Adult Care Home Quality Improvement Project. Provide funding for the Quality Improvement Initiative Pilot in four counties. This program develops and evaluates program standards, policies, and the use of standardized instruments to measure quality of life in adult care homes.	\$272,527	1.000

Adult Services Administration. Manage and administer adult service programs by providing training and technical support to providers and monitor programs to ensure policy compliance and quality of service. Some of these programs are 1.State/County Special Assistance; which assists in paying for care in adult care homes, 2.Adult Care Home Case Management, 3.Case Management and Counseling, 4.At Risk Case Management, 5.Adult Protective Services, 6.Guardianship, and 7.other adult care services.	\$2,228,725	25.000
Actual Totals	\$2,748,332	26.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Training sessions of effective Social Work Practice with Adults	3	3	3
Training sessions of Adult Services Supervisor's Training	6	6	6
Training sessions of Working with Clients with Serious Mental Illness	2	2	2

Fund 14411-1210 Community Based Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$79,377,951	\$78,950,858	\$82,051,395	(\$666,666)	\$81,384,729	(\$782,166)	\$81,269,229
Receipts	\$46,415,263	\$43,470,201	\$46,810,160	(\$166,666)	\$46,643,494	(\$282,166)	\$46,527,994
Appropriation	\$32,962,688	\$35,480,657	\$35,241,235	(\$500,000)	\$34,741,235	(\$500,000)	\$34,741,235
Positions	-	-	-	-	-	-	-

Fund description

This program assists North Carolina's older citizens and/or their family caregivers by funding Area Agencies on Aging which administer and monitor various home and community based services that help frail older adults remain at home as long as possible; promote the health, wellness, and nutritional status of older adults; support the development and operation of senior centers; provide transportation assistance for medical, recreational, nutritional, and social reasons; and increase employment opportunities for older adults, as well as promote educational, volunteer, and leisure opportunities for older adults. The Family Caregiver Support Program is also supported through this fund.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Home and Community Care Block Grant. Provide funding for in-home and community based services to older adults and their caregivers, so that they can remain independent in their homes resulting in improved quality of life. Special emphasis is given to serving socially and economically needy seniors.	\$63,845,826	-
Family Caregiver Support Program. Provide funding to build infrastructure for caregivers of older adults/older caregivers of minor children to have the resources they need to continue in their care giving role.	\$4,170,429	-
Senior Community Service Employment Program. Provide funding for employment and training services for persons 55 and over that have limited employment prospects. The program provides useful community services and fosters individual economic self-sufficiency through training and job placement.	\$2,531,337	-
Senior Center Outreach/General Purpose. Provide funding to public and non-profit senior centers to support programs and general operations; to construct, renovate, and maintain facilities; and to reach unserved and underserved elderly.	\$2,043,633	-

Health Promotion and Disease Prevention. Provide programs and activities directed to older adults promoting health and wellness and identification of health problems or potential health problems. Activities include exercise, nutrition, medication management, accident prevention, immunizations, and health screenings.	\$716,849	-
Legal Services. Provide funding for community based legal services through legal service organizations and private attorneys to protect the rights of the elderly and resolve disputes; provide assistance to seniors who otherwise would not have the resources to acquire information and legal assistance.	\$489,210	-
Operation Fan-Heat Relief. Allocate funds from North Carolina utility companies to Area Agencies on Aging to purchase fans and air conditioning units for low income seniors whose health is threatened by heat.	\$65,500	-
Aging and Disability Resource Center (ADRC). Empower individuals to make informed choices about long-term services and supports and to streamline access to long-term services. Each ADRC is required to provide public awareness, assistance, and access to long-term care services.	\$160,139	-
Project C.A.R.E. Increase quality, access, choice, use of respite, and support services to low income rural and minority families caring for a person with dementia. Project CARE employs family consultants with expertise in dementia to visit the homes of referred dementia caregivers in crises and offer individualized assessment, guidance, counseling, support, advocacy, coaching, and education.	\$400,440	-
Alzheimer's Support Program. Meet the needs of North Carolina residents living with Alzheimer's disease and related disorders by serving as the primary source of dementia-specific information, assistance, education, and support.	\$194,297	-
North Carolina Senior Games. Provide a year-round health promotion and education program for persons 55 years of age and older. The program promotes healthy lifestyles for better health and overall wellness resulting in a better quality of life.	\$175,000	-
Senior Farmer's Market. Provides low-income seniors with coupons they can exchange for eligible foods at certified state farmer's markets in North Carolina. Eligible foods are defined as fresh, unprepared, locally grown fruits, vegetables, and herbs.	\$35,328	-
Area Agencies on Aging. Develop and administer a comprehensive and coordinated service delivery system for supportive and nutritional services. Also administer the Regional Long Term Care Ombudsman Program and advocate for the interest of older adults through local government, academia, and citizens advisory organizations.	\$4,523,759	-
Chronic Disease Self-Management Program. Develop workshops for a statewide campaign to implement and sustain Stanford University's Chronic Disease Self-Management Program to reduce the risk of disease and disability among seniors in North Carolina.	\$26,206	-
Actual Totals	\$79,377,951	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Clients receiving in-home services	10,236	10,753	9,631
Clients receiving congregate meals services	26,698	26,861	27,638
Clients receiving access services	13,621	13,195	13,221

Fund 14411-1310 Elder Rights Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,426,235	\$3,101,943	\$3,524,626	\$142	\$3,524,768	\$142	\$3,524,768
Receipts	\$2,558,635	\$2,229,130	\$2,412,391	\$121	\$2,412,512	\$121	\$2,412,512
Appropriation	\$867,600	\$872,813	\$1,112,235	\$21	\$1,112,256	\$21	\$1,112,256
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

The Elder Rights Program supports a network of long term care ombudsmen employed by Area Agencies on Aging who protect the health and well being of residents of long term care facilities through advocacy, education, and an informal grievance resolution process. The program also conducts initiatives aimed at the prevention of abuse, neglect and/or exploitation, and strengthens consumer protections through initiatives and programs established by the North Carolina Senior Consumer Fraud Task Force. The Elder Rights Program helps older adults to access legal services, develops volunteer programming, and educates the public about long term care.

Services for the fund

Long-Term Care Ombudsman Program. Provide direct advocacy and access services through the regional network of ombudsmen to all long term care residents that protect their health, safety, welfare, and rights by responding and investigating complaints from, or on behalf of, long term care residents.

<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
--	--------------------------------------

\$3,144,653 -

Long-Term Care Ombudsman - Administration. Provide program operation oversight, training and technical assistance to regional ombudsmen, and serve as their back-up in addressing complaints received by the program.

\$202,179 3.000

Legal Services Developer. Provide leadership in developing legal assistance programs for persons 60 years of age and older and play a key role in assisting in the development and the provision of a strong elder rights system. Provide oversight of the Older Americans Act Title III B legal assistance program and assure that at-risk older people have access to the civil justice system.

\$79,402 1.000

Actual Totals

\$3,426,235 4.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Long term care complaints investigated for or on behalf of clients	3,044	3,238	-
Long term care complaints resolved	2,401	2,430	-
Long term care technical assistance responses provided to consumers	16,683	18,164	-

Fund 14411-1810 Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$250,885)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14411-1991 Indirect Cost - Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$9,458	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14411-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$33,503	\$33,503	\$0	\$33,503	\$0	\$33,503
Receipts	\$50,158	\$33,503	\$33,503	\$0	\$33,503	\$0	\$33,503
Appropriation	(\$50,158)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, which was earned in a prior fiscal year.

Fund 14411-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$14,145	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$34,450	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$20,305)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Division of Child Development

Mission

The social and economic future of North Carolina depends upon the success of our children. To that end, we implement quality standards, increase access for families, and collaborate to promote enhanced service delivery of care and education across the state.

Goals

Reduce instances of non-compliance through training, technical assistance, and the enforcement of licensing requirements to ensure the health and safety of children in all levels of child care arrangements.

Increase access to high-quality child care for low-income families through the provision of child care subsidy services to support parents' employment, education, and children's school success.

Strengthen the professional development of the early care and education workforce through increased education and compensation in order to improve and sustain the quality of child care statewide.

Sustain and increase the availability of high-quality (3-5 star) child care in North Carolina through training, technical assistance, and program assessment to promote children's school readiness.

Help ensure that children, birth through age five, enter school healthy and ready to learn through Smart Start, an early childhood initiative, in order to maximize success in school.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of child care centers in North Carolina that are high-quality (3-5 star) ¹	68%	68%	69%	72%	68%
Percentage of child care homes in North Carolina that are high-quality (3-5 star) ²	0.0 %	66%	68%	64%	48%
Children receiving subsidized services ³	168,446	166,974	167,304	167,399	168,082
Percentage of children eligible for subsidized childcare services who actually receive services	43%	43%	42%	42%	42%

¹Transition from 3 to 2 component license began in 2006-07.

²Transition from 3 to 2 component license began in 2006-07.

³85% of children receiving subsidized services in 3-5 star-rated licensed facilities in 2007-08 as reported in DCD reimbursement and Smart Start Reporting System.

Governor's Recommended Adjustments to Base Budget

Division of Child Development (14420)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$639,523,113	\$639,537,839
Receipts	<u>\$334,119,976</u>	<u>\$334,120,661</u>
Appropriation	\$305,403,137	\$305,417,178
Adjustments		
Requirements	\$58,548,753	(\$115,910)
Receipts	<u>\$84,398,003</u>	<u>\$4,402,385</u>
Appropriation	(\$25,849,250)	(\$4,518,295)
Total		
Requirements	\$698,071,866	\$639,421,929
Receipts	<u>\$418,517,979</u>	<u>\$338,523,046</u>
Recommended Appropriation	<u>\$279,553,887</u>	<u>\$300,898,883</u>
<hr/>		
Positions		
Base Budget Positions	299.750	299.750
Reductions	(1.000)	(1.000)
Expansion	—	—
Recommended Positions	<u>298.750</u>	<u>298.750</u>

Appropriation Items -- Recommended Adjustments

Reductions			
		<u>2009-10</u>	<u>2010-11</u>
1. Reduce Operating Expenses			
It is recommended to continue the current year reduction to various operating accounts on a permanent basis.			
	Requirements	(\$20,000)	(\$20,000)
	Receipts	-	-
	Appropriation	(\$20,000)	(\$20,000)
2. Reduce Professional Development Funding			
This recommendation eliminates professional development funding for administering the testing-out of coursework required for lead child care teachers. As of July 2008, lead child care teachers can no longer test out of coursework and the funding to administer the test is not needed.			
	Requirements	(\$59,860)	(\$59,860)
	Receipts	-	-
	Appropriation	(\$59,860)	(\$59,860)
3. Eliminate One General Administration Position			
It is recommended that a processing assistant V position be eliminated. The position has been vacant for over a year, and the duties have been reassigned to other staff.			
	Requirements	(\$36,050)	(\$36,050)
	Receipts	-	-
	Appropriation	(\$36,050)	(\$36,050)
	Positions	(1.000)	(1.000)

4. Reduce Smart Start Funding

It is recommended that Smart Start be reduced by 4% on a nonrecurring basis. Smart Start subsidized child care funds are excluded from this reduction in order to meet Child Care and Development Fund (CCDF) Block Grant matching requirements and to follow requirements in the federal American Recovery and Reinvestment Act of 2009.

Requirements - Nonrecurring	(\$8,878,471)	-
Receipts - Nonrecurring	-	-
<hr/>		
Appropriation - Nonrecurring	(\$8,878,471)	-

5. Replace State Funding for Child Care Subsidy Funds with Federal Receipts

It is recommended that state funds be replaced with one-time Temporary Assistance for Needy Families (TANF) Block Grant contingency funds in FY 2009-10. There will be no impact to services.

Requirements - Nonrecurring	-	-
Receipts - Nonrecurring	\$12,452,484	-
<hr/>		
Appropriation - Nonrecurring	(\$12,452,484)	-

6. Replace State Funding for TEACH Program with Federal Receipts

This recommendation replaces state funding with existing federal CCDF Block Grant receipts for the TEACH program. The replacement of state funds makes the TEACH program 100% receipt supported for the biennium. There will be no impact to services.

Requirements - Nonrecurring	-	-
Receipts - Nonrecurring	\$3,800,000	\$3,800,000
<hr/>		
Appropriation - Nonrecurring	(\$3,800,000)	(\$3,800,000)

7. Charge/Increase Licensing Fees for Child Care Facilities

It is recommended that the annual child care center licensing fees increase by 50% and a new annual fee for child care homes be established that is equal to the new fee for small centers with 12 or fewer children. The revised fees will range from \$52 - \$600 and are estimated to generate \$602,385 in additional revenue that will be used to offset General Fund appropriations.

Requirements	-	-
Receipts	\$602,385	\$602,385
<hr/>		
Appropriation	(\$602,385)	(\$602,385)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$115,910)	(\$115,910)
Receipts	602,385	602,385
	<hr/>	<hr/>
Appropriation	(\$718,295)	(\$718,295)
Positions	(1.000)	(1.000)
Nonrecurring		
Requirements	(\$8,878,471)	-
Receipts	16,252,484	3,800,000
	<hr/>	<hr/>
Appropriation	(\$25,130,955)	(\$3,800,000)
Positions	-	-

Expansion

	<u>2009-10</u>	<u>2010-11</u>
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1. Federal Recovery Funding for Child Care Subsidies

The Governor recommends using federal recovery funds of \$53,993,329 from the CCDF Block Grant for child care subsidies in FY 2009-10. These funds will remove approximately 11,875 children from the waiting list of about 28,000. Combined with other state and federal funds, approximately 103,114 children, on average, will receive subsidy services each month next year. In addition to subsidy, \$11,519,144, as required by the federal recovery act, will be spent on quality activities, and another \$2,030,661 will be spent by local purchasing agencies for eligibility determination and administration based on the existing allocation formula.

Requirements - Nonrecurring	\$67,543,134	
Receipts - Nonrecurring	\$67,543,134	
	<hr/>	<hr/>
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Nonrecurring		
Requirements	\$67,543,134	-
Receipts	67,543,134	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

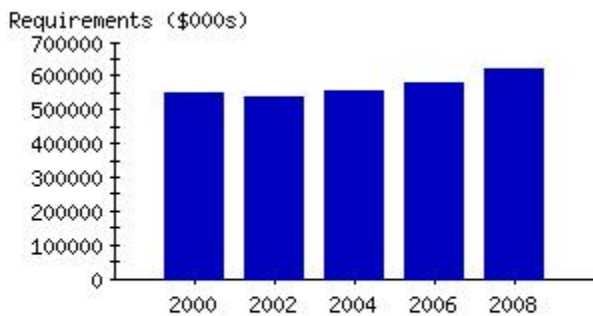
**Total Recommended Adjustments for
 Division of Child Development (14420)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$115,910)	(\$115,910)
Receipts	602,385	602,385
	<hr/>	<hr/>
Appropriation	(\$718,295)	(\$718,295)
Positions	(1.000)	(1.000)
Nonrecurring		
Requirements	\$58,664,663	-
Receipts	83,795,618	3,800,000
	<hr/>	<hr/>
Appropriation	(\$25,130,955)	(\$3,800,000)
Positions	-	-
Total Appropriation Adjustments	(\$25,849,250)	(\$4,518,295)
Total Position Adjustments	(1.000)	(1.000)

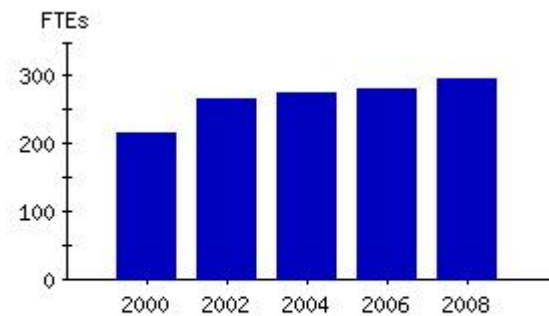
Base Budget and Performance Management Information

Budget Code 14420 DHHS - Division of Child Development

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$622,093,008	\$638,741,274	\$639,480,478	\$42,635	\$639,523,113	\$57,361	\$639,537,839
Receipts	\$316,214,556	\$333,380,007	\$334,119,211	\$765	\$334,119,976	\$1,450	\$334,120,661
Appropriation	\$305,878,452	\$305,361,267	\$305,361,267	\$41,870	\$305,403,137	\$55,911	\$305,417,178
Positions	295.750	297.750	299.750	-	299.750	-	299.750

Budget Code 14420 DHHS - Division of Child Development

Fund 14420-1111 General Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$19,087,413	\$21,662,917	\$21,683,517	\$42,635	\$21,726,152	\$57,361	\$21,740,878
Receipts	\$12,484,584	\$14,612,449	\$14,633,049	\$765	\$14,633,814	\$1,450	\$14,634,499
Appropriation	\$6,602,829	\$7,050,468	\$7,050,468	\$41,870	\$7,092,338	\$55,911	\$7,106,379
Positions	295.750	297.750	299.750	-	299.750	-	299.750

Fund description

The purpose of the General Administration Fund is to support child care programs and local child care subsidy purchasing agencies and other early child development programs. These funds are used to provide administration for the Division of Child Development, regulate all child care centers and homes for compliance with applicable regulations, investigate all reports of alleged abuse or neglect in child care centers and homes, and conduct criminal record checks of child care providers to ensure the safety in child care arrangements. In addition, funds are used to provide state supervision for the Subsidized Child Care Program to ensure the effective management of child care subsidy funds.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Child Care Regulation. License child care centers and family child care homes, monitor child care arrangements for compliance with requirements, investigate complaints about child care arrangements, including reports of child abuse or neglect, and take appropriate action by conducting visits, providing technical assistance, and enforcing licensing regulations to assure a safe and healthy child care environment for children.	\$12,501,879	205.750
Subsidized Child Care. Supervise the Subsidized Child Care Program to ensure compliance with state and federal regulations and policies, which includes developing and issuing policy to local purchasing agencies for use in administering the program, monitoring local purchasing agencies for compliance with program policies, and approving child care centers and family child care homes for participation in the Subsidized Child Care Program.	\$1,766,267	26.000
Criminal Records Checks. Administer and coordinate the DHHS criminal record check process by collecting and submitting records to the SBI and/or the FBI and providing information related to employment for regulated child care employees, adoptive and foster parents, nursing home direct access employees, family and adult care homes, mental health facilities and emergency medical services to ensure the safety of children and adults in care. Amount shown includes payments to the SBI.	\$1,065,148	15.000
Workforce Standards. Support the professional development of early childhood educators by approving required in-service training for child care providers, developing and maintaining credential requirements for the North Carolina Early Childhood Credential/Family Child Care/Administration Credential Programs, to ensure a qualified and educated child care workforce.	\$896,134	15.000
Director's Office. Oversee coordination of the division's services by managing all personnel within the division, reviewing research on early childhood development issues, responding to requests from the public, preparing and presenting information and data about activities within the agency, and providing consumer education by making information about child care providers available on the division's Web site to ensure effective service delivery.	\$799,114	8.000

Purchasing and Contracts. Coordinate and execute the acquisition of equipment, materials, services, supplies, state surplus property, and telecommunications for the division's staff. Develop and monitor contracts and financial reports to assure compliance with state and federal budget and financial regulations.	\$541,776	8.000
Budget and Fiscal Management. Manage and analyze financial information; account for and forecast the use of division resources, conduct resource planning and allocation activities; develop, execute, realign, and monitor the budget; analyze issues impacting the division's budget and management; conduct general ledger accounting; and maintain accounts payable, accounts receivables, and cash management.	\$532,928	8.000
Information Technology. Design and support information systems to support policy and programmatic functions, produce reports that show child care trends and figures in the state, maintain all automated office support systems, and provide project management support to division initiatives to ensure information is available for program management.	\$393,478	5.000
Human Resources. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development and performance management; and regulate employee relations and separation management.	\$167,746	3.000
North Carolina Interagency Coordinating Council. Provide staff support to the North Carolina Interagency Coordinating Council and provide leadership to local interagency coordinating councils that design and coordinate services for children with disabilities in each of the state's 100 counties to assure the coordination and availability of comprehensive services for children with special needs and their families. Amount shown includes funds for contracted activities.	\$143,777	2.000
Transfers. Transfer funds to other agencies and departments to cover expenses such as audits, civil penalties, sale of surplus property, and department initiatives.	\$279,162	-
Actual Totals	\$19,087,413	295.750

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Monitoring and technical assistance visits to child care facilities performed by regulatory field staff	18,869	19,822	19,844
Abuse and/or neglect investigations completed	816	779	861
Percentage of abuse and/or neglect investigations substantiated	17 %	15 %	16 %
Criminal record checks performed	39,958	41,457	52,488

Fund 14420-1190 Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$322,747)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14420-1711 Early Childhood Initiative — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$204,963,927	\$209,697,835	\$209,697,835	\$0	\$209,697,835	\$0	\$209,697,835
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$204,963,927	\$209,697,835	\$209,697,835	\$0	\$209,697,835	\$0	\$209,697,835
Positions	-	-	-	-	-	-	-

Fund description

The North Carolina Partnership for Children, Inc. (NCPC) provides statewide oversight of local Smart Start programs and funding dedicated to improving the quality, availability, and affordability of high-quality child care and early childhood education; increasing access to children's health services; and providing family support to ensure that all young children, birth through age five, enter school healthy and ready to succeed. DCD contracts with The North Carolina Partnership for Children, Inc. to provide financial support to NCPC and the 78 local Smart Start organizations across the state.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Local Partnership Early Childhood Quality Initiatives. Contract with service providers, local non-profit, and governmental organizations to provide technical assistance to child care providers on optimal classroom and outdoor environments, teacher-child interactions, and best practices for health, safety, nutrition, and physical activity; fund educational opportunities, health/sick leave benefits, and improved compensation for child care providers; and fund early childhood education programs such as More at Four for eligible children.	\$97,594,618	-
Smart Start Subsidized Child Care Services. Provide Smart Start financial reimbursement to county departments of social services and other local purchasing agencies for monthly expenditures for subsidized child care to enable low-income children access to safe, high-quality, and affordable child care. Of this amount, \$5,748,563 was transferred to the Division of Social Services for eligibility determination for subsidized child care services.	\$61,166,892	-
Local Partnership Early Childhood Family Support Initiatives. Contract with service providers, local non-profit, and governmental organizations to provide programs that give parents with young children information and support in order to prepare their children for school, help teen parents stay in school and offer parenting support, provide highly stressed families with crisis intervention and child abuse prevention, and that allow parents to better enhance the pre-literacy skills of their children.	\$18,389,137	-
Local Partnership Early Childhood Health Access Initiatives. Contract with service providers, local non-profit, and governmental organizations that connect children to medical homes for primary and preventative health care; provide oral health care and treatment for low-income, uninsured children; educate and train children, parents, and child care providers on healthy lifestyles that promote nutritious meals and increased physical activity to combat obesity; and connect uninsured and non-Medicaid new moms with prenatal and newborn care.	\$10,948,219	-

Child Care WAGES. Contract with Child Care Services Association to manage the Child Care WAGES project that provides annual salary supplements to child care workers who obtain post-secondary child development education in order to improve retention of quality child care teachers. (Administrative funding for WAGES project is located in fund 1811.)	\$10,866,544	-
NCPC Statewide Administration. Provide administrative oversight of local Smart Start organizations by approving local plans, tracking performance standards, providing training and technical assistance, collecting data, and monitoring to ascertain that outcomes are being achieved.	\$5,938,528	-
Child Care Provider Testing. Contract with Community Colleges to administer testing for child care providers in order to demonstrate they meet minimum educational qualifications through a testing option.	\$59,996	-
Actual Totals	\$204,963,927	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Children receiving Smart Start subsidized child care services ¹	51,048	52,645	53,209
Child care teachers receiving professional development supplements ²	13,858	13,262	13,187
Percentage of children enrolled in Health Check (Medicaid) who have received at least one well-child visit	72 %	73 %	75 %

¹68% of children enrolled in 4-5 star-rated child care centers in 2007-08.

²Includes participants receiving Smart Start salary supplements outside the WAGES program.

Fund 14420-1811 Child Development Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$398,008,626	\$407,380,522	\$408,099,126	\$0	\$408,099,126	\$0	\$408,099,126
Receipts	\$302,724,072	\$318,767,558	\$319,486,162	\$0	\$319,486,162	\$0	\$319,486,162
Appropriation	\$95,284,554	\$88,612,964	\$88,612,964	\$0	\$88,612,964	\$0	\$88,612,964
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Child Development Services Fund is to support subsidized child care and special initiatives to improve the quality and availability of the services provided. To carry out this responsibility, these funds are used to subsidize the cost of child care for eligible children to enable their parents to work or receive training and to enhance the development of children. Funds are also used to improve child care quality by promoting training and increased compensation for caregivers, providing loans or grants to child care providers to improve and expand services, supporting child care resource and referral programs to assist families with locating high-quality child care, and supporting the star-rated child care licensing system to provide families access to quality child care.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Subsidized Child Care Services. Provide financial reimbursement to county departments of social services (DSSs) and other local purchasing agencies for monthly expenditures for subsidized child care to enable low-income children access to safe, high-quality, and affordable child care. The amount shown includes \$413,876 that was contracted with a local purchasing agency to offset the cost of eligibility determination. In addition to this amount, \$15,489,651 was spent by the county DSSs for services support.	\$372,443,603	-
University/Community College/Private Non-Profit Contracts. Contract with universities, community colleges, and private non-profit organizations to establish and/or provide services to increase the availability or quality of care that ensure all families with typically developing and special needs children, ages 0-12, have a choice of high-quality child care available statewide.	\$6,847,813	-
T.E.A.C.H. Early Childhood Project. Contract with Child Care Services Association (CCSA) to administer the T.E.A.C.H. Early Childhood Project, a statewide early childhood education scholarship program that provides comprehensive scholarships for child care providers that help pay the cost of tuition, books, and travel while working on a credential or degree in early childhood education or child development to ensure a well qualified, fairly compensated, and stable workforce for children in childcare.	\$5,019,974	-
Child Care Resource and Referral Agencies. Contract with Child Care Resource and Referral Agencies (CCR&R) across the state to provide families with assistance in identifying options for making informed decisions regarding child care to ensure that families have access to available child care resources. CCR&R also provides services to child care providers to target training and technical assistance on compliance and strategies to improve and maintain high-quality care.	\$4,031,137	-
Environmental Rating Scale Assessments Contract. Contract with the University of North Carolina at Greensboro to manage a staff of qualified rating scale assessors who assess the quality of child care environments by conducting Environmental Rating Scale assessments of child care facilities and More at Four programs statewide to ensure compliance with higher quality program standards.	\$3,740,592	-
T.E.A.C.H. Early Childhood Health Insurance Program. Contract with CCSA to administer the T.E.A.C.H. Early Childhood Health Insurance Program, a program that reimburses a portion of the cost of health insurance in child care facilities that have all highly educated staff or participate in the T.E.A.C.H. Early Childhood Project in order to ensure a well qualified, fairly compensated, and stable workforce for children in childcare.	\$2,998,783	-
Child Care WAGE\$. Contract with CCSA to manage the Child Care WAGE\$ project that provides annual salary supplements to child care workers who obtain post-secondary education related to child development and remain in their jobs for a specified length of time, in order to improve and sustain the quality of child care statewide. Funds listed are for program administration, the salary supplement funding for WAGE\$ project is located in fund 1711.	\$1,670,902	-
Transfers. Transfer funds to other agencies and departments to cover expenses such as audits and department initiatives.	\$1,255,811	-
Actual Totals	\$398,008,626	-

Measures for the fund	2005-06	2006-07	2007-08
Children receiving non Smart Start funded subsidized child care services	149,946	153,001	154,860
Turnover rate for teachers in the Early Childhood Associate Degree Scholarship Program (T.E.A.C.H. Early Childhood Project)	10 %	10 %	9 %
Percentage of centers with 3 points or more in the program standards component of the rated license ¹	37 %	42 %	50 %
Percentage of child care centers with 3 points or more in the education component of the rated license ²	45 %	50 %	84 %

¹Although the number of possible points increased from 5 to 7 in the program standards component in 2007-08, the program standards attained at 3 points is still comparable.

²Although the number of possible points increased from 5 to 7 in the education component in 2007-08, the education attained at 3 points is still comparable.

Fund 14420-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$33,042	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$101,283	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$68,241)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14420-1993 Prior Year Unearned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,227,364	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Office of Education Services

Mission

The mission of the Office of Education Services is to provide quality, comprehensive, developmental, and educational opportunities for eligible students ages birth to 21 and their families so that students can develop the skills necessary to lead productive lives -- vocationally, socially, and personally -- resulting ultimately in the achievement of their highest potential for independent and successful lives.

Goals

Provide management and support services to assure quality operation of DHHS education services (OES residential schools and early intervention/preschool programs and DHHS mental health schools) through consultation, technical assistance, policy development, and available resources to improve DHHS schools' student services and academic progress.

Provide a challenging learning environment that prepares each child for a meaningful and productive life in a changing world through individualized, comprehensive educational and residential programs for deaf, hard of hearing, and deaf/blind students at the schools for the deaf, ensuring students achievement of their Individual Education Program goals and successful transitions to their next life goal.

Provide visually impaired students at the Governor Morehead School for the Blind a quality education and inspiration for individual success through residential, day, and public school placements, ensuring students achievement of their Individual Education Program goals and successful transitions to their next life goal.

Provide quality early intervention and preschool programming to children ages birth through five years with diagnosed visual impairments through strategies delivered by a network of highly qualified itinerant teachers of the visually impaired so that they can receive all of the tools and strategies necessary to successfully compensate for their disability.

Provide quality, comprehensive development and educational early intervention services to children ages birth to three who are deaf and hard of hearing and their families through the services of itinerant teachers in the Early Intervention Program, developing students' language and communication in order to ensure a successful transition to their next educational placement.

Support deaf, hard of hearing, deaf/blind, and visually impaired students in North Carolina schools and school systems as well as the professionals who serve them through a network of highly qualified teachers who provide observation, evaluation, assessment, professional development, and consultation services to build capacity in schools and school systems across the state.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Deaf, hard of hearing, and visually impaired students served through outreach services	-	863	1,010	686	700
Percentage increase in availability of appropriate technology devices to deaf and hard of hearing children ages birth to three and visual impaired children ages birth to five	-	-	-	-	25%
Students who matriculate from the residential schools to employment or higher education	-	-	-	-	12

Governor's Recommended Adjustments to Base Budget

Office of Education Services (14424)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$42,896,632	\$42,948,540
Receipts	<u>\$2,069,198</u>	<u>\$2,069,198</u>
Appropriation	\$40,827,434	\$40,879,342
Adjustments		
Requirements	(\$2,460,013)	(\$2,460,013)
Receipts	=	=
Appropriation	(\$2,460,013)	(\$2,460,013)
Total		
Requirements	\$40,436,619	\$40,488,527
Receipts	<u>\$2,069,198</u>	<u>\$2,069,198</u>
Recommended Appropriation	<u>\$38,367,421</u>	<u>\$38,419,329</u>
<hr/>		
Positions		
Base Budget Positions	636.870	636.870
Reductions	(35.000)	(35.000)
Expansion	=	=
Recommended Positions	<u>601.870</u>	<u>601.870</u>

Appropriation Items -- Recommended Adjustments

Reductions

	<u>2009-10</u>	<u>2010-11</u>
1. Reduce Operating Budget		
This recommendation implements operating budget reductions that do not impact the division's ability to carry out programs and services. Reductions will come from accounts such as general administrative supplies, operating supplies, and equipment.		
Appropriation	(\$703,191)	(\$703,191)
2. Reduce Contract Funds		
This recommendation reduces funds for contracted services. No services required by a child's Individual Education Plan (IEP) will be affected.		
Appropriation	(\$254,931)	(\$254,931)
3. Eliminate Vacant Positions		
This recommendation eliminates the following vacant positions: five at Western North Carolina School for the Deaf; 11 at Eastern North Carolina School for the Deaf; 14 at Governor Morehead School for the Blind; and two at the Governor Morehead Preschool (GMS). Of these positions, eight have been vacant for six to 12 months, and 19 have been vacant for more than a year. Enrollment at residential schools has declined in recent years, from a total of 311 students in 2006-07 to 265 in the current school year. At GMS preschool, 669 children and families were served in 2006-07 compared to 657 in the current year. Overall children and families served have declined approximately 5.9% since 2007. Eliminating these vacant positions reduces overall staff at the schools and preschool by 5.6%.		
Appropriation	(\$1,350,212)	(\$1,350,212)
Positions	(32.000)	(32.000)
4. Suspend Governor Morehead School Short Term Outreach Program		
This recommendation temporarily suspends the Governor Morehead School Short Term Outreach program for the 2009-11 biennium. The program provides weekly camps for approximately 70 children annually who come from across the state to develop skills such as orientation and mobility and money handling. Funds will be restored in the next biennium.		
Appropriation - Nonrecurring	(\$151,679)	(\$151,679)
Positions	(3.000)	(3.000)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$2,308,334)	(\$2,308,334)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$2,308,334)	(\$2,308,334)
Positions	(35.000)	(35.000)
Nonrecurring		
Requirements	(\$151,679)	(\$151,679)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$151,679)	(\$151,679)
Positions	-	-

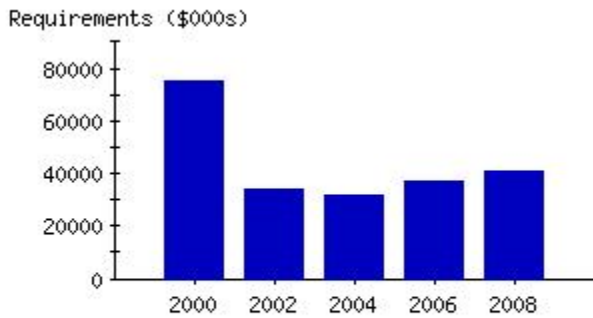
**Total Recommended Adjustments for
 Office of Education Services (14424)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$2,308,334)	(\$2,308,334)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$2,308,334)	(\$2,308,334)
Positions	(35.000)	(35.000)
Nonrecurring		
Requirements	(\$151,679)	(\$151,679)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$151,679)	(\$151,679)
Positions	-	-
Total Appropriation Adjustments	(\$2,460,013)	(\$2,460,013)
Total Position Adjustments	(35.000)	(35.000)

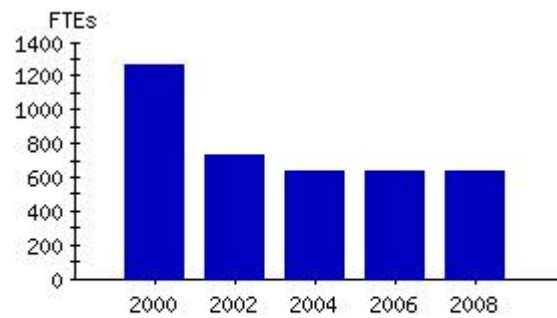
Base Budget and Performance Management Information

Budget Code 14424 DHHS - Office of Education Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Drop in expenditures and positions due largely to closure of Central School for the Deaf in Greensboro

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$40,459,140	\$43,178,194	\$43,201,624	(\$304,992)	\$42,896,632	(\$253,084)	\$42,948,540
Receipts	\$3,096,284	\$2,085,917	\$2,113,360	(\$44,162)	\$2,069,198	(\$44,162)	\$2,069,198
Appropriation	\$37,362,856	\$41,092,277	\$41,088,264	(\$260,830)	\$40,827,434	(\$208,922)	\$40,879,342
Positions	636.870	637.120	636.870	-	636.870	-	636.870

Budget Code 14424 DHHS - Office of Education Services

Fund 14424-1101 Western NC School for the Deaf — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$10,146,197	\$10,443,385	\$10,394,109	\$82,098	\$10,476,207	\$96,185	\$10,490,294
Receipts	\$572,244	\$190,993	\$199,037	(\$6,968)	\$192,069	(\$6,968)	\$192,069
Appropriation	\$9,573,953	\$10,252,392	\$10,195,072	\$89,066	\$10,284,138	\$103,153	\$10,298,225
Positions	178.120	178.120	178.120	-	178.120	-	178.120

Fund description

This fund is used to provide quality educational programming for students who are deaf, hard of hearing, and deaf-blind from the 47 western North Carolina counties and the students whose Individualized Educational Programs (IEP) recommend their attendance at a day program or residential school for the deaf. Funds allow students to receive K-12 instructional services which culminate in students receiving a North Carolina diploma or certificate as well as related services specified by their IEPs. Funding supports staff collaboration with the Division of Vocational Rehabilitation to facilitate transition services for students 14-21 years of age. Funding also supports outreach efforts that provide students, local education agencies, public school educators, parents, and community members access to a variety of services related to the intellectual, social, and emotional development of deaf and hard of hearing students.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$118,360	3.000
Administrative Service - Fiscal Management. Manage and analyze financial information; account for and forecast the use of department resources; conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.	\$231,385	4.000
Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.	\$38,226	3.000
Educational Service. Provide the North Carolina Standard Course of Study and independent living skills as specified in the goals and strategies listed in their Individualized Education Plan (IEP) to students age 5 - 21 in Western North Carolina who are deaf, hard of hearing, or deaf-blind so they successfully graduate with a high school diploma and/or are trained in a vocational area of employment, which allows them to achieve their highest level of independence and success.	\$3,802,906	73.000
Residential Services. Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residents at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$1,430,300	43.000
Child Nutrition Service. Provide quality nutritional meal services to all students on a daily basis as outlined in the state and federal child nutrition program so students will learn healthy eating guidelines resulting in better overall health.	\$658,733	13.000

Medical Service. Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health for all students.	\$1,091,799	20.120
Administrative Service. Provide other administrative support functions necessary to administer the school and maintain resources to educate all students so that they may receive a diploma or certificate and become productive, contributing citizens.	\$1,699,988	9.000
Support Service. Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$1,074,500	10.000
Actual Totals	\$10,146,197	178.120

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Students educated through the K-12 Instructional Program	131	125	108
Residential students	93	91	76
Complaints seen in the student health center	5,878	4,975	5,360

Fund 14424-1201 Eastern NC School for the Deaf — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$11,077,429	\$11,636,310	\$11,548,279	\$178,537	\$11,726,816	\$197,374	\$11,745,653
Receipts	\$475,613	\$214,727	\$207,264	(\$15,359)	\$191,905	(\$15,359)	\$191,905
Appropriation	\$10,601,816	\$11,421,583	\$11,341,015	\$193,896	\$11,534,911	\$212,733	\$11,553,748
Positions	193.250	194.000	193.250	-	193.250	-	193.250

Fund description

This fund is used to provide quality educational programming for students who are deaf, hard of hearing, and deaf-blind from the 53 eastern North Carolina counties and the students whose Individualized Educational Programs recommend their attendance at a day program or residential school for the deaf. Funds allow students to receive K-12 instructional services which culminate in students receiving a North Carolina diploma or certificate as well as related services specified by their Individual Educational Plans. Funding supports staff collaboration with Vocational Rehabilitation to facilitate transition services for students 14-21 years of age. Funding also supports outreach efforts that provide students, local education agencies, public school educators, parents, and community members access to a variety of services related to the intellectual, social, and emotional development of deaf and hard of hearing students.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$79,097	2.000
Administrative Service - Fiscal Management. Manage and analyze financial information; account for and forecast the use of department resources; conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.	\$578,157	5.000

Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.	\$61,992	2.000
Educational Service. Provide the NC Standard Course of Study and independent living skills as specified in the goals and strategies listed in their Individualized Education Plan (IEP) to students age 5 - 21 in Eastern North Carolina who are deaf, hard of hearing, or deaf-blind so they successfully graduate with a high school diploma and/or are trained in a vocational area of employment, which allows them to achieve their highest level of independence and success.	\$4,012,636	85.000
Residential Services. Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residents at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$1,540,455	42.000
Child Nutritional Service. Provide quality nutritional meal services to all students on a daily basis as outlined in the state and federal child nutrition program so students will learn healthy eating guidelines resulting in better overall health.	\$539,767	12.000
Medical Service. Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health for all students.	\$421,119	5.000
Educational Service. Provide deaf/blind students instructional services from deaf/blind teachers and/or deaf/blind interveners, independent living skills, specialized accommodations and therapies as outlined in their Individualized Education Program (IEP)	\$322,286	5.750
Administrative Service. Provide support functions necessary to administer the school and maintain resources to educate our students to that they may receive a diploma or certificate and become productive, contributing citizens.	\$1,718,050	11.500
Support Service. Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$1,803,871	23.000
Actual Totals	\$11,077,429	193.250

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Students educated through the K-12 Instructional Program	100	105	104
Residential students	85	89	66
Complaints seen in the student health center	5,964	3,708	4,409

Fund 14424-1405 Governor Morehead School — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$8,106,890	\$9,322,761	\$9,247,577	(\$640,106)	\$8,607,471	(\$629,740)	\$8,617,837
Receipts	\$553,947	\$356,843	\$358,603	(\$21,835)	\$336,768	(\$21,835)	\$336,768
Appropriation	\$7,552,943	\$8,965,918	\$8,888,974	(\$618,271)	\$8,270,703	(\$607,905)	\$8,281,069
Positions	144.750	144.000	144.750	-	144.750	-	144.750

Fund description

This fund is used to support the Governor Morehead School for the Blind that provides quality educational programming for visually impaired children and youth ages 5 through 21 from all 100 North Carolina counties. The school offers day and residential programs that provide instruction in the standard course of study which culminate in students receiving a North

Carolina diploma or certificate as well as support services as recommended. Funding supports staff collaboration with Division of Services for the Blind to facilitate transition services for students 14-21 years of age; research-based training opportunities through the North Carolina Central University Visually Impaired program; and outreach efforts that provide access to a variety of services related to the intellectual, social, and emotional development of visually impaired students. Outreach also includes short term programming on campus for disability specific training, such as Braille and/or Orientation and Mobility.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$115,470	3.000
Administrative Service - Fiscal Management. Manage and analyze financial information; account for and forecast the use of department resources; conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.	\$162,253	4.000
Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.	\$81,516	3.000
Educational Service. Provide the North Carolina Standard Course of Study and independent living skills as specified in the goals and strategies listed in their Individualized Education Plan (IEP) to students age 5 - 21 in Western North Carolina who are deaf, hard of hearing, or deaf-blind so they successfully graduate with a high school diploma and/or are trained in a vocational area of employment, which allows them to achieve their highest level of independence and success.	\$3,073,361	49.000
Residential Services. Provide social, emotional, and academic enrichment in a safe and secure environment for all students who are residents at the North Carolina School for the Deaf through organized group activities planned by residential staff to strengthen independent living skills and develop communication skills with peer groups and adult role models.	\$1,185,451	37.750
Child Nutritional Service. Provide quality nutritional meal services to all students on a daily basis as outlined in the state and federal child nutrition program so students will learn health eating guidelines resulting in better overall health.	\$569,676	11.000
Medical Service. Provide direct access to medical services, counseling, occupational, physical, and speech-language therapy through the student health center and telemedicine program to sustain mental and physical health for all students.	\$642,229	5.000
Educational Service. Provide itinerant evaluation through assessing a student's residual vision in his/her educational environment and make recommendations for modifications as well as consultation services to Local Education Agencies (LEAs) on an as needed by invitation basis.	\$349,482	7.500
Educational Service. Provide once a month, weekly camps for visually impaired students and focus on various skills needed by students to compensate for their visual impairment enabling students to become more independent.	\$349,482	7.500
Administrative Service. Provide other administrative support functions necessary to administer the school and maintain resources to educate our students so that they may receive a diploma or certificate and become productive, contributing citizens thus contributing to the North Carolina economy.	\$886,833	7.000
Support Service. Provide auxiliary and other support functions necessary to maintain the school including housekeeping, school maintenance, and utilities.	\$691,136	10.000
Actual Totals	\$8,106,890	144.750

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Students served through outreach itinerant services	792	447	379
Students served through outreach short-term programs	49	74	68
Students educated through the K-12 Instructional Program	74	83	79

Fund 14424-1406 Governor Morehead Preschool — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,935,283	\$4,274,968	\$4,293,134	\$24,459	\$4,317,593	\$25,310	\$4,318,444
Receipts	\$111,947	\$128,363	\$90,212	\$0	\$90,212	\$0	\$90,212
Appropriation	\$3,823,336	\$4,146,605	\$4,202,922	\$24,459	\$4,227,381	\$25,310	\$4,228,232
Positions	55.000	54.750	55.000	-	55.000	-	55.000

Fund description

This fund is used to manage a statewide system of home and center-based early intervention services to children ages birth to five years old with diagnosed visual impairments. Preschool staff work with families and community professionals from a network of 15 satellite sites to provide a variety of intervention services such as pre-Braille, pre-Orientation and Mobility, low vision use, compensatory skill use, and adaptive equipment. In addition to these direct services, the program provides on-site consultation, staff development and training, and assistance with assessments that aid the development of intervention plans.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Educational Service. Train and educate students with visual impairments in sensory development by providing intervention services such as pre-Braille, pre-Orientation and Mobility, low vision use, compensatory skill use, and adaptive equipment to promote individual independence and self-sufficiency.	\$3,848,276	55.000
Educational Service. Assist families in increasing their knowledge about visual impairments and assist them in developing the skills necessary to be successful advocates for their child through meetings, workshops, and parent support groups.	\$87,007	-
Actual Totals	\$3,935,283	55.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Students educated through the Governor Morehead Preschool	690	669	738
Families involved in the assessment and intervention process	690	669	714
Agencies that the Governor Morehead Preschool collaborates with to ensure services are provided in the most natural environment possible	377	397	483

Fund 14424-1505 Early Intervention Services - Preschool — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,949,079	\$4,041,005	\$4,134,548	\$50,020	\$4,184,568	\$57,787	\$4,192,335
Receipts	\$106,954	\$54,564	\$113,059	\$0	\$113,059	\$0	\$113,059
Appropriation	\$3,842,125	\$3,986,441	\$4,021,489	\$50,020	\$4,071,509	\$57,787	\$4,079,276
Positions	53.750	55.250	53.750	-	53.750	-	53.750

Fund description

This fund is used to manage a statewide system of home and center-based early intervention services to children who are birth to three years old with hearing impairments through the use of interventionists who work with children, families, and community professionals on the range of issues related to hearing loss; to provide itinerant services in the home or daycare setting for children with hearing loss, focusing on language development and communication skills; to evaluate these children regularly to monitor progress in all areas of development, including communication skills; to function in accordance with state and federal mandates in serving children with hearing loss as their primary disability; and to work collaboratively with other agencies that provide services to children who are ages birth to three in order to maximize resources.

Services for the fund

Educational Service. Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Western North Carolina.

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
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\$1,497,264 19.750

Educational Service. Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Eastern North Carolina.

\$1,676,026 23.000

Educational Service. Provide quality, comprehensive developmental and educational early intervention services to children ages birth to three who are deaf or hard of hearing and their families through parent education, participation and specialized instruction to develop language and communication and minimize delays upon transitioning to their local education systems in Central North Carolina.

\$775,789 11.000

Actual Totals

\$3,949,079 53.750

Measures for the fund

Percentage of parents/caregivers with hearing impaired children in the program who are involved in early intervention sessions

2005-06 66 % 2006-07 61 % 2007-08 62 %

Children ages birth to three receiving developmental and educational services

2005-06 475 2006-07 521 2007-08 582

Fund 14424-1701 Family Resource Centers — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$957,176	\$966,189	\$966,189	\$0	\$966,189	\$0	\$966,189
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$957,176	\$966,189	\$966,189	\$0	\$966,189	\$0	\$966,189
Positions	-	-	-	-	-	-	-

Fund description

The Family Support Services operates under contract with Beginnings for Parents of Hearing Impaired Children. Beginnings is a private, non-profit agency that supports families of children who are deaf and hard of hearing ages birth to 21. Beginnings provides emotional support, counseling, unbiased education, assessment, advocacy, and referral to families with deaf or hard of hearing children as well as training and technical assistance to early intervention and public school providers serving children who are deaf or hard of hearing. They also provide outreach to professionals who work with deaf and hard of hearing children. The Center conducts workshops in the areas of speech, language, and auditory development.

Services for the fund

Educational Service. Contract with Beginnings for Parents of Hearing Impaired Children to serve parents of children, from birth through age 21, who are deaf or hard of hearing by providing objective information about communication modalities technical assistance so that they can actively participate in shaping a home environment and educational experience that promotes their child's success in school.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$916,189 -

Educational Service. Contract with Beginnings for Parents of Hearing Impaired Children to provide training to North Carolina professionals that work with children, from birth to 21, who are deaf or hard of hearing so that they can provide effective intervention resulting in the delivery of an appropriate education for the children.

\$40,987 -

Actual Totals

\$957,176 -

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Families/parents contacted or served through Beginnings	535	611	637
Counties where families are being served by Beginnings staff	57	58	74
Children newly identified as deaf or hard of hearing whose families are receiving services from Beginnings	232	289	315

Fund 14424-1801 Central Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,279,594	\$2,493,576	\$2,617,788	\$0	\$2,617,788	\$0	\$2,617,788
Receipts	\$1,035,380	\$1,140,427	\$1,145,185	\$0	\$1,145,185	\$0	\$1,145,185
Appropriation	\$1,244,214	\$1,353,149	\$1,472,603	\$0	\$1,472,603	\$0	\$1,472,603
Positions	12.000	11.000	12.000	-	12.000	-	12.000

Fund description

This fund is for the Central Office staff which provides management and support services to assure quality operation of DHHS schools (OES residential schools and preschool programs, and DHHS mental health schools).

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$72,477	1.000
Administrative Service - Fiscal Management. Manage and analyze financial information; account for and forecast the use of department resources; conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.	\$70,047	1.000
Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.	\$41,337	1.000
Administrative Service - Educational. Provide oversight and support to the DHHS schools in the areas of management, accountability, school improvement, technology, transition services, and administrative reviews to ensure compliance with state and federal regulations.	\$812,421	5.000
Administrative Service - Transportation. Provide oversight and support to the DHHS schools in the areas of transportation services and funding provided by North Carolina Department of Public Instruction efficiency in use of transportation funding.	\$1,035,105	-
Administrative Service - Educational. Provide oversight and support to the DHHS schools in the areas of testing, teacher licensure, exceptional children issues, compliance, and evaluations to ensure compliance with state and federal regulations.	\$248,207	4.000
Actual Totals	\$2,279,594	12.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of schools meeting school improvement goals and compliance under the North Carolina ABCs programs	66 %	66 %	100 %

Fund 14424-1992 Prior Year Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$7,492	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$57,496	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$50,004)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Fund 14424-1993 Prior Period Refunds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$182,703	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

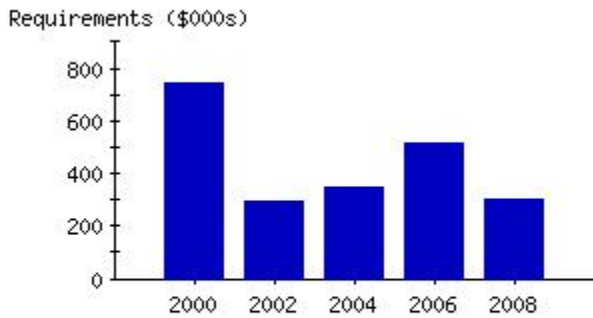
Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

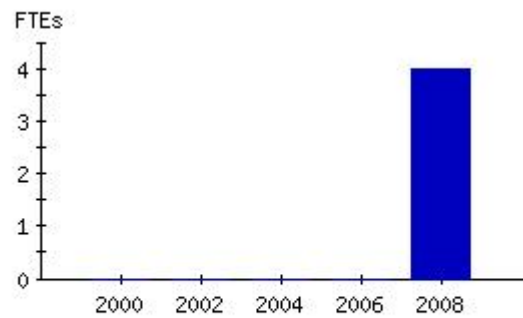
Base Budget and Performance Management Information

Budget Code 24424 DHHS - Early Intervention and Education - Special

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$300,583	\$362,396	\$421,568	\$0	\$421,568	\$0	\$421,568
Receipts	\$254,553	\$362,396	\$421,568	\$0	\$421,568	\$0	\$421,568
Chng Fund Bal	(\$46,030)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Budget Code 24424 DHHS - Early Intervention and Education - Special

Fund 24424-2101 WNCSD - Canteen and Vending Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,209	\$3,500	\$3,500	\$0	\$3,500	\$0	\$3,500
Receipts	\$2,549	\$3,500	\$3,500	\$0	\$3,500	\$0	\$3,500
Chng Fund Bal	\$1,340	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The fund tracks receipts and expenditures for the North Carolina School for the Deaf in Morganton vending operation.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Sales. Provide snacks and refreshments to employees, visitors, and clients/students. Vending is handled by an outside snack and beverage vendor.	\$1,210	-
Actual Totals	\$1,209	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds expended for Student Activities (dollars)	\$2,485	\$2,063	\$605
Funds expended for Discretionary and Staff Appreciation (dollars)	\$2,485	\$2,063	\$605

Fund 24424-2114 Artist in School Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$56	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$56)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to provide support in the development of artistic expression in North Carolina Schools for the Deaf. All remaining fund balance was expended in 2007-08 and the fund is no longer in use.

Fund 24424-2201 ENCSD - Canteen and Vending Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,406	\$2,386	\$2,386	\$0	\$2,386	\$0	\$2,386
Receipts	\$2,872	\$2,386	\$2,386	\$0	\$2,386	\$0	\$2,386
Chng Fund Bal	(\$1,534)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The fund tracks receipts and expenditures for the North Carolina School for the Deaf in Morganton vending operation.

Services for the fund

Sales. Provide snacks and refreshments to employees, visitors, and clients/students. Vending is handled by an outside snack and beverage vendor.

Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
\$4,406	-
\$4,406	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds expended for Student Activities (dollars)	\$3,458	\$1,193	\$2,203
Funds expended for Discretionary and Staff Appreciation (dollars)	\$3,458	\$1,193	\$2,203

Fund 24424-2206 ENCSD - Eisenhower Grant — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,087	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$1,087)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to provide Title II funding for improving the teaching and learning of all students through professional development activities to help ensure that teachers, administrators, and other staff have access to sustained and intensive high-quality professional development. All remaining fund balance was expended in 2007-08 and the fund is no longer in use.

Fund 24424-2208 NC Information Highway Grant — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$200	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$200)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to support the implementation of teleconferencing and high-speed data services through the North Carolina Information Highway Grant. All remaining fund balance was expended in 2007-08 and the fund is no longer in use.

Fund 24424-2211 Student Incentive Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$190	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,470	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$1,280	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund tracks receipts and expenditures for the Eastern North Carolina School for the Deaf vending receipts.

Fund 24424-2320 CNCSD IDEA Early Intervention — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$30	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$30)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to support the IDEA grant funded by DPI for children with disabilities. All remaining fund balance was expended in 2007-08 and the fund is no longer in use.

Fund 24424-2401 GMS Vending at Governor Morehead School — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$2,634	\$0	\$2,634	\$0	\$2,634
Receipts	\$0	\$0	\$2,634	\$0	\$2,634	\$0	\$2,634
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund tracks receipts and expenditures for the Governor Morehead School for the Deaf vending receipts.

Fund 24424-2422 KBR Grant for Telemedicine — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$21,120	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$21,120)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The fund no longer records current year funds but was used to record prior year grant carryover balance for the purpose of the grant. This grant ended during the 2007-08 fiscal year.

Fund 24424-2805 DPI - Title V 059 Library — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$818	\$988	\$918	\$0	\$918	\$0	\$918
Receipts	\$818	\$988	\$918	\$0	\$918	\$0	\$918
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

These funds are used to support literacy skill development for DHHS students. By purchasing more basic level, high interest reading materials in the content areas, students have more opportunities to increase their comprehension skills.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Educational Service. Purchase more basic level, high interest reading materials for students to increase reading and literacy skills.	\$818	-
Actual Totals	\$818	-

Fund 24424-2810 DPI - Title VIB 060 Handicapped — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$247,878	\$331,954	\$294,238	\$0	\$294,238	\$0	\$294,238
Receipts	\$206,231	\$331,954	\$294,238	\$0	\$294,238	\$0	\$294,238
Chng Fund Bal	(\$41,647)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

The purpose of this fund is to support the DHHS school aged students served in the OES Residential Schools and the schools programs supported by the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services to ensure that students achieve their highest potential and that staff are highly qualified to work with those children and their families. About 95 percent of these students are identified as exceptional children and have an Individualized Education Program (IEP). All students are instructed in the North Carolina Standard Course of Study at their appropriate level and with the accommodations outlined in their IEP. These funds support positions, contract services, field trips, staff development, equipment/computer software, and instructional materials. The funds are restricted by the rules and regulations imposed by the federal government which allots these funds to each State Education Agency (SEA). In North Carolina, the Department of Public Instruction allots these funds to all school systems and DHHS based on the number of students placed on the December 1 Headcount. Funds are allotted only for those children with IEPs.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Educational Service. Provide workshop expenses and allowable travel to continue the professional development of highly qualified staff.	\$16,956	-
Educational Service. Provide funding for additional positions related to the unique services required by exceptional children to ensure students' needs are met.	\$144,143	4.000
Educational Service. Provide funding for instructional materials, supplies, field trips, computer equipment, and software to increase students' ability to access knowledge and achieve their IEP goals.	\$86,779	-
Actual Totals	\$247,878	4.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Enrolled children in the December 1 headcount	401	367	-

Fund 24424-2816 DPI - Sliver Grant 044 — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$1,464	\$10,956	\$0	\$10,956	\$0	\$10,956
Receipts	\$0	\$1,464	\$10,956	\$0	\$10,956	\$0	\$10,956
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to meet the identified needs of students in the DHHS school system through implementing positive behavior support, increasing social skills training, and continuing to focus on literacy. Funds will be expended for instructional materials and supplies.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Educational Service. Provide supplies and materials for positive behavior support programming enabling staff to teach students how to deal with behavioral issues in a positive and productive manner.	\$0	-
Educational Service. Provide resources and training for social skills development to provide students a firm foundation for independent living.	\$0	-
Educational Service. Provide professional development related to effective literacy programming enabling more students to become fluent readers.	\$0	-
Actual Totals	\$0	-

Fund 24424-2821 DPI - Title II 103 Improving Teacher Quality — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,486	\$17,087	\$101,919	\$0	\$101,919	\$0	\$101,919
Receipts	\$30,613	\$17,087	\$101,919	\$0	\$101,919	\$0	\$101,919
Chng Fund Bal	\$26,127	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to provide high quality, research-based training and workshop expenses and allowable travel for teachers and other eligible staff to improve their skills and for those who are not Highly Qualified by the guidelines in No Child Left Behind to become so. The grant concentrates on development of skills in teaching reading, math, writing, and science. This benefits all of the exceptional children in the DHHS school system. The funds are restricted by the rules and regulations imposed by the federal government which allots these funds to each State Education Agency (SEA). In North Carolina, the Department of Public Instruction allots these funds to all school systems and DHHS based on the number of students placed on the December 1 Headcount. Funds are allotted only for those children with IEPs.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Provide high quality, research-based staff development to qualified personnel in reading so that students' skills in this area are improved through better instruction.	\$1,122	-
Provide high quality, research-based staff development to qualified personnel in math so that students' skills in this area are improved through better instruction.	\$1,122	-
Provide high quality, research-based staff development to qualified personnel in writing so that students' skills in this area are improved through better instruction.	\$1,122	-
Provide high quality, research-based staff development to qualified personnel in science so that students' skills in this area are improved through better instruction.	\$1,122	-
Actual Totals	\$4,486	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of teachers employed by DHHS that are highly qualified	-	97 %	97 %
Percentage of lateral entry teachers who received two weeks of appropriate professional development before starting student contact	100 %	100 %	100 %

Fund 24424-2825 DPI - Reading Grant — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$19,103	\$5,017	\$5,017	\$0	\$5,017	\$0	\$5,017
Receipts	\$10,000	\$5,017	\$5,017	\$0	\$5,017	\$0	\$5,017
Chng Fund Bal	(\$9,103)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Reading grant is to provide scientifically research-based instruction that is direct, systemic, and explicit for deaf and hard of hearing students at the residential schools who need basic reading skill development. In the 2008-09 fiscal year this fund was combined with the Title VIB Handicapped fund (Fund 2810) by the Department of Public Instruction. The current expectation is that this will continue in the future.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Educational Service. Provide academic enrichment to increase students' reading levels through targeted intensive direct instruction with a scientifically researched-based reading program to K-12 students at the North Carolina School for the Deaf and Eastern North Carolina School for the Deaf, to students who are deaf and hard of hearing. Funding supports grades kindergarten through twelve, so students improve their reading skills and bridge the gap for being able to read at grade level.	\$6,367	-
Educational Service. Provide quality teacher training to gain skills in how to teach reading to students who have persistent reading difficulties, to implement the scientifically research-based reading program, and to purchase the required materials for instruction to increase students reading levels.	\$6,367	-

Educational Service. Provide access for parent involvement to understand the model instruction procedures, follow-through home activities (including summer activities), and the sites decision-making structure concerning their child's program to increase parental awareness of their child's literacy needs.

\$6,367 -

Actual Totals

\$19,103 -

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Implementation of Site's Model Instruction ¹	2	2	3
Student Progress Evaluation ¹	1	2	2
Collaboration with Teacher Education Programs ¹	2	2	2

¹The measurements that have been established are: (Rating 1= No Progress; 2= Some Progress- more work to do; 3= Good Progress, with some exceptions; 4= Yes, task completed or demonstrated in exemplary fashion.

Division of Public Health

Mission

To promote and contribute to the highest possible level of health for the people of North Carolina.

Goals

Achieve optimal health outcomes for women, children, and families through improved systems of care that promote well being and prevent, screen, diagnose, and treat health problems.

Protect the health and ensure the safety of people in North Carolina by promoting and supporting an all-hazards preparedness and response system for public health emergencies.

Promote healthy lifestyles to decrease leading preventable causes of death, and to decrease disability and disease among all North Carolinians through program services, advocacy, education, leadership, and policy initiatives.

Minimize the exposure of people in North Carolina to potentially harmful environmental risk factors and understand the impact of environmental hazards on health by providing consultation, appropriate laboratory testing, and risk assessments.

Improve the health status for North Carolina by increasing the availability and accessibility of quality health services through the resources and expertise of the Division of Public Health and local health departments in collaboration with other statewide partners.

Reduce the spread of communicable disease to ensure the health and safety of all people in North Carolina by improving early detection, initiating prompt investigation, instituting appropriate control measures, and implementing prevention strategies.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Vaccination rate among children 19-35 months of age	77%	78%	82%	82%	77%
Infant mortality rate (deaths per 1,000 births) ¹	8.8	8.8	8.1	8.5	-
Percentage of adults who are obese ²	24%	26%	27%	29%	-

¹This measure reflects data for a calendar year period.

²This measure reflects data for a calendar year period.

Governor's Recommended Adjustments to Base Budget

Division of Public Health (14430)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$794,639,232	\$797,829,994
Receipts	<u>\$599,425,225</u>	<u>\$599,599,491</u>
Appropriation	\$195,214,007	\$198,230,503
Adjustments		
Requirements	(\$3,002,693)	(\$4,142,824)
Receipts	<u>\$7,834,392</u>	<u>\$7,834,392</u>
Appropriation	(\$10,837,085)	(\$11,977,216)
Total		
Requirements	\$791,636,539	\$793,687,170
Receipts	<u>\$607,259,617</u>	<u>\$607,433,883</u>
Recommended Appropriation	<u>\$184,376,922</u>	<u>\$186,253,287</u>
<hr/>		
Positions		
Base Budget Positions	2,117.550	2,117.550
Reductions	(9.000)	(9.000)
Expansion	____-	____-
Recommended Positions	<u>2,108.550</u>	<u>2,108.550</u>

Appropriation Items -- Recommended Adjustments

Reductions

	<u>2009-10</u>	<u>2010-11</u>
1. Reduce Operating Budgets		
This recommendation reduces operating budgets that do not impact the division's ability to carry out programs and services in the following public health section and branches: the Women's and Children's Health Section; the Early Intervention and Immunization Branch; and the Local Health Departments (Local Health Services).		
Requirements	(\$358,363)	(\$358,363)
Receipts	(\$10,000)	(\$10,000)
Appropriation	(\$348,363)	(\$348,363)
Positions	-	-
2. Reduce Aids Drug Assistance Program		
This recommendation reduces state funds, on a two-year nonrecurring basis, used to purchase pharmaceuticals in the AIDS Drugs Assistance Program. This reduction is not anticipated to cause a decrease in the current level of service or to create a waiting list. The program will begin to use its "drug reserve" which is an inventory of drugs (valued at \$7 million) that is maintained in the event of an interruption in the normal delivery of drugs to the distribution center.		
Appropriation - Nonrecurring	(\$2,074,119)	(\$2,074,119)
3. Vital Records Fee Increase		
This recommendation reduces state funds by allowing the Vital Records Section to become 100% receipt-supported. This recommendation eliminates the General Fund appropriation and replaces it with receipts generated from an increase in the fee charged for vital records from \$15.00 to \$24.00 per copy. It also increases the fee charged for expedited service by \$5.00 for out-of-state requests.		
Requirements	-	-
Receipts	\$1,226,403	\$1,226,403
Appropriation	(\$1,226,403)	(\$1,226,403)

4. Reduce Funds for Grant Programs

This recommendation reduces state funds for the following two public health grant programs: the Local Health Services Incubator Grant Program, administered via a contract with the NC Institute for Public Health (\$394,255); and the Community Focused Eliminating Health Disparities Initiative (\$50,000).

Requirements	(\$444,255)	(\$444,255)
Receipts	-	-
<hr/>		
Appropriation	(\$444,255)	(\$444,255)
Positions	-	-

5. Shift Positions to Receipt Support

This recommendation replaces General Fund appropriations with federal funds for the following positions: one Public Health Program Consultant I position in the Immunization section (\$57,154); and seven WIC Regional Consultants in the Nutrition and Dietary Services section(\$13,387).

Requirements	-	-
Receipts	\$70,541	\$70,541
<hr/>		
Appropriation	(\$70,541)	(\$70,541)

6. Eliminate Positions and Reduce Contracts in the Early Intervention Program

The recommendation eliminates nine vacant positions and decreases contract funding for four Children's Developmental Services Agencies(CDSAs), which also provide early intervention services to children. This position elimination represents a one percent (1%) decrease in the total position count.

Requirements	(\$660,054)	(\$660,054)
Receipts	-	-
<hr/>		
Appropriation	(\$660,054)	(\$660,054)
Positions	(9.000)	(9.000)

7. Replace State Funds with Federal Receipts

This recommendation replaces state funds with an equal amount of federal funds for the following programs: Maternity Care Coordination and Targeted Infant Mortality Reduction Programs (\$333,207); NC Healthy Start Foundation (\$155,000); Perinatal Outreach Coordinator Program (\$30,000); the Craniofacial Abnormalities contract and Carolina Children's Communicative Disorder Program (\$537,248); and the Prevent Blindness NC contract (\$135,700).

Requirements	-	-
Receipts	\$1,191,155	\$1,191,155
Appropriation	(\$1,191,155)	(\$1,191,155)

8. Eliminate Statewide Contracts

This recommendation eliminates funds that support the following contracted programs: the Fetal Infant Mortality Review Program (\$76,032), which contracts to collect and analyze hospital, family, and community data from families and health providers to determine causes of fetal and infant deaths; the Tertiary Center High Risk Maternity Clinics (\$249,935); and the Community Transition Coordination Program (\$132,000), which reviews records of children admitted to hospitals.

Requirements	(\$791,174)	(\$791,174)
Receipts	(\$333,207)	(\$333,207)
Appropriation	(\$457,967)	(\$457,967)
Positions	-	-

9. Reduce Contracts-Children and Youth

This recommendation reduces unobligated contract funds with local health departments (LHDs) and Community Care of NC agencies, and also reduces the contract with the University of North Carolina.

Requirements	(\$903,965)	(\$903,965)
Receipts	-	-
Appropriation	(\$903,965)	(\$903,965)
Positions	-	-

10. Remove Inflationary Increases and Vehicle and Equipment Replacement

This recommendation reduces state funds by removing inflationary items such as utilities, water and sewer, gasoline, and pharmaceuticals out of the continuation budget. Vehicle and equipment replacement increases are also being taken out of the continuation budget.

Requirements	(\$3,707,263)	(\$4,847,394)
Receipts	-	-
<hr/>		
Appropriation	(\$3,707,263)	(\$4,847,394)
Positions	-	-

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$6,865,074)	(\$8,005,205)
Receipts	2,144,892	2,144,892
<hr/>		
Appropriation	(\$9,009,966)	(\$10,150,097)
Positions	(9,000)	(9,000)
Nonrecurring		
Requirements	(\$2,074,119)	(\$2,074,119)
Receipts	-	-
<hr/>		
Appropriation	(\$2,074,119)	(\$2,074,119)
Positions	-	-

Expansion

2009-10 2010-11

1. Improve Birth Outcomes and Reduce Infant Mortality

The Governor recommends funding in the amount of \$97,000 to educate healthcare providers and women on the benefits of progesterone for those who have had pre-term births and to purchase medication for eligible minority and low-income women. Funds in the amount of \$150,000 are being recommended to expand and strengthen the statewide initiative addressing SIDS (Sudden Infant Death Syndrome) awareness campaign, which emphasizes safe sleep practices.

Requirements	\$247,000	\$247,000
Receipts	-	-
Appropriation	\$247,000	\$247,000
Positions	-	-

2. Federal Recovery Funds for the Division of Public Health (DPH)

The Governor recommends budgeting federal funds available through the American Reinvestment and Recovery Act for the DPH Immunization Branch in the amount of \$11,379,000. These funds may be used to purchase vaccines for children and to fund adult immunization programs that protect seniors and others from common diseases such as seasonal influenza that claimed 1,699 lives in 2006 and 1,644 in 2007.

Requirements - Nonrecurring	\$5,689,500	\$5,689,500
Receipts - Nonrecurring	\$5,689,500	\$5,689,500
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

2009-10 2010-11

Recurring

Requirements	\$247,000	\$247,000
Receipts	-	-
Appropriation	\$247,000	\$247,000
Positions	-	-

Nonrecurring

Requirements	\$5,689,500	\$5,689,500
Receipts	5,689,500	5,689,500
<hr/>		
Appropriation	-	-
Positions	-	-

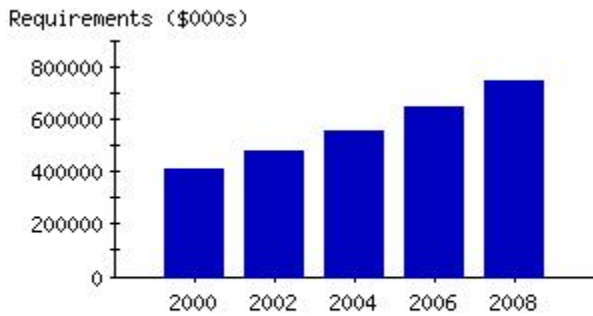
**Total Recommended Adjustments for
 Division of Public Health (14430)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$6,618,074)	(\$7,758,205)
Receipts	2,144,892	2,144,892
<hr/>		
Appropriation	(\$8,762,966)	(\$9,903,097)
Positions	(9,000)	(9,000)
Nonrecurring		
Requirements	\$3,615,381	\$3,615,381
Receipts	5,689,500	5,689,500
<hr/>		
Appropriation	(\$2,074,119)	(\$2,074,119)
Positions	-	-
Total Appropriation Adjustments	(\$10,837,085)	(\$11,977,216)
Total Position Adjustments	(9,000)	(9,000)

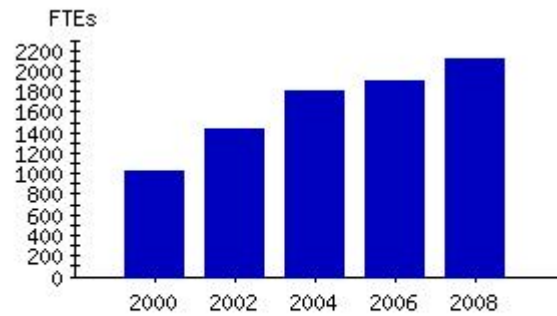
Base Budget and Performance Management Information

Budget Code 14430 DHHS - Division of Public Health

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Growth in positions due largely to the addition of 14 state managed Children's Developmental Service agencies.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$746,553,368	\$776,856,119	\$791,703,417	\$2,935,815	\$794,639,232	\$6,126,577	\$797,829,994
Receipts	\$567,161,659	\$582,673,043	\$596,223,011	\$3,202,214	\$599,425,225	\$3,376,480	\$599,599,491
Appropriation	\$179,391,709	\$194,183,076	\$195,480,406	(\$266,399)	\$195,214,007	\$2,750,097	\$198,230,503
Positions	2,114.550	2,146.560	2,117.550	-	2,117.550	-	2,117.550

Budget Code 14430 DHHS - Division of Public Health

Fund 14430-1110 Division Offices — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$14,875,894	\$8,205,807	\$11,904,946	\$1,247,363	\$13,152,309	\$1,247,363	\$13,152,309
Receipts	\$7,446,316	\$2,382,973	\$5,810,284	\$0	\$5,810,284	\$0	\$5,810,284
Appropriation	\$7,429,578	\$5,822,834	\$6,094,662	\$1,247,363	\$7,342,025	\$1,247,363	\$7,342,025
Positions	94.000	97.550	86.300	-	86.300	-	86.300

Fund description

The purpose of the Division Office is to provide core administrative and management functions for the Division of Public Health. The central Division Office develops and analyzes policy, conducts program planning and coordination, secures and allocates resources, and provides fiscal oversight and control. The Division Office guides the delivery of public health programs across the state, and ensures proper administration of all division activities.

Services for the fund

Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.

**Actual
Requirements
2007-08**

\$859,435

**Actual
FTEs
2007-08**

11.000

Administrative Service - Budget and Analysis. Conduct resource planning and allocation activities; develop and execute the budget; and analyze issues impacting the department's budgets and management.

\$1,032,869

17.000

Administrative Service - Purchasing. Coordinate and execute the acquisition of equipment, materials, services and supplies for the department.

\$204,568

4.000

Administer funds to support the Office of the Director and information technology, providing support that allows the business of public health to operate as seamlessly as possible, so that the division may better serve the public.

\$9,778,941

26.000

Performance Improvement and Accountability. Develop and monitor quality indicators for the public health system (local and state) and provide technical assistance to state and local health departments on performance improvement processes and efforts.

\$79,411

1.000

Purchase of Medical Care. Administer Purchase of Medical Care funds to assist permanent residents of North Carolina who are medically and financially eligible if other health coverage is limited or unavailable.

\$561,552

13.000

Business Operations. Provide contracts and grants management, accounts payable, and asset and liability management.

\$2,099,691

20.000

Office of Medicaid Reimbursement Services. Support the claiming of full costs for Medicaid Fee for Services activity in Local Health Departments (LHDs) and Children's Developmental Services Agency (CDSAs).

\$259,435

2.000

Actual Totals

\$14,875,894

94.000

Measures for the fund	2005-06	2006-07	2007-08
Budget revisions completed	1,569	1,245	1,332
Personnel actions completed	875	1,988	-

Fund 14430-1160 State Center for Health Statistics — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,450,322	\$5,732,883	\$4,722,818	\$45,177	\$4,767,995	\$45,177	\$4,767,995
Receipts	\$1,876,330	\$2,790,898	\$1,792,605	\$0	\$1,792,605	\$0	\$1,792,605
Appropriation	\$2,573,992	\$2,941,985	\$2,930,213	\$45,177	\$2,975,390	\$45,177	\$2,975,390
Positions	59.000	66.000	59.000	-	59.000	-	59.000

Fund description

The purpose of State Center for Health Statistics (SCHS) is to study the occurrence of disease and disability in North Carolina, and its impact on the population's health and well being. SCHS provides expert health related research and analysis that are needed to address critical health policy issues affecting the state. SCHS serves a variety of customers including federal, state, and local agencies, researchers, and the general public. Services offered by SCHS include: 1) provide analyses about the health status of North Carolinians; 2) ensure that timely, accurate, and high quality health-related data are available; 3) develop working relationships with other public and private agencies to improve the availability of databases and their analyses; 4) support DPH and DHHS in data processing, survey operations, and statistical analyses; 5) assist local health departments and other community agencies in assessing community health status and evaluating progress in achieving local health objectives; and 6) ensure the efficient collection, management, and analysis of data for the purpose of public health program planning and evaluation.

Services for the fund

Birth Defects Monitoring Program. Produce data and analysis by collecting, analyzing, and reporting information on birth defects in North Carolina. The information is used by the health stakeholders, families, and general public. The program assists in the design and evaluation of services and interventions, conducts research into the causes of birth defects, and identifies and refers children for services. The goal of the program is to reduce the prevalence of birth defects among children.

North Carolina Central Cancer Registry (CCR). Collect standardized cancer incidence data and use the data to report trends in cancer incidence and mortality for education and resource allocation by local facilities, health departments, and private citizens; to consult with the state's cancer advisory committee to plan and evaluate evidence-based programs; and for epidemiologic research to understand the causes and effective treatment of cancer and survival issues of cancer patients.

Statistical Services Unit (SSU). Conduct statewide health surveys (BRFSS, CHAMP, PRAMS), perform health and spatial analysis, and analyze Vital Statistics, Medicaid, hospital discharge, and other health data in order to monitor, research, and report on the health status of North Carolinians. Provide information to health program managers and policy makers in order to guide public health policy and resource allocation.

Actual Totals

Actual Requirements 2007-08	Actual FTEs 2007-08
\$791,998	12.740
\$1,890,359	23.850
\$1,767,968	22.410
\$4,450,322	59.000

Measures for the fund	2005-06	2006-07	2007-08
Requests for annual reports and data analysis completed through the State Center for Health Statistics	3,094	2,906	3,153
New cancer cases registered and processed in North Carolina	51,500	53,378	59,114
Birth defects case reports collected and analyzed	2,900	3,200	4,108

Fund 14430-1410 Local Health Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$27,540,698	\$19,373,751	\$31,228,687	\$67,083	\$31,295,770	\$67,083	\$31,295,770
Receipts	\$16,325,565	\$1,177,906	\$13,317,305	\$0	\$13,317,305	\$0	\$13,317,305
Appropriation	\$11,215,133	\$18,195,845	\$17,911,382	\$67,083	\$17,978,465	\$67,083	\$17,978,465
Positions	32.000	29.500	23.500	-	23.500	-	23.500

Fund description

The purpose of the Local Health Services fund is to provide resources, tools, consultation, and technical assistance to local health departments on fiscal management, efficiency, and productivity issues. It is also used to negotiate appropriate Medicaid reimbursement rates based on annual cost study results and to assure that the Health Services Information System provides appropriate interface for local agencies to seek reimbursement for services from all payment sources. This fund provides information, training, consultation, and technical assistance on minority health issues to national, state, and local health and human service agencies, community-based organizations, and other public and private health agencies. The result of these functions is to improve the delivery of essential public health services to all North Carolinians.

Services for the fund

	Actual Requirements 2007-08	Actual FTEs 2007-08
General Aid to County Health Departments. Provide funding and support to the 85 local health departments to help pay for the delivery of core public health functions that are not covered by programmatic funding.	\$7,930,370	-
Local Technical Assistance and Training. Provide consultation and technical assistance to the 85 local health departments in fiscal and administrative issues, including policies and procedures, and serve as the primary contact for local health directors on leadership and management issues, resulting in more effective and efficient agencies.	\$2,176,780	5.000
HIS System Development and Implementation. Contractor (PCG) identifies Medicaid Admin Claims through random moment time study on behalf of counties. These funds, which reside in a special non-reverting account, support the development and implementation of the new Health Information System to be used by the 85 local health departments to capture and bill, where appropriate, services provided in their communities.	\$12,722,566	1.000
Office of Minority Health and Health Disparities. Provides funds, consultative and technical assistance, and training to community based organizations, faith based organizations, American Indian tribes, and local health departments to build capacity to provide prevention services that eliminate health disparities and reduce barriers to health services.	\$3,715,952	12.000
Healthy Carolinians/Health Education. Improve the health status of community members by establishing and supporting community-based, multi-agency partnerships that facilitate planning and implementation of projects that are guided by the NC 2010 health objectives.	\$429,367	9.000
Division Leadership Support. Administer funds to support division management, providing support that allows the business of public health to operate as seamlessly as possible, so that the Division may better serve the public.	\$565,660	5.000
Actual Totals	\$27,540,698	32.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Local Health Departments that have fiscal management and reporting in compliance with all requirements	85	85	85
Organizations that focus on the use of preventive measures to support healthy lifestyles	380	460	-
Technical assistance, site visits, and training contacts with Healthy Carolinians partnerships and health educators to identify and establish health priorities, and to develop and implement community programs	428	770	1,341

Fund 14430-1420 Medical Examiner — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$6,228,802	\$6,620,637	\$6,073,488	\$1,166,885	\$7,240,373	\$1,120,293	\$7,193,781
Receipts	\$1,743,638	\$2,085,306	\$1,566,849	\$0	\$1,566,849	\$0	\$1,566,849
Appropriation	\$4,485,164	\$4,535,331	\$4,506,639	\$1,166,885	\$5,673,524	\$1,120,293	\$5,626,932
Positions	34.500	36.500	36.500	-	36.500	-	36.500

Fund description

The purpose of this fund is to investigate unnatural, suspicious, traumatic, or unattended deaths in North Carolina through autopsies, toxicology, and review of county medical examiner findings to certify cause and manner of death; to provide timely, accurate investigative reports to families, law enforcement, and other interested parties; and to train law enforcement, providers, and other agencies on child death investigation techniques in order to reduce the incidence of unnatural, suspicious, traumatic, or unattended deaths in North Carolina.

Services for the fund

Medical Examiner System. Ensure that the cause and manner of death for certain types of deaths are properly certified. Local Medical Examiners conduct the initial examination and sign the death certificate and may refer the case to a contracted or authorized pathology center, or to the Office of the Chief Medical Examiner (OCME). OCME Toxicology Laboratory does forensic analysis of specimens. OCME oversees the statewide system for body transportation, billing, quality control review, and data management.

Child Fatality Prevention Team. Serve North Carolinians by investigating and monitoring child fatalities due to injury or neglect to identify and reduce preventable fatalities of children residing in North Carolina. The Child Fatality Review Team makes recommendations for policy to protect the state's children. Staff conduct training for local law enforcement and allied health agencies to improve the quality of child death investigation throughout the state.

Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
\$6,064,599	31.500
\$164,203	3.000
\$6,228,802	34.500

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Death certifications issued as mandated by North Carolina general statutes	9,951	10,107	10,788
Child fatality reviews completed	1,161	653	999

Fund 14430-1430 Office of Chief Nurse — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,127,149	\$1,564,867	\$1,460,974	\$0	\$1,460,974	\$0	\$1,460,974
Receipts	\$280,021	\$670,067	\$523,044	\$0	\$523,044	\$0	\$523,044
Appropriation	\$847,128	\$894,800	\$937,930	\$0	\$937,930	\$0	\$937,930
Positions	8.000	9.000	8.000	-	8.000	-	8.000

Fund description

The purpose of this fund is to provide training via the Public Health Training and Information Network (PHTIN) as well as using on-line and traditional mechanisms to provide tools, technical assistance, and consultation on nursing issues in local health departments to enhance the delivery of services by public health nurses to North Carolinians.

Services for the fund

Nursing Consultation. Provide assistance to local health departments on policies, procedures, standing orders, legal nursing practice, quality improvement, and efficiency of clinical services. Lead in providing technical assistance to local health departments seeking initial accreditation in each accreditation cycle. This service is intended to document and improve the capacity of local health departments to deliver essential services, including clinical services, to their community.

Public Health Training and Information Network (PHTIN). Provide education and information updates to staff in 85 local health departments (LHDs). The Network provides a hub for scheduling and technical support through a contract between DPH and UNC-CH. This service helps to assure an appropriately trained public health workforce.

<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
\$647,125	7.000
\$480,025	1.000
\$1,127,149	8.000

Actual Totals

Measures for the fund

Local health departments seeking accreditation that achieve full accreditation status

Local health departments participating in training/informational updates via PHTIN

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
10	10	10
85	85	85

Fund 14430-1435 Dental Health Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,959,265	\$6,763,969	\$6,870,422	\$27,248	\$6,897,670	\$27,248	\$6,897,670
Receipts	\$1,627,380	\$1,818,992	\$1,990,598	\$0	\$1,990,598	\$0	\$1,990,598
Appropriation	\$4,331,885	\$4,944,977	\$4,879,824	\$27,248	\$4,907,072	\$27,248	\$4,907,072
Positions	73.000	74.000	74.000	-	74.000	-	74.000

Fund description

The purpose of this fund is to carry out an annually updated statewide plan for providing education and preventive services to reduce tooth decay and promote oral health. Services are provided across the state by Section public health dentists and dental hygienists who live and work in the communities they serve. Services include activities to provide direct dental preventive

services such as sealants to targeted high-risk children; monitor community water supplies for optimal fluoride levels and provide technical assistance regarding fluoridation; provide dental screening and referral for dental treatment services for children; provide instruction on dental care, sealants, nutrition, fluorides, diabetes, plaque control, and injury prevention for children and adults who influence their health; provide consultation to local public health service providers; maintain and provide public information on a dental health surveillance database on disease levels, sealants, treatment, and dental needs; and provide residency training in the specialty of dental public health for masters trained public health dentists. This fund also supports a division-wide school health initiative which aims to improve the health and academic performance of school children by positively impacting school health policy, services and their environment.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Dental Prevention. Reduce tooth decay and improve oral health by providing dental sealants for children at high risk for tooth decay; fluoride mouth rinse in targeted high risk schools; community water fluoridation; and training/support for physicians and health departments providing dental preventive services (oral health education, dental screening, and fluoride varnish) for infants and toddlers.	\$2,361,356	28.000
Dental Screening and Referral. Conduct dental assessments on kindergarten and 5th grade children in public schools, evaluate the need for dental referrals, treatments, and sealants, and coordinate services to increase children's access to dental care and reduce their untreated disease. Collect and make available surveillance data so the public can access North Carolina-specific data to use for planning and policy development needs.	\$2,219,501	28.000
Dental Education. Provide preventive dental educational services in elementary schools and community settings, including dental care, sealants, nutrition, fluoride, plaque control, tobacco use, and injury prevention. Provide instruction on preventive measures for staff in preschools. Provide residency training in the specialty of Dental Public Health for Masters trained dentists.	\$1,136,054	14.000
Program Leadership Support. Provide program leadership support to the Oral Health Section by providing direction and supervision, resulting in accomplishment of section management initiatives and effective program operations.	\$242,352	3.000
Actual Totals	\$5,959,265	73.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of fifth grade children who have never had tooth decay in their permanent teeth	76 %	75 %	73 %
Percentage of fifth grade children with preventive dental sealants	44 %	42 %	45 %
Percentage of residents on community water systems who receive the benefits of fluoridation	85 %	88 %	88 %

Fund 14430-1440 Highway Safety Scientific Service — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,022,883	\$2,388,392	\$2,328,203	\$256,657	\$2,584,860	\$73,069	\$2,401,272
Receipts	\$3,980,106	\$2,363,425	\$2,303,236	\$10,000	\$2,313,236	\$0	\$2,303,236
Appropriation	\$42,777	\$24,967	\$24,967	\$246,657	\$271,624	\$73,069	\$98,036
Positions	29.000	30.000	29.000	-	29.000	-	29.000

Fund description

This program is designed to increase public awareness about drinking alcohol or taking drugs and driving a motor vehicle and to provide state-of-the-art breath alcohol test instrumentation and equipment for law enforcement used in processing drivers detected and apprehended for impaired driving. The program prevents and reduces the risks and consequences of one of the leading causes of injuries and deaths in North Carolina, alcohol/drug related crashes.

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Training, Certification, and Expert Technical Support. Conduct alcohol/drug training and certification for law enforcement officers enhancing their knowledge, skills, and abilities to detect and apprehend DWI drivers, ultimately reducing deaths, injuries, and public health care costs. Provide scientific and technical support to the court system by providing an understanding of the effects of alcohol and drugs on humans, through expert testimony and training.	\$1,226,279	18.570
DWI Awareness Education and Breath Alcohol Testing Mobile Unit. Conduct Driving While Impaired Checkpoints maximizing the deterrent effect and increasing the perception of the "risk of apprehension" of motorists. Work with high schools, colleges, universities, and public health communities to educate young adult drivers about the dangers of drinking and driving in order to discourage impaired driving.	\$262,958	4.160
Instrumentation and Maintenance. Purchase and maintain the more than 400 evidential breath alcohol test instruments for testing impaired drivers, service and repair the 5,000 portable alcohol screening test devices utilized by law enforcement, and operate six Breath Alcohol Testing Mobile Units utilized during DWI checkpoints throughout the State to process and test impaired drivers. Provide administrative support to the Department of Motor Vehicles, Driver's License Section, and Driver's Medical Review Board physicians.	\$2,533,646	6.270
Actual Totals	\$4,022,883	29.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
General public and young adults reached through highway safety/educational events across the state	280,788	237,495	57,220
Impaired drivers removed from state streets and highways	56,348	56,493	57,025
Law enforcement officers trained in detecting, apprehending and conducting breath/blood tests on impaired drivers	3,683	3,724	7,121

Fund 14430-1451 Communicable Disease/Bioterrorism — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$6,743,331	\$9,860,396	\$7,369,644	\$31,337	\$7,400,981	\$66,812	\$7,436,456
Receipts	\$2,446,568	\$4,613,350	\$2,285,781	\$0	\$2,285,781	\$0	\$2,285,781
Appropriation	\$4,296,763	\$5,247,046	\$5,083,863	\$31,337	\$5,115,200	\$66,812	\$5,150,675
Positions	26.000	26.210	24.210	-	24.210	-	24.210

Fund description

The purpose of this fund is to work with local and regional health departments to ensure prompt reporting and control of non-sexually transmitted communicable diseases and to assist with disease outbreak investigations. A secondary focus is to test and

treat persons living in North Carolina with active tuberculosis infections and their close contacts. The conduct of both activities results in improved health outcomes for persons living in North Carolina.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Communicable Diseases. Provide general communicable disease technical assistance, consultation, disease surveillance, disease outbreak assistance and training to local health departments, hospital infection control departments, physicians' offices, and clinics statewide to reduce the impact of non-sexually transmitted diseases in North Carolina.	\$1,601,861	8.000
Tuberculosis Control. Reduce the incidence of tuberculosis in North Carolina through nurse consultation and health education to local health departments and locally contracted physicians, providing medical and nursing leadership, and supervision of TB control activities such as screening, diagnosis, and treatment.	\$4,397,620	8.000
NC DETECT. Oversee development of data sources for disease tracking via the North Carolina Disease Event Tracking and Epidemiologic Collection Tool (NC DETECT), train new system users, and monitor data daily for potential public health threats. All potential threats are investigated in collaboration with state and local public health partners resulting in improved health status for persons living in North Carolina.	\$0	-
Program Leadership. Provide direction and supervision for the five branches in the Epidemiology Section. Results include accomplishment of section management initiatives and effective program operations.	\$743,848	10.000
Actual Totals	\$6,743,331	26.000

Measures for the fund	2005-06	2006-07	2007-08
Confirmed case reports of reportable communicable diseases submitted to the federal Centers for Disease Control and Prevention	5,562	6,341	5,686
Hospital emergency visits monitored by the NC DETECT surveillance system	2,977,166	3,853,642	3,853,820
Confirmed case reports of TB submitted to the federal Centers for Disease Control and Prevention	329	374	345

Fund 14430-1461 HIV/STD Prevention and Care — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$67,684,527	\$63,958,892	\$62,776,428	\$304,301	\$63,080,729	\$1,604,956	\$64,381,384
Receipts	\$48,895,677	\$44,600,197	\$43,531,628	\$0	\$43,531,628	\$0	\$43,531,628
Appropriation	\$18,788,850	\$19,358,695	\$19,244,800	\$304,301	\$19,549,101	\$1,604,956	\$20,849,756
Positions	134.000	132.000	131.500	-	131.500	-	131.500

Fund description

This fund is used to provide training, consultation, and technical assistance to public and community health agency staff to assist in the reduction of HIV/STD transmission by providing preventive interventions; working with public and community health agencies to investigate HIV and other STD reports; locating and counseling partners; diagnosing, treating, and educating individuals; working with public and community agencies to provide a continuum of outpatient care and support services to people infected with and affected by HIV; ensuring enforcement of applicable public health law and administrative rules; and maintaining current policies and databases required to produce reports and support training - all of which result in improved health status for persons living in North Carolina.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
AIDS Care. Provide direct funding to 18 primary medical/dental care projects and 7 consortia, who provide primary medical/dental care and support services, HIV-related medications, and mental health and substance abuse treatment to low income citizens affected by HIV/AIDS. As a result, these low-income persons living with HIV/AIDS experience improved health outcomes.	\$12,411,366	16.000
NC AIDS Drug Assistance Program (ADAP). Provide eligible low-income residents with assistance in obtaining medications to fight HIV/AIDS and the infections that often accompany the disease. ADAP pharmaceuticals are purchased and distributed under a \$1.2 million contract with ProCare Pharmacy Direct, Inc., a specialty pharmacy.	\$38,462,019	2.000
HIV Pediatric Services. Provide information and referrals, ongoing training, health care and support, information, and education to HIV infected children and teens and their families in North Carolina to decrease and eventually eradicate the in-home transmission of HIV/AIDS.	\$402,070	-
HIV/STD Prevention and Community Planning. Provide technical and financial assistance to agencies in local communities to provide training, technical assistance, surveillance, field service activities, and assessment services to prevent the spread of HIV, syphilis and other sexually transmitted diseases (including Hepatitis C) in North Carolina. As a result, persons living in these affected communities throughout North Carolina experience improved health outcomes.	\$13,463,164	115.000
Housing Opportunities for Persons With AIDS (HOPWA). Provide housing-related financial assistance to 15 agencies across the state that provide stable and affordable housing for low income persons living with HIV/AIDS and their families in North Carolina. This is accomplished through providing Tenant-Based Rental Assistance, Short-Term Rent, mortgage and utility assistance, supportive services, resource identification, and housing information to the target population.	\$2,183,860	1.000
Transfers. Transfer to other DHHS agencies in support of departmental objectives in the area of the Division of Medical Assistance.	\$762,048	-
Actual Totals	\$67,684,527	134.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons served by non-ADAP federal Ryan White funds ¹	7,230	8,907	6,957
Clients served with health education and risk reduction services	33,393	33,531	32,809
HIV tests performed by the State Laboratory of Public Health (SLPH)	-	146,000	201,027

¹Persons served dropped in 2007-08 due to more accurate unduplication.

Fund 14430-1465 Vital Records — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,685,254	\$3,542,816	\$3,536,173	\$353,281	\$3,889,454	\$537,547	\$4,073,720
Receipts	\$3,941,498	\$2,486,309	\$2,486,309	\$176,742	\$2,663,051	\$361,008	\$2,847,317
Appropriation	\$743,756	\$1,056,507	\$1,049,864	\$176,539	\$1,226,403	\$176,539	\$1,226,403
Positions	60.000	62.000	62.000	-	62.000	-	62.000

Fund description

The purpose of this fund is to register vital events (births, deaths, marriages, and divorces); to provide copies of vital certificates to all legal requesters as stated in GS 130A-93(c)1-3; and to provide raw demographic data to health researchers and statistical analysts. This serves to ensure the integrity of vital records as documentation and information.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Records Processing. Receive records from locals, make edits, code required facts, organize records for archiving, and ensure standards are met for acceptance by the State Registrar. Provide fiscal administration and records management, train stakeholders for vital event registration, data entry and information system maintenance, and provide reports and statistical extracts for state and federal partners. Ensure the accuracy and integrity of North Carolina's vital records.	\$2,360,313	30.350
Customer Service. Interact directly with the public requesting vital certificates, research and retrieve records, verify requester eligibility to receive records, collect fees, inspect and ensure document quality, and provide document certification, responding to all valid requests for documents and information.	\$1,648,454	27.650
Program Leadership Support. Provide program leadership support to Vital Records by providing direction and supervision, resulting in accomplishment of management initiatives and effective program operations.	\$133,401	2.000
Transfers. Transfer to other DHHS agencies in support of departmental objectives in the areas of the Division of Medical Assistance and Central Administration.	\$543,086	-
Actual Totals	\$4,685,254	60.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Events registered and certificates or copies provided to the public	464,611	451,389	534,975

Fund 14430-1471 Occupational Health and Environmental Epidemiology — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,448,667	\$4,720,075	\$4,819,242	\$82,952	\$4,902,194	\$82,952	\$4,902,194
Receipts	\$1,965,211	\$3,123,800	\$3,237,176	\$0	\$3,237,176	\$0	\$3,237,176
Appropriation	\$1,483,456	\$1,596,275	\$1,582,066	\$82,952	\$1,665,018	\$82,952	\$1,665,018
Positions	40.750	43.000	41.000	-	41.000	-	41.000

Fund description

The purpose of this fund is to reduce the risk of occupational and environmental factors affecting the health of North Carolinians through technical assistance; consultation; occupational and environmental surveillance and health risk assessments; biological, chemical, and physical hazard evaluation; and public health preparedness and response expertise on chemical, radiological, biological, environmental events to local health departments, hospitals, animal control agencies, physician's offices, clinics, industry, schools, and the general public. Some activities are federally and/or state mandated.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Asbestos and Lead Based Paint Hazard Management. Minimize North Carolinians' exposure to environmental contaminants by assuring that materials containing asbestos or lead-based paint are removed properly.	\$1,392,262	19.000
Occupational and Injury Surveillance Service. Support the development of meaningful and effective interventions to decrease occupational illnesses and injuries related to blood lead and pesticide exposure for North Carolinians by developing integrated, comprehensive surveillance activities.	\$192,364	2.500
Medical Evaluation and Risk Assessment. Conduct assessments of environmental and occupational exposures to air, water, and soil contaminants; evaluate exposures to hazardous substances/agents; design, conduct, and review epidemiological studies; medically evaluate health effects attributed to environmental contamination; communicate risk assessment and medical evaluation information to health departments, providers, state agencies, and the general public; and distribute rabies tags.	\$1,473,807	12.000
Chemical/Radiological Terrorism and Emergencies Service. Reduce the negative impact of chemical emergencies on North Carolinians by providing technical consultation and expertise, support measures, regulatory guidelines, and educational outreach to support local health departments, Public Health Regional Surveillance Teams, other response agencies, and individuals in North Carolina in preparing for and responding to chemical emergencies.	\$0	-
Industrial Hygiene Consultation Service. Reduce the negative effects of occupational and environmental hazards for individuals in North Carolina by evaluating and recommending control options for biological, chemical, and physical hazards, primarily in the workplace. This is accomplished by providing consultation, training, and outreach materials. Service provides expertise on occupational health hazards to other state agencies, industry, local health departments, and the general public.	\$165,080	6.250
Hazardous Substances Emergency Events Surveillance. Reduce the frequency of emergency, complications, and death for North Carolinians (employees, responders, and the general public) caused by hazardous substance release by conducting data analysis, interpretation, and reporting to describe the risk factors contributing to such emergencies, complications, and deaths; and by developing strategies to reduce such occurrences.	\$225,151	1.000
Actual Totals	\$3,448,667	40.750

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Hazard evaluations completed	5,753	18,651	20,077
Recommendations and guidance statements issued to affect rule and/or policy development	20	9	74
Technical consultations completed ¹	955,016	971,958	998,479

¹All direct technical consultations, audits, inspections, permits, notifications, accreditations, and educational outreach consultations, plus rabies tags distributed.

Fund 14430-1505 Women's and Children's Health — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$17,852,527	\$17,909,686	\$17,905,922	\$19	\$17,905,941	\$26	\$17,905,948
Receipts	\$9,497,919	\$9,565,923	\$9,552,542	\$0	\$9,552,542	\$0	\$9,552,542
Appropriation	\$8,354,608	\$8,343,763	\$8,353,380	\$19	\$8,353,399	\$26	\$8,353,406
Positions	8.000	9.000	8.000	-	8.000	-	8.000

Fund description

This fund provides child health, family planning, and prenatal services through allocations to local health departments. Target programs are Children's Health Services and Women's Health. Results of this work include children having received recommended preventive health services, women having adequate prenatal care, and women having fewer unintended pregnancies. This fund also budgets the State Systems Development Initiative Grant that impacts programs throughout the Women's and Children's Health Section; and budgets funds for section-level program management. This grant leads to improvements in the utilization of data for planning and implementing effective programs.

Services for the fund

Child Health. Provide services through local health departments that enhance the health, growth, and development of children and youth through increased access, health promotion, prevention, early identification, treatment, and intervention. Results of this work include children having received recommended preventive health services.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$4,450,164 -

Women's Reproductive Health. Provide a wide range of preventive care services for men's and women's reproductive and sexual health through local health departments to decrease the number of unplanned pregnancies.

\$7,176,252 -

Maternal Health. Provide a range of services through local health departments designed to encourage women to begin prenatal care early and follow recommended guidelines. Results of this work include women having adequate prenatal care and better birth outcomes.

\$5,485,779 -

Program Leadership Support. Provide program leadership support to Women's and Children's Health Section by providing direction and supervision of the five branches in the section. Results include accomplishment of section management initiatives and effective program operations.

\$581,166 6.000

Planning and Evaluation. Provide support to Women's and Children's Health Section programs to foster programs that effectively use data for planning and outcome evaluation by training, mentoring, and collaborative activities resulting in improved ability by section staff in the application of data development and utilization.

\$65,064 1.000

Child Maltreatment. Provide program support and leadership for the Child Maltreatment and Child and Family Support Team Initiatives of the division through supervision and state level planning for interventions resulting in an improved system of care for populations served.

\$94,102 1.000

Actual Totals

\$17,852,527 8.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Unduplicated count of children receiving preventive and related health care through local health departments	104,241	98,272	96,843
Unduplicated count of low income men and women who are provided family planning services	145,166	129,816	129,787

Fund 14430-1511 Women's Health — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$43,420,497	\$38,703,560	\$46,017,481	(\$855,231)	\$45,162,250	(\$855,232)	\$45,162,249
Receipts	\$36,041,866	\$29,902,935	\$37,216,304	\$10,125	\$37,226,429	\$10,125	\$37,226,429
Appropriation	\$7,378,631	\$8,800,625	\$8,801,177	(\$865,356)	\$7,935,821	(\$865,357)	\$7,935,820
Positions	45.750	44.750	44.750	-	44.750	-	44.750

Fund description

This fund provides services to women and other groups to address a variety of health issues. Funds are used to contract with local providers and community-based organizations for maternal health services to low-income pregnant women including prenatal care, case management, counseling, outreach, and postpartum services. In addition, they are used to coordinate resources to improve access to nutritional support and medical supervision, to conduct/contract for perinatal education training and seminars, monitor and evaluate services to determine impact on perinatal outcomes, and provide consultation and technical assistance to service providers. Resources budgeted in this fund support the provision of family planning and reproductive health care services for low-income women and men. Support is also provided for teen pregnancy prevention initiatives. The provision of these statewide services helps prevent unintended pregnancies, promote health of women of childbearing age, increase healthy birth outcomes, decrease infant mortality, and reduce related health disparities.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Community Focus Infant Mortality. Provide services for women and their infants with a specific focus on African American and Native American families in designated North Carolina counties through outreach, case management, health education, and preconception and interconceptional care developed through communities to improve prenatal health outcomes.	\$2,874,701	7.600
Women's Health Public Education. Provide public education/information campaigns and a toll-free Resource Line to connect individuals and families to needed maternal and child health information and services in the state. This includes folic acid, adolescent pregnancy, preconception health, family planning, prenatal care, infant care, and appropriate parenting skills.	\$1,686,892	-
Teen Pregnancy Prevention. Provide educational and health care support services for teen pregnancy prevention, as well as subsequent teen pregnancies for pregnant and parenting teens, by funding projects using evidence-based practices in local health departments and community-based organizations to reduce the prevalence of unintended pregnancies among teenage girls.	\$4,181,510	4.400
Family Planning. Provide a wide range of preventive care services including family planning to low-income women and men by funding clinics in local health departments and other community-based providers to decrease the number of unplanned pregnancies and the poor health outcomes associated with them.	\$29,806,550	7.600
Maternal Health. Provide a wide range of maternal health services to encourage low-income pregnant women to begin early prenatal care and follow recommended perinatal care guidelines by funding local health departments, hospitals, universities, and Area Health Education Centers to improve maternal health and increase healthy birth outcomes.	\$4,870,841	26.150
Actual Totals	\$43,420,497	45.750

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Low income women and men receiving family planning services	145,166	129,816	129,787
Low income women receiving maternal health services	64,185	64,701	63,446
Percentage of Medicaid-enrolled pregnant women receiving prenatal care in the first trimester ¹	72.8 %	-	-

¹Data for this measure will not be available for 2007 until spring 2009.

Fund 14430-1515 Children's Developmental Service Agencies — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$75,534,208	\$80,908,097	\$79,765,590	\$1,357,647	\$81,123,237	\$1,357,674	\$81,123,264
Receipts	\$35,612,320	\$36,338,277	\$35,499,971	\$3,003,564	\$38,503,535	\$3,003,564	\$38,503,535
Appropriation	\$39,921,888	\$44,569,820	\$44,265,619	(\$1,645,917)	\$42,619,702	(\$1,645,890)	\$42,619,729
Positions	878.300	883.310	878.300	-	878.300	-	878.300

Fund description

This fund provides services to children under three with or at risk for developmental delays or developmental disabilities, and their families. Services include evaluation, case management, and clinical services such as speech therapy, physical therapy, occupational therapy, and psychological services and are provided in the Early Intervention program's network of Children's Developmental Services Agencies (CDSA). Services are intended to maximize the developmental potential of children served and to provide information and support to their families. The fund reflects all of their service and operational activities.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Early Intervention. Provide early intervention services to children aged 0-3 through 14 state-operated and 4 contractor-operated CDSAs for arranging, linking, coordinating, and monitoring the delivery of services to children and families; specialized therapy services primarily delivered by community providers; and respite and transportation as required. Includes fiscal and program oversight, monitoring, policy guidance, and program coordination. Results in maximization of developmental potential.	\$74,016,981	878.300
Transfers. Transfers to other DHHS agencies in support of departmental objectives in the areas of the Division of Medical Assistance, Division of Mental Health, and the Division of Social Services.	\$1,517,227	-
Actual Totals	\$75,534,208	878.300

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Children with Individualized Family Service Plans	15,160	15,048	15,869
Children referred to program	17,727	19,690	-
Percent of infants and toddlers who improved functioning to reach a level comparable to same-aged peers	-	41 %	-

Fund 14430-1516 Early Intervention — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,525,252	\$2,805,163	\$2,831,185	\$7,169	\$2,838,354	\$7,169	\$2,838,354
Receipts	\$1,774,139	\$2,014,752	\$1,913,474	\$0	\$1,913,474	\$0	\$1,913,474
Appropriation	\$751,113	\$790,411	\$917,711	\$7,169	\$924,880	\$7,169	\$924,880
Positions	26.000	25.000	26.000	-	26.000	-	26.000

Fund description

This fund provides services to the community of Early Intervention service providers, including state level planning and administration of the federal early intervention grant, policy and planning activities, quality improvement and monitoring, and administration and oversight of local programs. The services result in improved quality of care for program services to children and in the ability of the program to adhere to federal requirements.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Assessment and Monitoring. Assess and monitor state and local services providers' adherence to federal and state mandates, quality indicators, and family satisfaction resulting in improved program compliance.	\$795,917	12.000
Early Intervention Resources and Education. Provide information, materials, and support to implement the policies and practice guidance for the early intervention program. The UNC-Family Support Network contract is also included in this category. This contract provides resources to families referred to and enrolled in the early intervention program and to the CDSAs and community service providers resulting in better informed and educated families.	\$915,853	3.000
Early Intervention Policy and Planning. Provide state and local services providers' direction and oversight, policy guidance, planning, and monitoring for the early intervention program resulting in improved systems of service delivery.	\$813,482	11.000
Actual Totals	\$2,525,252	26.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Children with Individualized Family Service Plans	15,160	15,048	15,869
Children referred to program	17,727	19,690	-
Percent of eligible infants and toddlers with IFSPs who receive the early intervention services of their IFSPs in a timely manner	73 %	92 %	93 %

Fund 14430-1520 Sickle Cell and Genetic Counseling — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,043,742	\$4,309,135	\$4,308,703	\$67,641	\$4,376,344	\$104,366	\$4,413,069
Receipts	\$251,729	\$351,759	\$351,759	\$0	\$351,759	\$0	\$351,759
Appropriation	\$3,792,013	\$3,957,376	\$3,956,944	\$67,641	\$4,024,585	\$104,366	\$4,061,310
Positions	12.000	13.000	13.000	-	13.000	-	13.000

Fund description

This fund is used to support the Sickle Cell Program in the Women's Health Branch to improve the quality of life for persons with sickle cell disease, trait and other hemoglobinopathies (blood diseases) throughout the state. This program contracts with medical centers, community based organizations, and supports state staff to provide early identification, clinical services, care coordination, education, and counseling to families with sickle cell disease and trait. The fund also supports the Governor's Council on Sickle Cell Syndrome.

Services for the fund

Sickle Cell Services. Provide clinical, care coordination, and educational services to persons with sickle cell disease, trait and other hemoglobinopathies, including Purchase of Medical Care Services for low-income families. These services are provided to reduce mortality and morbidity of people living with sickle cell disease by supporting community-based and medical organizations and regional sickle cell counselors.

<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
\$4,043,741	12.000
\$4,043,742	12.000

Actual Totals

Measures for the fund

Infants diagnosed with Sickle Cell Disease who have been followed throughout the first year of life by the Sickle Cell Program

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
122	120	118

Fund 14430-1531 Special Needs Children — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$16,675,016	\$18,891,483	\$17,171,918	\$119,337	\$17,291,255	\$122,062	\$17,293,980
Receipts	\$7,420,605	\$9,193,853	\$7,815,265	\$0	\$7,815,265	\$0	\$7,815,265
Appropriation	\$9,254,411	\$9,697,630	\$9,356,653	\$119,337	\$9,475,990	\$122,062	\$9,478,715
Positions	56.000	61.990	58.990	-	58.990	-	58.990

Fund description

The primary purpose of this fund is to contribute to the agency's goal of improving systems of care for children and their families, including children with special needs, and increasing the current availability and accessibility of services. Activities supported through this fund result in an improved system of care for children and families to achieve optimal health and ultimately improve their quality of life. This work is accomplished by developing and supporting a state and local infrastructure through policy development, increasing care capacity, articulating best practices, technical assistance, resource coordination, and training and educational activities.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Consultation, Training, and Advocacy. Train, educate, coordinate resources, and consult with local providers of children's services through contracts, participation in various consortia, and educational forums to ensure a quality and coordinated system of care for children with special health care needs (CSHCN) and their families. Services are provided at the state, regional, and local level through providers of care within the community and school settings.	\$3,930,890	31.840
Population-Based Interventions. Promote population-based interventions to health care providers through parenting, adolescent, school health and child care health and safety program development, training and education services for children from birth to 21 years of age, in order to improve child health providers' skills and abilities to carry out effective programs.	\$9,015,481	7.390
Purchase of Care Funds for CSHCN. Provide funds to support a range of services for children with special health care needs (CSHCN) related to specific medical conditions when costs are not covered by private insurance, Medicaid, CAP waiver programs, or other sources. Includes services for assistive technology for approved devices for children eligible or enrolled in the Early Intervention Program. Cystic Fibrosis program funds cover specific costs for low income children and adults.	\$1,864,016	1.110
Communicative Disorders. Provide contractual resources for comprehensive and multidisciplinary evaluation and treatment of communicative disorders, including those related to speech and hearing, for children ages birth to 21 regardless of their ability to pay, to improve the functioning and the quality of life for children served.	\$990,843	.660
Leadership and Statewide Coordinated System of Care. Provide resources through contracts and staff involvement to support the leadership, operation, and oversight of a statewide coordinated system of care to address the needs of children who have or are at risk for health, social/emotional, and behavioral problems or conditions to enhance the system of care for children.	\$656,664	15.000
Transfers. Transfers to other DHHS agencies in support of departmental objectives in the area of the Division of Medical Assistance.	\$217,124	-
Actual Totals	\$16,675,016	56.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Newborns receiving hearing screenings by one month of age	117,341	120,864	130,238
Unduplicated number of children served through the Child Service Coordination program	34,598	34,989	29,716

Fund 14430-1535 Child Health — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$14,751,812	\$16,359,619	\$16,308,629	(\$146,466)	\$16,162,163	(\$146,467)	\$16,162,162
Receipts	\$1,817,679	\$1,773,299	\$1,423,464	\$1,523	\$1,424,987	\$1,523	\$1,424,987
Appropriation	\$12,934,133	\$14,586,320	\$14,885,165	(\$147,989)	\$14,737,176	(\$147,990)	\$14,737,175
Positions	23.000	22.000	23.000	-	23.000	-	23.000

Fund description

The primary purposes of this fund are to support preventive and primary care services for children birth to 21 years of age and their families, to provide outreach to enroll children in the Health Check and Health Choice Programs, and to increase access to child health and school health services. Activities supported through this fund result in an improved system of care for children and families to achieve optimal health and ultimately improve their quality of life. This work is accomplished by developing and supporting a state and local infrastructure through policy development, increasing care capacity, articulating best practices, technical assistance, resource coordination, and training and educational activities.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Consultation, Training, and Advocacy. Train, educate, coordinate resources, and consult with local providers of children's services through contracts, participation in various consortia and educational forums to ensure a quality and coordinated system of care for children with special health care needs (CSHCN) and their families. Services are provided at the state, regional, and local level through providers of care within the community and school settings.	\$1,526,842	16.300
Population-Based Interventions. Promote population-based interventions to health care providers through parenting, adolescent, school health and child care health and safety program development, training and education services for children from birth to 21 years of age in order to improve child health providers' skills and abilities to carry out effective programs.	\$2,629,271	.580
Vision Screening. Provide contractual resources for vision screening and referral of pre-school and school aged children through the sixth grade. The contractor's staff directly screen pre-school children and train and certify vision screeners for the school-aged population to ensure early identification and referral for necessary eye examinations.	\$1,053,701	1.080
School Nurse Funding Initiative. Promote physical, social, emotional, and educational growth of children/adolescents in the school setting through program development, education, consultation, and technical assistance to local agencies to strengthen and support the Early Childhood Vision Care program, community school nurses, and school health centers to improve the health and well-being of children and adolescents.	\$8,901,668	.040
Leadership and Statewide Coordinated System of Care. Provide resources through contracts and staff involvement to support the leadership, operation, and oversight of a statewide coordinated system of care to address the needs of children who have or are at risk for health, social/emotional and behavioral problems or conditions, leading to an improved system of care for children.	\$220,614	5.000
Transfers. Transfers to other DHHS agencies in support of departmental objectives in the area of the Division of Medical Assistance.	\$419,714	-
Actual Totals	\$14,751,812	23.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Number of the 115 Local Education Agencies (LEAs) meeting the 1:750 nurse to student ratio	18	31	39
Unduplicated number of students accessing services through state supported school health centers	13,916	14,226	13,796
Children enrolled in public health insurance programs (Health Choice and Health Check)	703,928	832,314	886,869

Fund 14430-1540 Nutrition and Dietary Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$232,319,841	\$225,431,358	\$263,343,006	\$0	\$263,343,006	\$0	\$263,343,006
Receipts	\$231,331,964	\$224,721,084	\$262,633,256	\$0	\$262,633,256	\$0	\$262,633,256
Appropriation	\$987,877	\$710,274	\$709,750	\$0	\$709,750	\$0	\$709,750
Positions	38.000	33.230	34.230	-	34.230	-	34.230

Fund description

The purpose of the Women, Infants, and Children (WIC) Program fund is to contract with local agencies for nutrition education and provision of WIC Program services in order to enhance program participants' health through improved nutrition. Contract vendors (grocery stores) are reimbursed for the provision of nutritious supplemental foods for income-eligible participants at nutritional risk. A small part of the fund supports cost allocated positions impacting the CACFP grant, which is also in the Nutrition Services Branch, primarily in fund 1575.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
WIC Benefits. Provide payments for WIC Supplemental Foods to program participants through WIC checks which may be redeemed at contracting grocery stores to purchase specific supplemental foods (milk, juice, iron fortified cereal, eggs, cheese, and infant formula).	\$190,510,771	-
Policy and Evaluation. Develop and implement policies and evaluate WIC program requirements through staff actions including contract management, provider relations, program integrity, information systems, State Agency Model (SAM) project management, audit resolution, and the USDA State Plan to support program participants. Contracts with Financial Services Management Corporation for fiscal intermediary services and with WIC Service providers. Results in optimum program efficiency and effectiveness.	\$28,298,007	20.000
WIC Program Management. Manage activities for WIC program eligible participants, including nutrition assessment, breastfeeding promotion, eligible supplemental foods, local agency monitoring, and nutrition surveillance and program evaluation to assure maximum benefits to participants.	\$3,607,130	13.000
Nutrition Education. Develop, implement, and evaluate nutrition education tools and resources for state and local agency staff in the WIC and the Child Nutrition Programs in response to clinical issues, program policy, and federal mandates. Results in a workforce appropriately trained to carry out WIC services at the state and local level	\$9,386,907	5.000
Farmer's Market. Issue coupons through local WIC agencies to eligible women and children to purchase fruits and vegetables at participating Farmer's Markets, resulting in healthier nutrition for WIC recipients.	\$406,882	-
Transfer. Transfers to other DHHS agencies in support of departmental objectives in the area of the Division of Medical Assistance.	\$110,146	-
Actual Totals	\$232,319,841	38.000

Measures for the fund	2005-06	2006-07	2007-08
Percentage of pregnant women participating in WIC who received WIC program services during the first trimester of pregnancy	31.4 %	30.4 %	29.8 %
Percentage of pregnant women enrolled in WIC who initiated breastfeeding	49.7 %	51.2 %	54.6 %
Average number of program participants per month	230,140	239,441	254,118

Fund 14430-1551 Chronic Diseases — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$21,632,568	\$25,892,677	\$25,634,001	(\$3,008,578)	\$22,625,423	(\$3,008,578)	\$22,625,423
Receipts	\$15,172,815	\$19,163,851	\$15,776,400	\$260	\$15,776,660	\$260	\$15,776,660
Appropriation	\$6,459,753	\$6,728,826	\$9,857,601	(\$3,008,838)	\$6,848,763	(\$3,008,838)	\$6,848,763
Positions	113.250	115.180	120.710	-	120.710	-	120.710

Fund description

The purpose of this fund is to increase state and local capacity to address physical activity, obesity, nutrition, tobacco use, diabetes, heart disease and stroke, arthritis, asthma, comprehensive cancer, and injury prevention. Goals include analysis and dissemination of surveillance data. This is used in program planning, addressing health disparities for underserved and high-risk populations, and integrating work across the fund among aging populations. The fund also provides limited direct service resources for cancer, kidney, and refugee health services. In addition, it provides tools, consultation, and technical assistance to local health departments and other stakeholders regarding broad public health approaches to systems, policy, and environmental changes that impact chronic diseases and risk factors. The fund maintains the Advisory Committee on Cancer Coordination and Control, the Comprehensive Cancer Control Program, the Justus Warren Heart Disease and Stroke Prevention Task Force, the Diabetes Advisory Council, and the Asthma Alliance.

Services for the fund

Chronic Disease Programs. Plan and coordinate local, regional, and statewide efforts addressing prevention, screening, treatment, survivorship, and health disparities. Provide education regarding Chronic Disease risk factors facing North Carolina citizens. Promote education and best practices for healthcare professionals regarding Heart Disease, Stroke, Diabetes, Cancer, and Asthma. The result is improved outcomes in the prevention, control, and reduction of chronic diseases and injuries in the state.

Actual Requirements 2007-08	Actual FTEs 2007-08
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\$8,871,637 50.500

Health Promotion Programs. Collaborate with partners to enhance the quality of life of North Carolinians by prevention of obesity, exposure to secondhand smoke and intentional and unintentional injuries, eliminating health disparities, promoting physical activity, healthy eating, and tobacco cessation through policy and environmental change. Coordinate the North Carolina Quitline, community health assessments, and identify and establish health priorities based on North Carolina Health Objectives.

\$11,111,342 58.750

Program Leadership. Provide direction and supervision to the branches in the Chronic Disease and Injury Section. Results include the accomplishment of section management initiatives and effective program operations.

\$341,650 3.000

Healthy Carolinians/Health Education. Improve the health status of community members by establishing and supporting community-based, multi-agency partnerships that facilitate planning and implementation of projects that are guided by the NC 2010 health objectives.

\$1,071,736 1.000

Transfers. Transfers to other DHHS agencies in support of departmental objectives.

\$236,214 -

Actual Totals

\$21,632,568 113.250

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Enacted policies (state, local, and private) for health promotion and control of chronic disease	511	500	903
Best practices and evidence based initiatives implemented for disease management and health promotion	337	321	525
Women screened for diabetes, heart disease, and counseled for tobacco cessation (WISEWOMAN)	3,192	3,887	2,525

Fund 14430-1552 Breast and Cervical Cancer Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$7,299,813	\$7,240,420	\$8,194,977	\$176	\$8,195,153	\$175	\$8,195,152
Receipts	\$2,480,262	\$645,107	\$4,247,494	\$0	\$4,247,494	\$0	\$4,247,494
Appropriation	\$4,819,551	\$6,595,313	\$3,947,483	\$176	\$3,947,659	\$175	\$3,947,658
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to provide free or low-cost cancer screening and care for cancer patients who meet the strict eligibility criteria in order to detect and treat cancer at the earliest possible point to achieve optimal outcomes for patients. Breast and Cervical Cancer Screening and Care Best Practices education for providers is another major goal of this fund.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Breast and Cervical Cancer. Administer state and federal programs that provide breast and cervical cancers screening and diagnostic services for women.	\$3,953,009	-
Cancer Assistance Unit. Provide direct reimbursement to medical care sources for covered diagnostic and treatment services provided to eligible individuals.	\$3,346,805	-
Actual Totals	\$7,299,813	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Unduplicated individuals served in the Cancer Assistance Unit	2,673	1,284	1,640
Services provided in the Cancer Assistance Unit	4,763	2,096	2,671
Women screened for breast and cervical cancers	12,954	13,182	15,128

Fund 14430-1560 Public Health Laboratory Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$19,690,422	\$20,182,507	\$21,616,355	\$97,105	\$21,713,460	\$98,101	\$21,714,456
Receipts	\$18,795,082	\$18,515,166	\$19,058,728	\$0	\$19,058,728	\$0	\$19,058,728
Appropriation	\$895,340	\$1,667,341	\$2,557,627	\$97,105	\$2,654,732	\$98,101	\$2,655,728
Positions	213.000	199.000	217.000	-	217.000	-	217.000

Fund description

Provide quality assured medical and environmental laboratory services to appropriate health care providers by conducting laboratory testing, distributing laboratory results, and providing training consultations and certifications to other laboratories in order to enhance both patient health and effective disease control.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Laboratory Services - Testing, Training, and Consultation. Provide over 125 clinical and over 65 environmental tests, training, and consultation for the following groups: local health departments, hospitals, commercial laboratories, private health care professionals, community-based organizations, state and regional staff from the Division of Public Health, and certain state and regional staff/programs in order to enhance both patient health and effective disease control.	\$19,118,927	213.000
Transfers. Transfers to other DHHS agencies in support of departmental objectives.	\$571,495	-
Actual Totals	\$19,690,422	213.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Drinking water and milk labs certified (EPA Safe Drinking Water Program and FDA Grade 'A' Milk Program)	242	242	245
Students trained by SLPH	2,085	2,714	3,029
Samples tested by SLPH (annual)	918,117	936,479	955,208

Fund 14430-1561 Bioterrorism Grant Regular — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$25,091,406	\$42,173,023	\$24,903,266	(\$1,136,639)	\$23,766,627	(\$1,136,639)	\$23,766,627
Receipts	\$14,995,477	\$40,736,859	\$22,865,397	\$0	\$22,865,397	\$0	\$22,865,397
Appropriation	\$10,095,929	\$1,436,164	\$2,037,869	(\$1,136,639)	\$901,230	(\$1,136,639)	\$901,230
Positions	33.000	53.570	36.790	-	36.790	-	36.790

Fund description

The Public Health Preparedness and Response (PHP&R) program is a statewide system designed to protect all individuals in North Carolina by increasing public health capacity to prepare for, detect, respond to, and recover from public health emergencies including acts of terrorism, naturally occurring disease threats, and natural disasters. Activities include preparation of response plans, exercising response plans, conducting responses during actual events, and ensuring a coordinated response among federal, state, tribal, regional, and local response partners.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Preparedness and Response. Monitor and respond to public health emergencies by preparing and exercising public health response plans, conducting public health responses during actual events (whether natural or man made), and ensuring a coordinated public health response among federal, state, regional, and local response partners in order to protect the health and safety of the citizens of North Carolina.	\$19,425,402	16.000
Preparedness - State Laboratory. Provide medical and environmental laboratory services through the completion of over 110 clinical tests and over 65 environmental tests, and training and consultation services to local health departments, hospitals, commercial laboratories, private health care professionals (physicians), community-based organizations, and state and regional staff from the Division of Public Health, DENR, and Department of Agriculture and Consumer Services to protect the health of North Carolina citizens.	(\$82)	-
Preparedness - DPH Information Technology. Provide to the Division of Public Health Information Technology Services (ITS): technical assistance and training for the Public Health Preparedness and Response system in North Carolina, including federal compliance for the Public Health Information Network (PHIN); ITS development and enhancement; and Public Health Command Center operations and public response deployments support to fulfill the mission of the Division of Public Health.	\$3,094,193	10.000
Office of the Chief Medical Examiner (OCME). Investigate unnatural, suspicious, traumatic, or unattended deaths through autopsies and review of county medical examiner findings to provide timely accurate investigative reports to families, law enforcement, and other interested parties, and train law enforcement, provider and other agencies on child death investigation techniques to reduce the incidence of unnatural, suspicious, traumatic, or unattended deaths in North Carolina.	\$180,908	3.000
General Communicable Disease Control Program. Monitor disease tracking systems (NC Disease Event Tracking and Epidemiologic Tool (NC DETECT)) that provide daily information on the status of certain reportable diseases using merged data from providers statewide. Data is reviewed and analyzed to determine impact on public health.	\$2,305,358	4.000
Health Promotion Programs. Maintain a comprehensive injury surveillance system that provides statistics on fatal and nonfatal injuries and develops and evaluates evidence-based injury and violence prevention policies and programs for state and local agencies to reduce the number of injury and violence-related deaths and disabilities in North Carolina.	\$85,627	-
Actual Totals	\$25,091,406	33.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
State and local health departments that have All-Hazards Emergency Response Plans	86	86	87
State exercises completed in public health preparedness and response	15	180	27

Fund 14430-1562 Bioterrorism Grant SNS — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$43,559	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$43,559	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supported activities related to the acquisition and distribution of the Strategic National Stockpile (SNS) and related medical supplies within the context of public health preparedness and response. The grant funding for these activities has ended, and the fund is being closed.

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
State and local health departments that have Strategic National Stockpile (SNS) Response Plans	86	87	87
State Strategic National Stockpile (SNS) exercises completed in public health preparedness and response	15	88	16

Fund 14430-1563 Bioterrorism Grant Smallpox — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$37,168	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$37,168	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supported activities related to planning, exercising, and conducting responses to a smallpox attack within the context of public health preparedness and response. The grant funding for these activities has ended, and the fund is being closed.

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
State and local health departments that have All-Hazards Emergency Response Plans	86	86	-
Public health users authorized to access and monitor NC DETECT (NC Disease Event Tracking and Epidemiologic Collection Tool)	122	86	-

Fund 14430-1564 Bioterrorism Grant Supplemental — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,576,221	\$6,694,115	\$1,242,887	\$0	\$1,242,887	\$0	\$1,242,887
Receipts	\$4,613,792	\$6,694,931	\$1,335,437	\$0	\$1,335,437	\$0	\$1,335,437
Appropriation	(\$37,571)	(\$816)	(\$92,550)	\$0	(\$92,550)	\$0	(\$92,550)
Positions	-	-	-	-	-	-	-

Fund description

The Public Health Preparedness and Response - Pandemic Influenza program monitors and responds to public health pandemic influenza emergencies by preparing and exercising public health response plans, conducting public health responses during actual events, and ensuring a coordinated public health response among federal, state, regional, and local response partners in order to protect the health and safety of the citizens of North Carolina during a pandemic influenza incident.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Public Health Preparedness and Response Program. Monitor and respond to public health emergencies (including pandemic influenza) by preparing and exercising public health response plans, conducting public health responses during actual events, and ensuring a coordinated public health response among federal, state, regional, and local response partners in order to protect the health and safety of the citizens of North Carolina.	\$2,041,252	-
General Communicable Disease Control Program. Monitor disease tracking system, NC Disease Event Tracking and Epidemiologic Tool (NC DETECT), that provides daily information on the status of certain reportable diseases using merged data from providers statewide. Data is reviewed and analyzed to determine impact on public health.	\$1,669,755	-
DPH Information Technology. Reduce the impact of all hazards to North Carolinians by providing Information Technology Services (ITS), technical assistance, and training for the Public Health Preparedness and Response system in North Carolina, including federal compliance for the Public Health Information Network (PHIN), ITS development and enhancement and Public Health Command Center operations and public response deployments support.	\$856,606	-
State Laboratory Services. Provide medical and environmental laboratory services through the completion of over 110 clinical tests and over 65 environmental tests, and training and consultation services to local health departments, hospitals, commercial laboratories, private health care professionals (physicians), community-based organizations, and state and regional staff from the Division of Public Health, DENR, and Department of Agriculture and Consumer Services to protect the health of North Carolina citizens.	\$8,608	-
Actual Totals	\$4,576,221	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
State and local health departments that have Pandemic Influenza Preparedness and Response Plans	2	85	-

Fund 14430-1570 Immunization — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$27,662,881	\$28,675,786	\$29,277,926	\$2,851,351	\$32,129,277	\$4,711,420	\$33,989,346
Receipts	\$6,221,395	\$6,995,311	\$7,598,451	\$0	\$7,598,451	\$0	\$7,598,451
Appropriation	\$21,441,486	\$21,680,475	\$21,679,475	\$2,851,351	\$24,530,826	\$4,711,420	\$26,390,895
Positions	48.000	48.000	48.000	-	48.000	-	48.000

Fund description

This fund provides immunization information to health professionals and the public, distributes vaccine to public and private providers, provides technical assistance, monitors and evaluates services, develops and maintains a North Carolina Immunization Registry, and contracts with local providers for immunization services. This results in an optimum distribution of vaccines leading to the highest levels of appropriately immunized children.

Services for the fund

Immunization. Monitor the frequency of vaccine-preventable diseases through surveillance in community entities; enforce immunization laws/compliance requirements; monitor for proper vaccine storage, handling, and safety; purchase vaccines; assure individuals 0 to 18 years old are appropriately immunized; collect/analyze data to ensure program activities are effective and to assure compliance with federal requirements; and maintain an electronic registry of immunization activity in North Carolina.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$27,662,881	48.000
\$27,662,881	48.000

Measures for the fund

Children with all recommended immunizations by two years of age ¹

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
81.9 %	81.8 %	77.3 %

¹Data is for calendar year as required by CDC.

Fund 14430-1575 Child Nutrition Programs — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$86,043,529	\$107,819,699	\$89,259,551	\$0	\$89,259,551	\$0	\$89,259,551
Receipts	\$86,043,520	\$107,819,407	\$89,259,259	\$0	\$89,259,259	\$0	\$89,259,259
Appropriation	\$9	\$292	\$292	\$0	\$292	\$0	\$292
Positions	30.000	32.770	33.770	-	33.770	-	33.770

Fund description

The purpose of this fund is to provide technical assistance to public health personnel to promote the delivery of nutrition services to adults and children and to provide reimbursement for nutritious meals for eligible children and adults who attend qualifying child and adult care facilities, and participate in the Summer Food Service Program. Services result in better nutrition leading to better health for program participants.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
CACFP Benefits. Provide nutritious meals for Child and Adult Care Food Program (CACFP) participants by reimbursing adult and child day care providers for meals served.	\$78,325,949	-
Policy and Management. Manage, develop, implement, and evaluate program policy requirements through staff actions including program eligibility, federal and state requirements, program outreach and expansion to support program participants. Provide technical assistance and administer agreements with institutions participating in Child and Adult Care Food Program (CACFP) (reimbursement is reflected in Meal and Sponsor Reimbursement). Results in optimum program efficiency and effectiveness.	\$1,989,268	17.000
Program Integrity. Develop, implement, and evaluate program policy as it relates to program integrity, compliance with federal and state requirements, audit resolution, and state reporting requirement compliance. Conduct administrative reviews of contracting CACFP institutions.	\$961,723	13.000
Summer Food Service Program. Provide nutritious meals and snacks to low income children to promote good nutritional health by reimbursing 87 qualified sponsors for meals provided through local programs when school is not in session.	\$4,766,590	-
Actual Totals	\$86,043,529	30.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Program participation in Child and Adult Care Food (average daily attendance)	125,000	122,685	129,919
Program participation in Summer Food Service	111,710	77,731	49,213

Fund 14430-1810 Division of Public Health-Revenue Clearing Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$1,609,663)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The revenue clearing fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14430-1991 Federal Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$93,443	\$34,804	\$819,220	\$0	\$819,220	\$0	\$819,220
Receipts	\$1,190,816	\$34,804	\$819,220	\$0	\$819,220	\$0	\$819,220
Appropriation	(\$1,097,373)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Federal Indirect Reserve. Account for funds earned for indirect costs from federal funding sources.	\$93,445	-
Actual Totals	\$93,443	-

Fund 14430-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$733,817	\$11,775	\$11,775	\$0	\$11,775	\$0	\$11,775
Receipts	\$5,320,279	\$11,775	\$11,775	\$0	\$11,775	\$0	\$11,775
Appropriation	(\$4,586,462)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, that was earned in a prior fiscal year.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Prior Year Earned Revenue. Record revenue received in the current fiscal year, primarily from federal funding sources that were earned in a prior fiscal year.	\$733,817	-
Actual Totals	\$733,817	-

Fund 14430-1993 Prior Year Audits and Adjustments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	(\$160,419)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$338,647)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$178,228	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Services for the fund

Prior Year Audits and Adjustments. Record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year.

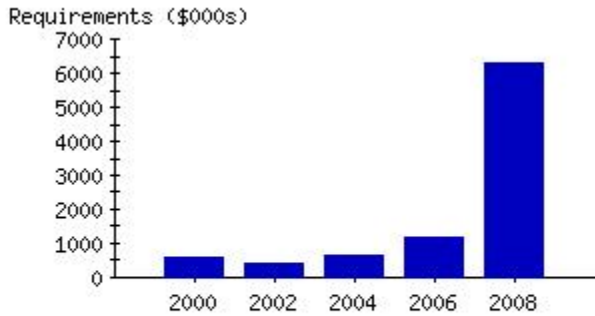
Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
(\$160,418)	-
(\$160,419)	-

Base Budget and Performance Management Information

Budget Code 24430 DHHS - Health Services - Special

**Actual Expenditures
 by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$6,316,941	\$5,661,690	\$10,053,358	\$0	\$10,053,358	\$0	\$10,053,358
Receipts	\$4,748,800	\$5,661,690	\$10,053,358	\$0	\$10,053,358	\$0	\$10,053,358
Chng Fund Bal	(\$1,568,141)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	6.000	6.000	-	6.000	-	6.000

Budget Code 24430 DHHS - Health Services - Special

Fund 24430-2117 DMA - Local Health Departments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,764,673	\$5,157,914	\$9,459,696	\$0	\$9,459,696	\$0	\$9,459,696
Receipts	\$4,218,090	\$5,157,914	\$9,459,696	\$0	\$9,459,696	\$0	\$9,459,696
Chng Fund Bal	(\$1,546,583)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund captures the money generated from Medicaid Administrative Claiming (MAC) on behalf of local health departments and the CDSAs using a time study methodology approved by CMS (Centers for Medicare and Medicaid Services). Per an agreement with the aforementioned agencies, funds are used to cover the costs of mutually beneficial (state and local) public health projects and a portion may be sent to the local agencies that generated the funds based on state and local negotiations.

Services for the fund

Local Health Departments. Provide funding and support to the 85 local health departments to help pay for the delivery of core public health functions that are not covered by programmatic funding.

Actual Totals

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$5,764,673	-
\$5,764,673	-

Fund 24430-2410 Vital Records Automation Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$467,414	\$445,312	\$505,312	\$0	\$505,312	\$0	\$505,312
Receipts	\$468,030	\$445,312	\$505,312	\$0	\$505,312	\$0	\$505,312
Chng Fund Bal	\$616	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	6.000	6.000	-	6.000	-	6.000

Fund description

This fund is established by GS 130A-93.1(b) to fully automate and maintain vital records systems. Five dollars of the fifteen dollar fee collected for each certificate issued are designated for the automation fund. The project includes re-engineering birth and deaths registrations; permitting statewide issuance of certificates by web access; and digitizing components of records to permit easier and quicker information storage and retrieval.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Vital Records Automation. Automate the processing of vital events which are done by manual means or using outdated technology. The automation will increase efficiency and reduce the time that it takes to serve the public in obtaining vital events certificates, and will implement safeguarded electronic linkages with other information systems that will comply with federal and state statutes governing secure and authenticated transactions. The automation will be carried out through a contract with Genesis.	\$467,414	-
Actual Totals	\$467,414	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Turnaround time (in weeks) to provide statewide birth registrations and issuances	8	8	8

Fund 24430-2440 Spay Neuter Program — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$68,258	\$58,464	\$88,350	\$0	\$88,350	\$0	\$88,350
Receipts	\$88,350	\$58,464	\$88,350	\$0	\$88,350	\$0	\$88,350
Chng Fund Bal	\$20,092	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to provide spay and neutering services for companion animals belonging to low income citizens of North Carolina to prevent the public health hazards associated with the overpopulation of companion animals.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Spay Neuter Services. Provide funding for low-cost spay and neuter services for dogs and cats owned by low income persons in North Carolina; education about the benefits of spaying and neutering pets in North Carolina; and a detailed annual euthanasia report for use by the public and legislature in North Carolina.	\$68,258	-
Actual Totals	\$68,258	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Spay and neuter procedures performed annually in North Carolina funded by this program	1,814	2,163	2,198

Fund 24430-2517 Mereck Osteoporosis — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,647	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$2,647)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This is a fund no longer in operation and there is a minimal amount of cash left in the fund. A budget revision will be prepared to move funds before the end of the 2009 state fiscal year.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Balance remaining in defunct fund.	\$2,647	-
Actual Totals	\$2,647	-

Fund 24430-2518 DPI - Physical Activity — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$25,670)	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This is a fund no longer in operation and there is a minimal amount of cash left in the fund. A budget revision will be prepared to move funds before the end of the 2009 state fiscal year.

Fund 24430-2519 DEC - Faye Smart Start — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$13,949	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$13,949)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund was to support the identification of children enrolled in childcare services in the Fayetteville Children's Developmental Services Agency (CDSA) catchment area who were in need of early intervention services. This is a fund no longer in operation. A budget revision will be prepared to remove the remaining money and close the fund.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Balance remaining in defunct fund.	\$13,949	-
Actual Totals	\$13,949	-

Division of Social Services

Mission

The Division of Social Services is committed to providing family centered services to children and families to achieve well being through ensuring self-sufficiency, support, safety, and permanency.

Goals

Provide permanent homes for infants, children, and adolescents who are in the foster care system and are unable to return to the home of their biological parents by providing policy guidance, training, technical assistance, and financial assistance to agencies.

Reduce the rate of maltreatment of children through legally mandated involuntary services for families with infants, children, and adolescents who have been harmed, or are at imminent risk of harm due to the actions of or lack of protection by the child's parent or caretaker.

Provide a safe, nurturing environment for infants, children, and adolescents in DSS custody, by providing family-centered services to achieve reunification or permanence and through services to young adults who have aged out of foster care.

Assist families in establishing safe, nurturing, and supportive environments for their children to grow and develop by providing funding assistance to community-based organizations and public agencies.

Mobilize private and public community-based agencies to support victims of domestic violence through services in order to shelter and to prevent future incidents of domestic violence.

Provide safe places and counseling education services to qualified North Carolina residents who are experiencing an unplanned pregnancy for healthy births and parents able to make appropriate parenting decisions.

Assist eligible families with children in achieving economic self-sufficiency through employment, training, supportive services, and cash assistance support.

Operate low income energy programs on behalf of customers and employees of Progress Energy, Piedmont Natural Gas, Wake Electric, and Haywood Electric by providing financial assistance to eligible applicants within their service area that are considered low income households in crisis situations. These funds help pay energy expenses (heating or cooling) in the form of direct payments to the provider.

Provide guidance and supervision for county departments of social services in administering the Food and Nutrition Services Program by interpreting Federal Regulations, developing policies, and providing technical support to deliver accurate and timely benefits in order to increase food security and reduce hunger for individuals in North Carolina.

Provide funds to low income households in crisis situations to help with energy expenses (heating or cooling) in the form of direct payments to energy companies.

Assist refugee and other specific immigrants to achieve economic self-sufficiency as soon as possible by providing them with intensive, refugee-specific public assistance and refugee social services.

Consistently collect as much child support as possible through aggressive enforcement in order to assist families becoming self-sufficient.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Median number of days a child is in foster care	412	406	423	415	410
Percentage of Child Support cases under order	-	-	81.0%	81.5%	82.2%

Governor's Recommended Adjustments to Base Budget

Division of Social Services (14440)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$1,672,400,294	\$1,665,317,944
Receipts	<u>\$1,436,182,184</u>	<u>\$1,430,819,401</u>
Appropriation	\$236,218,110	\$234,498,543
Adjustments		
Requirements	(\$14,517,464)	(\$12,849,214)
Receipts	<u>\$9,837,839</u>	<u>\$3,460,983</u>
Appropriation	(\$24,355,303)	(\$16,310,197)
Total		
Requirements	\$1,657,882,830	\$1,652,468,730
Receipts	<u>\$1,446,020,023</u>	<u>\$1,434,280,384</u>
Recommended Appropriation	<u>\$211,862,807</u>	<u>\$218,188,346</u>
<hr/>		
Positions		
Base Budget Positions	797.000	797.000
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>797.000</u>	<u>797.000</u>

Appropriation Items -- Recommended Adjustments

Reductions	<u>2009-10</u>	<u>2010-11</u>
1. Reduce Operating and Contracts Budgets		
This recommendation is for operating budget reductions for the division's central office, economic services section, and child support enforcement (CSE) program, and reducing the contract budget for CSE. The reductions do not impact the division's ability to carry out programs and deliver services.		
Requirements	(\$1,546,236)	(\$1,546,236)
Receipts	(\$851,072)	(\$851,072)
Appropriation	(\$695,164)	(\$695,164)
2. Work First Cash Assistance State Funding		
This recommendation increases federal block grant funds for Work First cash assistance payments and reduces a corresponding amount of state funds budgeted for this purpose. There are adequate federal funds to support the program and eliminating state funding does not adversely impact the state's ability to meet its required Temporary Assistance to Needy Families (TANF) maintenance of effort.		
Requirements	-	-
Receipts	\$7,178,459	\$7,178,459
Appropriation	(\$7,178,459)	(\$7,178,459)
3. Electing Counties Work First State Funds		
This recommendation budgets one-time additional Temporary Assistance to Needy Families (TANF) block grant funds and reduces a corresponding amount of state funds on a nonrecurring basis for Work First cash assistance payments and Work First county block grants for Electing Counties. This recommendation does not reduce the budget for Electing Counties cash assistance or block grants and will not impact the state's ability to meet TANF maintenance of effort requirements.		
Requirements - Nonrecurring	-	-
Receipts - Nonrecurring	\$2,378,213	-
Appropriation - Nonrecurring	(\$2,378,213)	-

4. Reduce Funds for Child Advocacy Centers

This recommendation is a 7% reduction in funds provided to the 21 accredited child advocacy centers located throughout the state. In 2008-09 state appropriation for the child advocacy centers was increased from \$200,000 to \$575,000. With this reduction, funds totaling \$534,750 remain in the budget to support the centers' services to abused and neglected children.

Appropriation	(\$40,250)	(\$40,250)
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5. Eliminate Payments for Maternity Home Services

This recommendation eliminates reimbursements to maternity home providers. There are 15 maternity homes located in nine counties providing services to approximately 190 women. Other service options are available to women experiencing unplanned pregnancies through local health departments, mental health agencies, social service departments, housing programs, and community based programs.

Requirements	(\$1,250,000)	(\$1,250,000)
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Receipts	(\$1,144,998)	(\$1,144,998)
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Appropriation	(\$105,002)	(\$105,002)
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6. Reduce Funds for NC Reach

This recommendation continues NC Reach with an appropriation of \$3,168,250 from Escheat Fund income each year of the 2009-11 biennium and \$1,168,250 from the General Fund for 2010-11. Currently funded with equal appropriations of \$3,168,250 from the General Fund and Escheat Fund income, the program reports serving 179 students with grants averaging \$7,000 each. This recommendation will allow the program to continue to grow by an estimated 173 students each year of the biennium while reducing the need for state funds. NC Reach is the state's postsecondary education support program for children adopted after age 12 or who age out of the state's foster care program.

Appropriation	(\$1,500,000)	(\$1,500,000)
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Appropriation - Nonrecurring	(\$1,668,250)	-
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7. Eliminate Funds for the Child Welfare Collaborative

This recommendation eliminates funds for the Child Welfare Collaborative. The collaborative provides financial, educational, and employment support for selected social work students who will commit to work in a North Carolina county department of social services. The department believes there are more cost effective means of encouraging child welfare employment. Federal funds supporting the collaborative (such as the Temporary Assistance to Needy Families block grant and Title IV-E foster care administration funds) can be redirected to other services.

Requirements	(\$3,038,743)	(\$3,038,743)
Receipts	(\$2,777,715)	(\$2,777,715)
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Appropriation	(\$261,028)	(\$261,028)

8. Federal Recovery Funds for Foster Care and Adoption Assistance

This recommendation budgets additional federal matching funds to support Foster Care and Adoption Assistance maintenance payments. The American Reinvestment and Recovery Act provides enhanced federal financial participation for Title IV-E payments for foster care and adoption services from October 1, 2008 through September 30, 2010. This recommendation represents the state savings due to enhanced federal funding and does not reduce or otherwise change the current total recommended budget for payments. County costs will be offset by an equal amount of federal recovery funds.

Requirements - Nonrecurring	-	-
Receipts - Nonrecurring	\$2,840,410	\$726,309
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Appropriation - Nonrecurring	(\$2,840,410)	(\$726,309)

9. Federal Recovery Funds for Child Support Enforcement

This recommendation budgets federal funds available through the American Reinvestment and Recovery Act to support the child support enforcement program. The act temporarily restores (from October 1, 2008 through September 30, 2010) the child support incentive match, which was repealed in the Deficit Reduction Act of 2005. This recommendation budgets available federal funds on a nonrecurring basis and reduces a corresponding amount of state appropriations.

Requirements - Nonrecurring	-	-
Receipts - Nonrecurring	\$2,214,542	\$330,000
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Appropriation - Nonrecurring	(\$2,214,542)	(\$330,000)

10. Replace State Funds with Federal Recovery Funds

This recommendation replaces state funds with federal funds for counties on a nonrecurring basis for two years. State funds are used to assist in offsetting the cost of administering public assistance programs. Due to an increase in Federal Medical Assistance Percentage (FMAP), counties will receive additional federal recovery funds to hold them harmless from this reduction.

Appropriation - Nonrecurring (\$5,473,985) (\$5,473,985)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$7,375,229)	(\$7,375,229)
Receipts	2,404,674	2,404,674
	(\$9,779,903)	(\$9,779,903)
Appropriation	-	-
Positions	-	-
Nonrecurring		
Requirements	(\$7,142,235)	(\$5,473,985)
Receipts	7,433,165	1,056,309
	(\$14,575,400)	(\$6,530,294)
Appropriation	-	-
Positions	-	-

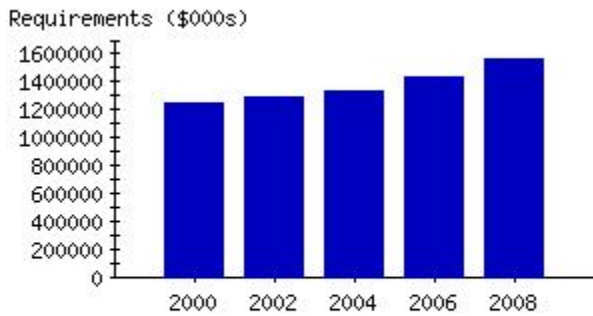
**Total Recommended Adjustments for
 Division of Social Services (14440)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$7,375,229)	(\$7,375,229)
Receipts	2,404,674	2,404,674
	(\$9,779,903)	(\$9,779,903)
Appropriation		
Positions	-	-
Nonrecurring		
Requirements	(\$7,142,235)	(\$5,473,985)
Receipts	7,433,165	1,056,309
	(\$14,575,400)	(\$6,530,294)
Appropriation		
Positions	-	-
Total Appropriation Adjustments	(\$24,355,303)	(\$16,310,197)
Total Position Adjustments	-	-

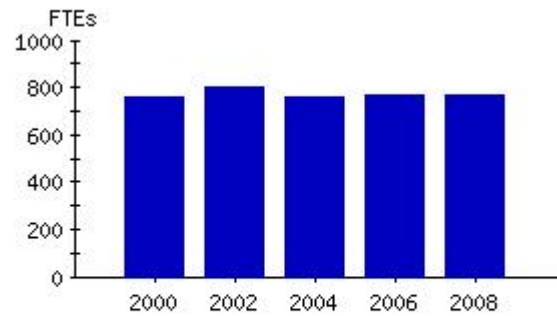
Base Budget and Performance Management Information

Budget Code 14440 DHHS - Division of Social Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,560,715,297	\$1,510,799,759	\$1,643,765,055	\$28,635,239	\$1,672,400,294	\$21,552,889	\$1,665,317,944
Receipts	\$1,349,571,256	\$1,287,260,369	\$1,420,221,863	\$15,960,321	\$1,436,182,184	\$10,597,538	\$1,430,819,401
Appropriation	\$211,144,041	\$223,539,390	\$223,543,192	\$12,674,918	\$236,218,110	\$10,955,351	\$234,498,543
Positions	767.000	767.000	797.000	-	797.000	-	797.000

Budget Code 14440 DHHS - Division of Social Services

Fund 14440-1110 State Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$18,747,392	\$20,384,460	\$26,366,008	(\$6,340,109)	\$20,025,899	(\$6,333,508)	\$20,032,500
Receipts	\$14,221,559	\$12,136,246	\$18,136,019	(\$5,867,663)	\$12,268,356	(\$5,864,183)	\$12,271,836
Appropriation	\$4,525,833	\$8,248,214	\$8,229,989	(\$472,446)	\$7,757,543	(\$469,325)	\$7,760,664
Positions	102.000	102.000	102.000	-	102.000	-	102.000

Fund description

Oversee the operations of the Division of Social Services by providing programmatic policy and administrative leadership to carry out federal and state program requirements and coordinate and manage employee acquisition and performance. Ensure safety, disaster, HIPAA, and civil rights compliance; allocate funds to counties; supervise program implementation and field operations; coordinate and execute the acquisition of equipment, materials, services, and supplies; develop and execute the budget; support development and operations of electronic data processing and telecommunication systems; and respond to legislative inquiry and coordinate the rulemaking process. This fund includes the Director's Office, Human Resource Management, Budget Office, and Performance Management. The execution of these functions ensures the division is committed to providing family centered services to children and families.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Director's Office. Support the division in effectively meeting the needs of service recipients by providing programmatic policy and administrative leadership to division staff and DSS county offices, such as coordinating legislative activities, facilitating the Administrative Procedures Act process, providing administrative support for the Social Services Commission, ensuring division compliance with federal regulations and state law, and coordinating division-wide activities.	\$11,890,440	14.000
Budget Office. Conduct resource planning and allocation activities; develop and execute the budget; analyze issues impacting the department's budgets and management; provide guidance and technical assistance in budgetary matters to all DSS staff; provide budget and fiscal guidance to county directors, coordinate and execute the acquisition of equipment, materials, services, and supplies for the division, and oversee the contracts office.	\$1,941,542	27.000
Hearings and Appeals. Safeguard the interests of the individual social services clients and ensure equitable administration of assistance programs and other social service programs by administering a timely hearings and appeals process, including establishing rules and procedures, such as determining appealable actions and setting time limits for rendering decisions.	\$1,402,651	22.000
Performance Management. Provide support for use of computerized systems and consultation around purchase and use of local technology. Manage the Client Services Data Warehouse and other web-based applications. Provide reports and projections; conduct evaluations; monitor programs to ensure accurate reporting to county and state staff, federal partners, the General Assembly, and other stakeholders. Perform quality control for the Food and Nutrition Services Program to ensure accurate and timely benefits.	\$3,512,741	39.000
Actual Totals	\$18,747,392	102.000

Measures for the fund	2005-06	2006-07	2007-08
FNS Payment Accuracy Rates	-	97.8 %	97.5 %

Fund 14440-1130 Performance Mgmt/Economic Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$25,967,061	\$16,687,324	\$14,156,465	(\$1,520,399)	\$12,636,066	(\$1,518,296)	\$12,638,169
Receipts	\$22,053,001	\$11,645,498	\$9,191,013	(\$11,286)	\$9,179,727	(\$9,833)	\$9,181,180
Appropriation	\$3,914,060	\$5,041,826	\$4,965,452	(\$1,509,113)	\$3,456,339	(\$1,508,463)	\$3,456,989
Positions	60.000	60.000	60.000	-	60.000	-	60.000

Fund description

This fund supports staff who administer the Food and Nutrition Services Program and Low Income Home Energy Assistance Program by interpreting federal regulations, developing policies, and providing technical support and consultation to county departments of social services in order to provide accurate and timely benefits to reduce hunger and assist low income individuals of North Carolina with crisis heating and cooling. This fund also supports program integrity activities by providing technical assistance to counties by detecting and preventing the occurrence of erroneous payments and prosecuting fraud.

Services for the fund

	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Performance Management/Economic Services Administration. Provide guidance and supervision for county departments of social services in administering the Food and Nutrition Services Program and Energy programs by ensuring that these economic support programs focus on the goal of providing accurate and timely assistance to families in economic crisis.	\$25,955,627	59.700
Low Income Home Energy Assistance Program. Manage and support the Low Income Home Energy Assistance Program and Community Funded Energy Program by issuing policies and procedures to county departments of social services, monitoring for program compliance, and ensuring the annual payments are made appropriately and in a timely manner to clients in order to assist low income individuals with crisis heating and cooling situations. (See Funds 1240 Low Income Energy Assistance Program) and 1280 (Crisis Intervention Program) for payments.)	\$11,424	.300
Actual Totals	\$25,967,061	60.000

Measures for the fund	2005-06	2006-07	2007-08
FNS participation rate	64.2 %	66.7 %	70.4 %
Families that received a LIEAP payment	228,406	233,620	236,973
Low Income Energy Assistance Program average payment (dollars)	\$57.33	\$66.45	\$72.74
Families that received CIP Assistance	70,776	83,824	84,808

Fund 14440-1140 Child Welfare Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$43,437,010	\$52,330,560	\$52,173,290	(\$540,769)	\$51,632,521	(\$535,937)	\$51,637,353
Receipts	\$33,288,688	\$37,235,565	\$36,953,018	\$266,053	\$37,219,071	\$268,822	\$37,221,840
Appropriation	\$10,148,322	\$15,094,995	\$15,220,272	(\$806,822)	\$14,413,450	(\$804,759)	\$14,415,513
Positions	130.000	129.000	130.000	-	130.000	-	130.000

Fund description

The purpose of the Family Support and Child Welfare fund is to develop policy, provide technical assistance, training, and consultation, and monitor program compliance through the use of federal and state funds to county departments of social services. Family Support and Child Welfare is committed to providing family centered services to children and families to achieve well-being through ensuring self sufficiency, support, safety, and permanency.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Family Support and Child Welfare Services Administration. Administer the state's child welfare services program by developing and issuing policies, procedures, providing technical assistance, consultation, training, and monitoring program compliance for Family Support Services and Child Welfare Programs to ensure programs are administered effectively and efficiently for North Carolina citizens.	\$714,256	7.080
Adoption Services. Administer the state's Adoption program by developing and issuing policies and procedures, providing technical assistance, consultation, and training, monitoring program compliance, and maintaining the state's adoption registry for county departments of social services to ensure permanency for children (assistance payments are in fund 1250).	\$9,957,739	27.440
Child Protective Services. Administer the state's Child Protective Services program by developing and issuing policies and procedures, providing technical assistance, consultation, and training, and monitoring program compliance for county departments of social services to ensure the safety and well-being of children.	\$7,078,189	33.780
Family Preservation and Support. Administer the state's Family Preservation and Support program by providing funding, developing and issuing policies and procedures, providing technical assistance, consultation and monitoring program compliance to public and private agencies that provide intervention services for families with children who are at high risk of neglect, abuse, or out of home placement to ensure a safe and supportive environment for children.	\$8,717,083	3.850
Family Violence. Administer the state's Family Violence program by providing funding to local community based agencies to provide shelters and services to ensure the safety of victims and families.	\$2,835,031	3.350
Foster Care. Administer the state's Foster Care program by developing and issuing policies and procedures, providing technical assistance, consultation, and training, monitoring program compliance for county departments of social services, and licensing foster homes to achieve reunification or permanency, and provide support to develop independent living skills for youth aging out of foster care (assistance payments are in fund 1260).	\$8,023,673	34.320
Work First. Administer the state's Work First program by developing and issuing policies and procedures, providing technical assistance, consultation and training and monitoring program compliance for county departments of social services to help families achieve economic self-sufficiency through employment training and supportive services.	\$6,111,020	20.180
Actual Totals	\$43,437,010	130.000

Measures for the fund	2005-06	2006-07	2007-08
Percentage of participants indicating an increase in strengths in the "Parent-Child Interactions/Parent-Child Relationships" category on the Family Support Outcome Scale	58.4 %	48.0 %	48.2 %
Foster care children placed in adoptive homes	1,399	1,413	1,662
Domestic violence victims served through shelters and related services	54,249	43,783	55,178

Fund 14440-1170 Refugee Assistance Programs — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,575,489	\$2,672,521	\$2,861,220	\$590	\$2,861,810	\$590	\$2,861,810
Receipts	\$2,575,490	\$2,672,521	\$2,861,220	\$590	\$2,861,810	\$590	\$2,861,810
Appropriation	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

This fund supports administration of the North Carolina Refugee Assistance Program for individuals fleeing from persecution in their homelands by issuing policy, providing training, and assisting with funding to local service providers. Services include employment skills, vocational training, language/interpreting assistance, transportation, and home and health management. Refugees may receive cash assistance and medical assistance for up to 8 months after entering the U.S. These benefits and services are provided to assist refugees in their transition to a new country, advancing the agency's goals of safety and economic self-sufficiency.

Services for the fund

Refugee Assistance Program. Administer and supervise the Refugee Assistance Program through writing grant proposals, monitoring expenditures, issuing policy, administering contracts, providing training, promoting community awareness, and advocating for needs of refugees in order to promote safety and self-sufficiency.

	Actual Requirements 2007-08	Actual FTEs 2007-08
Refugee Assistance Program.	\$2,575,488	4.000
Actual Totals	\$2,575,489	4.000

Measures for the fund	2005-06	2006-07	2007-08
Eligible refugees receiving culturally sensitive, refugee-specific social services	6,870	6,319	2,600
Refugee Medical Assistance - Short-term medical assistance provided to those who are ineligible for state Medicaid	978	982	623

Fund 14440-1180 Aid to Counties — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$391,948,376	\$338,142,772	\$405,358,017	\$0	\$405,358,017	\$0	\$405,358,017
Receipts	\$376,173,437	\$321,319,783	\$388,769,407	(\$176,453)	\$388,592,954	(\$184,006)	\$388,585,401
Appropriation	\$15,774,939	\$16,822,989	\$16,588,610	\$176,453	\$16,765,063	\$184,006	\$16,772,616
Positions	-	-	-	-	-	-	-

Fund description

This fund reimburses county departments of social services for administrative costs incurred in determining if applicants meet financial and income requirements. This fund also accounts for the indirect cost portion of county administrative staff (staff which do not provide services directly to clients). In addition, this fund also accounts for county's participation costs for certain services. The support provided to the county DSSs enables them to provide services and financial assistance to ensure the safety, self-sufficiency, and permanency for children and families.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Adoption Services. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer adoption programs.	\$11,506,989	-
Child Protective Services. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administrator the programs.	\$41,289,614	-
Child Support Enforcement. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer child support enforcement programs.	\$80,968,766	-
Family Preservation and Support. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer family preservation programs.	\$166,532	-
Family Violence Prevention Program. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include individual counseling, shelter services, and financial assistance in order to ensure the safety and well-being of children and families.	\$27	-
Food/Nutrition Services. Reimburse county departments of social services for the costs of services provided in support of the Food and Nutrition Services programs based on allocated funds to ensure families are provided the necessary eligible services.	\$107,885,263	-
Foster Care Services. Reimburse county departments of social services for the costs of services provided in support of foster care programs based on allocated funds to ensure families are provided the necessary eligible services.	\$24,377,371	-
LIHEAP. Reimburse county departments of social services for the costs of services provided in support of energy assistance programs based on allocated funds to ensure families are provided the necessary eligible services.	\$4,844,436	-
Refugee Assistance Program. Reimburse county departments of social services for the costs of services provided in support of the refugee assistance programs based on allocated funds to ensure families are provided the necessary eligible services.	\$64,295	-
Work First. Reimburse county departments of social services for the costs of services provided in support of Work First programs based on allocated funds to ensure families are provided the necessary eligible services.	\$14,379,694	-

County Programs Funded by Counties. Distribute allowable overhead costs to 100% county funded programs based on reporting of counties' expenditures in order to ensure complete accounting of all county costs and accurate reimbursement for allocated overhead.	\$16,802,048	-
State Aid to Counties. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs.	\$5,473,985	-
Programs Supervised by Division of Medical Assistance. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Medicaid programs.	\$43,848,636	-
Programs Supervised by Division of Aging and Adult Services. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs. Programs include State/County Special Assistance for Adults Administration, State In-Home Services, Adult Care Home Case Management, Adult Protective Services, and Adult Homes Specialist.	\$31,947,315	-
Programs Supervised by Division of Child Development. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the child care subsidy program.	\$8,344,324	-
Programs Supervised by Division of Public Health. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Adolescent Parenting program.	\$49,084	-
Actual Totals	\$391,948,376	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Average monthly number of Food and Nutrition Services recipients	838,064	874,426	924,265
Adults served in Work First Employment Services	53,778	54,716	-
Families receiving a Low Income Energy Assistance Program payment	228,406	233,620	236,973
Eligible families that received CIP Assistance	70,776	83,824	84,808

Fund 14440-1210 Refugee Assistance Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$382,855	\$772,700	\$400,070	\$0	\$400,070	\$0	\$400,070
Receipts	\$382,805	\$772,700	\$400,070	\$0	\$400,070	\$0	\$400,070
Appropriation	\$50	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund provides cash assistance payments to newly arrived refugees in the United States who demonstrate financial need. The program is short-term (maximum of eight months) in nature and provides a temporary income to assist refugees in becoming self-supporting in keeping with the agency's mission of safety and self-sufficiency. (See Fund 1170, Refugee Assistance Programs for administrative costs.)

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Refugee Cash Assistance. Provide short-term financial assistance to newly arrived refugees into the United States who demonstrate financial need through issuing payments for up to eight months in order to meet goals of safety and support.	\$382,855	-
Actual Totals	\$382,855	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Eligible refugee clients assisted through Refugee Cash Assistance	484	470	1,045

Fund 14440-1220 Work First Family Assistance — Base Budget

	<u>2007-08</u> <u>Actual</u>	<u>2008-09</u> <u>Certified</u>	<u>2008-09</u> <u>Authorized</u>	<u>2009-10</u> <u>Adjustments</u>	<u>2009-10</u> <u>Total</u>	<u>2010-11</u> <u>Adjustments</u>	<u>2010-11</u> <u>Total</u>
Requirements	\$80,103,330	\$97,235,693	\$97,402,693	\$800,000	\$98,202,693	\$800,000	\$98,202,693
Receipts	\$63,552,831	\$90,857,234	\$91,024,234	\$0	\$91,024,234	\$0	\$91,024,234
Appropriation	\$16,550,499	\$6,378,459	\$6,378,459	\$800,000	\$7,178,459	\$800,000	\$7,178,459
Positions	-	-	-	-	-	-	-

Fund description

This fund provides payments of cash assistance through the Work First Family Assistance (WFFA) program, which serves low-income families and children on a short-term basis to support a goal of employment. The WFFA program is administered at the county level under state supervision. This fund promotes the care of dependent children by furnishing financial assistance to their parents or relatives with whom they are living to help them maintain and strengthen family life and become more independent and self-supporting. (See Fund 1140, Child Welfare Services, for Work First program administration.)

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
WFFA Cash Assistance. Provide monthly financial assistance by issuing a check to needy families with children or eligible children who are living with relatives or with parents who can not receive funds due to being a SSI recipient or an unqualified immigrant, to meet their basic needs such as food and shelter, and to assist families in gaining employment. Provide Benefit Diversion to families experiencing a specific crisis or episode of need but who do not need assistance with meeting recurrent or on-going needs.	\$80,103,324	-
Actual Totals	\$80,103,330	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Average monthly individuals receiving financial assistance	69,885	59,340	53,082
Average monthly zero or one parent families receiving financial assistance	31,488	27,708	24,818

Average monthly two-parent families receiving financial assistance	685	480	486
Average monthly "Child Only" cases receiving financial assistance	19,472	18,225	17,047
Average monthly Benefit Diversion cases	2,313	1,843	1,846

Fund 14440-1230 Special Assistance for Adults — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$148,386,097	\$153,775,738	\$153,775,738	\$0	\$153,775,738	\$0	\$153,775,738
Receipts	\$74,199,181	\$76,887,867	\$76,887,867	\$0	\$76,887,867	\$0	\$76,887,867
Appropriation	\$74,186,916	\$76,887,871	\$76,887,871	\$0	\$76,887,871	\$0	\$76,887,871
Positions	-	-	-	-	-	-	-

Fund description

Provides financial assistance payments made through the Special Assistance program for low-income elderly and disabled adults who are not able to adequately care for themselves and have been placed in licensed adult care homes or, at county option, to certain disabled persons in private living arrangements. The Special Assistance program is administered by county departments of social services and supervised by the Division of Aging and Adult Services. This fund meets agency goals of providing support and safety to needy individuals.

Services for the fund

State/County Special Assistance. Provide a direct payment to recipients to assist them in paying for their care in adult care homes. Eligible recipients are low-income elderly and disabled adults who, based on a doctor's statement, require assistance with their daily living activities. Also, some individuals who meet the criteria for residential placement receive a payment to enable them to receive care in their homes (SA In-Home Service). County DSS's and the state each fund 50% of the costs.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$148,386,098	-
\$148,386,097	-

Actual Totals

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of Special Assistance recipients served in a licensed adult care home	96.7 %	95.4 %	93.4 %
Growth in number of clients served in the Special Assistance In-Home program	10.8 %	44.5 %	34.9 %

Fund 14440-1240 Energy Assistance — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$16,421,428	\$19,510,559	\$19,510,559	\$0	\$19,510,559	\$0	\$19,510,559
Receipts	\$16,421,428	\$19,510,559	\$19,510,559	\$0	\$19,510,559	\$0	\$19,510,559
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

Provides cash assistance to low income households dealing with energy crisis (heating or cooling) by distributing a one time cash payment to all eligible households. The Low Income Energy Assistance Program (LIEAP) is a component of the Low Income Home Energy Assistance Program (LIHEAP), a federally funded entitlement program. The amount of the assistance is dependent on total dollars allocated to LIEAP, the number of applicants, the geographic location in which each resides, heat type, and income. Payments are issued in each year to eligible households.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Low Income Home Energy Assistance Program. Provide assistance through the Low Income Energy Assistance Program by issuing an annual payment to low income households to defray the cost of heating.	\$16,421,428	-
Actual Totals	\$16,421,428	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Families that received a LIEAP payment	228,406	233,620	236,973
Low Income Energy Assistance Program average payment (dollars)	\$57.33	\$66.45	\$72.74
Families that received CIP assistance	70,776	83,824	84,808

Fund 14440-1250 Adoption Assistance — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$81,125,721	\$91,857,800	\$91,857,800	\$18,512,941	\$110,370,741	\$21,146,081	\$113,003,881
Receipts	\$48,213,009	\$55,837,715	\$55,837,715	\$11,100,764	\$66,938,479	\$12,706,824	\$68,544,539
Appropriation	\$32,912,712	\$36,020,085	\$36,020,085	\$7,412,177	\$43,432,262	\$8,439,257	\$44,459,342
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Adoption Assistance fund is to provide financial assistance through federal, state, and local funds by issuing cash payments to families and reimbursements to county departments of social services for the purchase of legal, medical, and therapeutic services in order to meet the needs of hard to place adoptive children who have disabilities or special needs.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Adoption Assistance. Issue monthly payments to adoptive parents of special needs children or hard to place children to support the adoptive placement.	\$81,125,715	-
Actual Totals	\$81,125,721	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Foster children placed in permanent homes	1,053	1,093	1,323
Special needs foster children placed in adoptive homes	346	320	339

Fund 14440-1260 Foster Care Assistance — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$84,791,930	\$99,374,053	\$99,374,053	\$20,311,689	\$119,685,742	\$10,543,712	\$109,917,765
Receipts	\$61,681,746	\$70,507,949	\$70,507,949	\$14,206,224	\$84,714,173	\$7,212,449	\$77,720,398
Appropriation	\$23,110,184	\$28,866,104	\$28,866,104	\$6,105,465	\$34,971,569	\$3,331,263	\$32,197,367
Positions	-	-	-	-	-	-	-

Fund description

The purpose of the Foster Care Assistance fund is to provide financial assistance through federal, state, and local funds by issuing cash payments to foster care facilities in order to support children who have been removed from their homes and placed in the care of county departments of social services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Foster Care Assistance. Issue monthly payments to foster parents with eligible foster children to support the foster care placement.	\$84,791,930	-
Actual Totals	\$84,791,930	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Family foster homes available	7,243	7,064	7,201
Children in foster care	11,309	11,230	10,524
Average length of stay in custody (days)	700	696	720

Fund 14440-1280 County Public Assistance Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$23,839,932	\$14,588,514	\$14,588,514	\$0	\$14,588,514	\$0	\$14,588,514
Receipts	\$23,839,931	\$14,588,514	\$14,588,514	\$0	\$14,588,514	\$0	\$14,588,514
Appropriation	\$1	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

Provides cash assistance to low income individuals who are in a heating or cooling related crisis by distributing Crisis Intervention Program (CIP) service dollars and community funded energy assistance program funds in order to ensure safety and self sufficiency. See Fund 1130, LIHEAP for program administration.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Low Income Home Energy Assistance Program. Provide assistance through Crisis Intervention Program payments for families who find themselves in a heating or cooling emergency to support families to become self sufficient and self reliant.	\$23,027,156	-
Community Funded Energy Assistance Program. Issue provider funded payments to county departments of social services to assist low income participating customers with crisis heating and cooling situations to support families to become self sufficient and self reliant.	\$812,779	-
Actual Totals	\$23,839,932	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Households served by Progress Energy's Energy Neighbor Program	2,986	2,560	3,445
Families served by Crisis Intervention Payments	70,776	83,824	84,808

Fund 14440-1310 Transfers to Other State Agencies — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$12,697,145	\$16,630,166	\$16,259,686	\$8,845	\$16,268,531	\$8,845	\$16,268,531
Receipts	\$12,643,422	\$16,567,686	\$16,197,206	\$6,634	\$16,203,840	\$6,634	\$16,203,840
Appropriation	\$53,723	\$62,480	\$62,480	\$2,211	\$64,691	\$2,211	\$64,691
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to transfer funds or engage in contracts with other state agencies to perform the following required functions: ESC is to provide employment services to food and nutrition recipients; Community College System is to provide job preparation services for food and nutrition recipients; contract with Administrative Office of the Courts to provide all 100 counties access to conduct criminal records checks; and the Department of Juvenile Justice to provide services to juveniles in need of court supervision who are placed out of their home state or runaways.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Transfer Funds. Provide job search and other related employment services to certain food and nutrition recipients through contracts with Employee Security Commission and Community College System to help families become employed and self sufficient.	\$6,297,168	-
Transfer Funds. Provide funds to other state agencies to perform required functions.	\$6,399,977	-
Actual Totals	\$12,697,145	-

Fund 14440-1410 State Purchase of Service — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,181,854	\$2,471,470	\$2,471,470	\$706,526	\$3,177,996	\$706,696	\$3,178,166
Receipts	\$2,233,435	\$1,521,139	\$1,490,837	\$21,738	\$1,512,575	\$21,738	\$1,512,575
Appropriation	\$948,419	\$950,331	\$980,633	\$684,788	\$1,665,421	\$684,958	\$1,665,591
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to provide payments or engage in contracts with providers in order to cover the costs of state level services and contracts on behalf of clients in identified target populations. Services include return of runaways, maternity home payments, abortion payments, and child medical evaluation payments.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Child Medical Evaluations. Contract with community providers to perform child medical evaluations in situations of alleged abuse and neglect to ensure that appropriate child protective services are provided to the child based on their needs.	\$1,809,068	-
Maternity Homes. Provide reimbursement to maternity home providers for room and board and other related services for North Carolinians experiencing unplanned pregnancies to ensure the safety of mother and child.	\$1,372,786	-
Actual Totals	\$3,181,854	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Individuals served in state maternity homes	226	240	247

Fund 14440-1480 County Services Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$583,864,719	\$533,920,412	\$594,567,028	\$0	\$594,567,028	\$0	\$594,567,028
Receipts	\$569,441,318	\$518,072,479	\$578,191,179	(\$556,175)	\$577,635,004	(\$556,175)	\$577,635,004
Appropriation	\$14,423,401	\$15,847,933	\$16,375,849	\$556,175	\$16,932,024	\$556,175	\$16,932,024
Positions	-	-	-	-	-	-	-

Fund description

Reimburses county departments of social services for eligible costs incurred in providing services to needy children, families, and elderly and disabled adults such as in-home services, case management, counseling, medical transportation, out of home placement, adolescent parenting, child and adult protective services, permanency planning, purchase of psychological services, family planning, and other federal and state funded services. The support provided to the county DSSs ensures the safety, self-sufficiency, and permanency for children and families.

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Adoption Services. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include preparing and training families to adopt, preparing children for adoption, adoption recruitment and promotion, and post adoption services in order to ensure safety and permanency for children.	\$15,247,077	-
Child Protective Services. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include CPS Intake, CPS Assessments and CPS In-Home Services for cases that are substantiated or found in need in order to ensure safety and well-being of children and families.	\$147,606,167	-
Family Preservation and Support. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include in home services, individual counseling, and resource and referral during crises in order to ensure safety and well-being of children and families.	\$1,316,079	-
Family Violence Prevention Program. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include individual counseling, shelter services, and financial assistance in order to ensure safety and well-being of children and families.	\$2,066,260	-
Food and Nutrition Services. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include accepting, evaluating, and processing applications for food assistance. Counties also authorize issuance of an Electronic Benefits Transfer (EBT) card which holds benefits in order to promote well-being and self-sufficiency.	\$924,725	-
Foster Care Services. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include prevention and placement of children, reunification of children with families, and working with foster parents of children in care in order to ensure safety and permanency for children.	\$70,696,010	-
Work First. Reimburse county departments of social services for the costs of services provided in support of the programs based on allocated funds to ensure families are provided the necessary eligible services. Services provided include intake, emergency assistance and employability assessment, employment services, other supportive services and eligibility determination in order to promote self-sufficiency and well-being.	\$77,104,230	-
County Programs Funded By Counties. Distribute allowable overhead costs to 100% county funded programs based on reporting of counties' expenditures in order to ensure complete accounting of all county costs and accurate reimbursement for allocated overhead.	\$37,615,453	-
Programs Supervised by Division of Medical Assistance. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Medicaid programs.	\$174,304,047	-
Programs Supervised by Division of Aging and Adult Services. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the programs. Programs include State In-Home Services, Adult Day Care, Adult Care Home Case Management, Adult Protective Services, and Adult Homes Specialist.	\$37,199,166	-

Programs Supervised by Division of Child Development. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the child care subsidy program.	\$19,489,504	-
Programs Supervised by Division of Public Health. Reimburse administrative funds to county departments of social services to ensure counties receive their allotted funds based on federal and state laws and regulations in order to administer the Adolescent Parenting program.	\$296,002	-
Actual Totals	\$583,864,719	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Children accepted for a CPS assessment	119,844	121,521	118,806
Children in foster care	11,309	11,230	10,524
Foster children placed in permanent homes	1,053	1,093	1,323

Fund 14440-1500 Child Support Enforcement — Base Budget

	<u>2007-08</u> <u>Actual</u>	<u>2008-09</u> <u>Certified</u>	<u>2008-09</u> <u>Authorized</u>	<u>2009-10</u> <u>Adjustments</u>	<u>2009-10</u> <u>Total</u>	<u>2010-11</u> <u>Adjustments</u>	<u>2010-11</u> <u>Total</u>
Requirements	\$37,602,972	\$48,323,707	\$48,038,208	(\$803,413)	\$47,234,795	(\$762,726)	\$47,275,482
Receipts	\$27,060,836	\$35,005,604	\$35,070,820	(\$529,443)	\$34,541,377	(\$502,754)	\$34,568,066
Appropriation	\$10,542,136	\$13,318,103	\$12,967,388	(\$273,970)	\$12,693,418	(\$259,972)	\$12,707,416
Positions	471.000	472.000	501.000	-	501.000	-	501.000

Fund description

Supports staff and provides technical support and consultation to local child support offices who administer the Child Support Enforcement Program by interpreting federal regulations and developing policies. Collects and properly distributes child support for children by locating the non-custodial parent; establishes paternity for children if needed; establishes and modifies support orders; and enforces support obligations in order to assist families to achieve well being through ensuring self-sufficiency, support, safety, and permanency. County funds are used as the match for county operated programs which are budgeted in fund 1180. Fund 1500 includes state administrative costs and the cost of operating sixteen state child support offices.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Child Support Enforcement Program. Administers and supports the child support program by establishing, collecting, and properly distributing child support for children by locating the noncustodial parent; establishing paternity for children if needed; establishing and modifying support orders; and enforcing support obligations in order to assist families to become financially stable.	\$37,602,957	471.000
Actual Totals	\$37,602,972	471.000

Measures for the fund	2005-06	2006-07	2007-08
Annual collections (dollars)	\$631,629,237	\$663,753,247	\$698,575,058
Percentage of cases under order	81.0 %	82.0 %	82.2 %
Non custodial parents participating in the program	323,245	325,918	335,927

Fund 14440-1810 Revenue Clearing Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$3,769,901)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14440-1900 Reserves and Transfers — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$313,107	\$238,271	\$66,463	\$304,734	\$64,557	\$302,828
Receipts	\$0	\$313,107	\$238,271	\$66,463	\$304,734	\$64,557	\$302,828
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Reserves and Transfers Fund records accounting transactions to record uncommitted allocations of federal funds.

Fund 14440-1991 Federal Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$300,000	\$469,343	\$3,036,468	(\$2,567,125)	\$469,343	(\$2,567,125)	\$469,343
Receipts	\$814,983	\$469,343	\$3,036,468	(\$2,567,125)	\$469,343	(\$2,567,125)	\$469,343
Appropriation	(\$514,983)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Federal Indirect Revenue Fund exists for accounting purposes only to account for funds earned for indirect costs, in conjunction with agreements with the Department of Health and Human Services and Department of Administration, to recoup federal participation for current year claims against prior year capital expenditures and departmental overhead.

Fund 14440-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,411,295	\$1,338,860	\$1,329,497	\$0	\$1,329,497	\$0	\$1,329,497
Receipts	\$4,533,987	\$1,338,860	\$1,329,497	\$0	\$1,329,497	\$0	\$1,329,497
Appropriation	\$877,308	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Prior Year Earned Revenue Fund exists to record revenues received in the current fiscal year, primarily from federal funding sources, that were earned in a prior fiscal year.

Fund 14440-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	(\$69,309)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$10,070	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$79,379)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Prior Year Audit Exception/Adjustment Fund exists to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub grantees as a result of audits of prior year operations.

Division of Medical Assistance

Mission

The mission of the Division of Medical Assistance is to provide access to high-quality, medically necessary health care for eligible North Carolina residents through cost-effective purchasing of health care services and products resulting in improved quality of life.

Goals

Reduce variability and promote best practice standards in health care delivery by utilizing Community Care of North Carolina (CCNC) networks, expanding Medicaid and North Carolina Health Choice (NCHC) recipient enrollment in CCNC, and increasing the use of evidence based clinical practices to improve the quality of health care for Medicaid and NCHC recipients.

Eliminate unnecessary utilization of services and fraudulent behavior by evaluating, monitoring, and benchmarking all key health care services in order to eliminate wasteful spending in the Medicaid program.

Reduce the number of uninsured individuals in the state through the NCHC and Medicaid Programs so that the population served will be healthy and ready to learn and work.

Provide for the payment of appropriate health care services delivered to disadvantaged North Carolinians to ensure their medical needs are met through system monitoring and testing.

Provide good customer service to Medicaid providers and recipients through partnerships and collaboration with provider groups to increase access to services and ensure good health care for recipients.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage change in Medicaid enrollment over prior year (unduplicated count)	4.5%	3.4%	5.2%	2.3%	2.5%
Percentage change in NCHC enrollment over prior year (unduplicated count) ¹	15.5%	13.6%	3.6%	-14.5%	5.7%
Percentage of NCHC eligibles linked to a Carolina Community North Carolina Primary Care Physician	-	-	-	23%	71%

¹Effective January 1, 2006, NCHC children ages 0-5 were moved to Medicaid.

Governor's Recommended Adjustments to Base Budget

Division of Medical Assistance (14445)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$12,619,033,671	\$13,508,220,689
Receipts	<u>\$8,937,757,558</u>	<u>\$9,574,298,778</u>
Appropriation	\$3,681,276,113	\$3,933,921,911
Adjustments		
Requirements	(\$419,701,971)	(\$494,140,056)
Receipts	<u>(\$273,079,406)</u>	<u>(\$321,184,911)</u>
Appropriation	(\$146,622,565)	(\$172,955,145)
Total		
Requirements	\$12,199,331,700	\$13,014,080,633
Receipts	<u>\$8,664,678,152</u>	<u>\$9,253,113,867</u>
Recommended Appropriation	<u>\$3,534,653,548</u>	<u>\$3,760,966,766</u>
<hr/>		
Positions		
Base Budget Positions	444.250	444.250
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>444.250</u>	<u>444.250</u>

Appropriation Items -- Recommended Adjustments

Reductions

2009-10 2010-11

1. Reduce Prescription Drug Costs

This recommendation is to reduce drug expenditures by employing the following actions: enhanced utilization management of the Prescription Advantage List (PAL), increased utilization of generic drugs in place of brand name drugs, and increased rebate collections on generic drugs. If sufficient savings are not realized by these actions, the department shall implement a preferred drug list for all drug classes in the Medicaid program. Generic drugs and brand drugs that offer supplemental rebates will be included.

Requirements	(\$59,625,076)	(\$87,450,111)
Receipts	(\$38,833,812)	(\$57,236,098)
Appropriation	(\$20,791,264)	(\$30,214,013)

2. Establish an Emergency Room Copayment for Non-emergency Visits

In order to reduce unnecessary visits to the emergency room, this recommendation establishes a \$25 emergency room copayment for non-emergencies. It is expected that non-emergency visits will drop by 7.5%. The copayment will be effective October 1, 2009.

Requirements	(\$3,652,584)	(\$5,382,448)
Receipts	(\$2,378,928)	(\$3,522,812)
Appropriation	(\$1,273,656)	(\$1,859,636)

3. Freeze Provider Inflationary Increases in FY 2009-10

This recommendation is to freeze provider inflationary increases in FY 2009-10. The freeze applies to all public and private providers except for federally qualified health centers, rural health centers, school-based and school-linked health centers, state institutions, hospital outpatient, pharmacy, and the non-inflationary components of the case-mix reimbursement system for skilled nursing facilities.

Requirements	(\$210,721,023)	(\$224,723,582)
Receipts	(\$136,968,665)	(\$147,081,585)
Appropriation	(\$73,752,358)	(\$77,641,997)

4. Continue Provider Inflationary Rate Freeze from FY 2008-09

The General Assembly reduced provider inflationary rates by 67% during the 2008 Session. The division, through a budget flexibility special provision, reduced the rates by an additional 33% on a one-time basis. This recommendation continues the 33% reduction that maintains the rates at current levels.

Requirements	(\$79,074,677)	(\$86,871,440)
Receipts	(\$51,398,540)	(\$56,857,358)
<hr/>		
Appropriation	(\$27,676,137)	(\$30,014,082)

5. Modify Private Duty Nursing Benefits

A reduction to the private duty nursing budget based on medical policy changes currently under review by the Physician Advisory Group is recommended. The changes will make the medical policy similar to other states' medical policies. October 1, 2009 is the expected implementation date.

Requirements	(\$5,924,645)	(\$7,899,527)
Receipts	(\$3,858,721)	(\$5,170,240)
<hr/>		
Appropriation	(\$2,065,924)	(\$2,729,287)

6. Change Physical Therapy (PT), Occupational Therapy (OT), and Speech Therapy (ST) Benefits

This recommendation is to implement changes to PT, OT, and ST benefits to ensure appropriate utilization of services. The savings generated are estimated to be 14% of projected expenditures. The changes are expected to be implemented on October 1, 2009.

Requirements	(\$7,035,042)	(\$9,966,310)
Receipts	(\$4,581,923)	(\$6,522,950)
<hr/>		
Appropriation	(\$2,453,119)	(\$3,443,360)

7. Modify Personal Care Services Benefits

This reduction changes personal care service benefits to reduce overutilization of services. The savings generated are estimated to be 15% of projected expenditures. The changes are expected to be implemented on October 1, 2009.

Requirements	(\$40,695,904)	(\$57,191,831)
Receipts	(\$26,505,242)	(\$37,432,054)
<hr/>		
Appropriation	(\$14,190,662)	(\$19,759,777)

8. Enhance Third Party Liability Recoveries and Cost Avoidance

It is recommended that third party liability activities through better utilization of technology and improving the case selection process be implemented in order to increase collections and cost avoid expenses in the Medicaid program. It is estimated that these efforts will save about 1% of the total amount of funds recovered and cost avoided each year.

Requirements	(\$13,780,432)	(\$14,654,807)
Receipts	(\$8,957,281)	(\$9,591,571)
Appropriation	(\$4,823,151)	(\$5,063,236)

9. Implement False Claims Act

It is recommended that state legislation for Medicaid fraud and abuse be strengthened to increase the amount of recoveries from these types of cases. The federal Deficit Reduction Act of 2005 allowed states to receive a 10% increase in the amount they retain from fraud and abuse recoveries if they implement legislation stringent enough to meet federal False Claims Act standards. The 10% bonus is expected to generate about \$2.4 million annually. Of the amount collected, \$176,068 will be used to support 5 positions at the Attorney General's Office to implement the act. The net collections will be offset by a reduction to General Fund appropriations.

Requirements	-	-
Receipts	-	\$2,229,757
Appropriation	-	(\$2,229,757)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$420,509,383)	(\$494,140,056)
Receipts	(273,483,112)	(321,184,911)
Appropriation	(\$147,026,271)	(\$172,955,145)
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
Appropriation	-	-
Positions	-	-

Expansion

2009-10 2010-11

1. Legal Positions in the Attorney General's Office

The Governor recommends nonrecurring funds for four time-limited attorney II positions at the Attorney General's Office. During the 2008 Session, funding was provided for one year for these positions to help with the backlog of Community Support appeals cases at the Office of Administrative Hearings. The Community Support caseload continues to be high; therefore, funding is recommended for one additional year. The positions will be funded through a contract with the Department of Health and Human Services.

Requirements - Nonrecurring	\$331,132	-
Receipts - Nonrecurring	\$165,566	-
Appropriation - Nonrecurring	\$165,566	-

2. Medicaid Appeals Process

The Governor recommends nonrecurring funds to continue the employment of temporary hearing officers in the Division of Medical Assistance to help with the backlog of Community Support appeals cases. The division received nonrecurring funds during the 2008 session to reduce the backlog; however, the continuing high workload necessitates funding for one more year.

Requirements - Nonrecurring	\$476,280	-
Receipts - Nonrecurring	\$238,140	-
Appropriation - Nonrecurring	\$238,140	-

Total Recommended Expansion

2009-10 2010-11

Recurring

Requirements	-	-
Receipts	-	-
Appropriation	-	-
Positions	-	-

Nonrecurring

Requirements	\$807,412	-
Receipts	403,706	-
<hr/>		
Appropriation	\$403,706	-
Positions	-	-

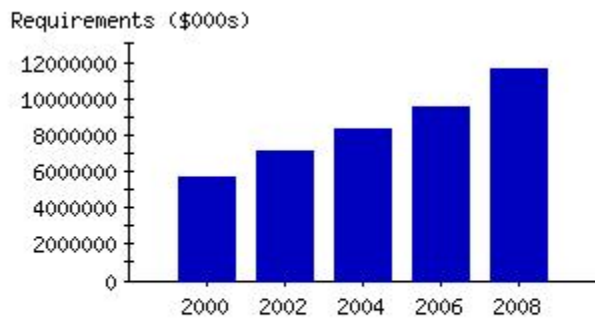
**Total Recommended Adjustments for
 Division of Medical Assistance (14445)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$420,509,383)	(\$494,140,056)
Receipts	(273,483,112)	(321,184,911)
<hr/>		
Appropriation	(\$147,026,271)	(\$172,955,145)
Positions	-	-
Nonrecurring		
Requirements	\$807,412	-
Receipts	403,706	-
<hr/>		
Appropriation	\$403,706	-
Positions	-	-
Total Appropriation Adjustments	(\$146,622,565)	(\$172,955,145)
Total Position Adjustments	-	-

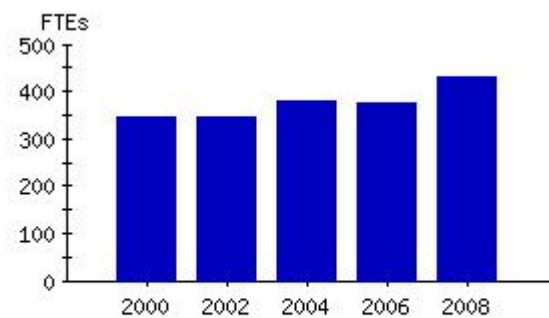
Base Budget and Performance Management Information

Budget Code 14445 DHHS - Division of Medical Assistance

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



	Base Budget						
	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$11,596,523,641	\$11,739,109,163	\$11,731,370,143	\$887,663,528	\$12,619,033,671	\$1,776,850,546	\$13,508,220,689
Receipts	\$8,681,390,159	\$8,554,852,123	\$8,547,021,799	\$390,735,759	\$8,937,757,558	\$1,027,276,979	\$9,574,298,778
Appropriation	\$2,915,133,482	\$3,184,257,040	\$3,184,348,344	\$496,927,769	\$3,681,276,113	\$749,573,567	\$3,933,921,911
Positions	428.250	436.250	444.250	-	444.250	-	444.250

Budget Code 14445 DHHS - Division of Medical Assistance

Fund 14445-1101 DMA Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$35,697,073	\$42,039,888	\$40,080,853	\$1,230,038	\$41,310,891	\$1,350,606	\$41,431,459
Receipts	\$19,855,587	\$23,920,680	\$22,077,603	\$777,582	\$22,855,185	\$793,716	\$22,871,319
Appropriation	\$15,841,486	\$18,119,208	\$18,003,250	\$452,456	\$18,455,706	\$556,890	\$18,560,140
Positions	428.250	436.250	444.250	-	444.250	-	444.250

Fund description

The purpose of this fund is to design and administer the Medicaid Program for the State of North Carolina. To carry out this responsibility the division identifies, detects, and prevents provider and recipient fraud and abuse; develops and implements eligibility and clinical policy; sets reimbursement rates for all Medicaid providers; oversees the Medicaid management information system (MMIS); oversees the implementation of CCNC; and conducts recipient appeals for any denial, termination, suspension, or reduction of Medicaid covered services and for prior approval requests. Salaries, fringe benefits, and related costs reside in this fund.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Clinical Policy. Develop, implement, and distribute clinical policy for the Medicaid program by staying abreast of current best medical practices and modifying the State Plan accordingly to promote the delivery of appropriate medical, behavioral, and other health care treatments to ensure quality and cost-effective health care to Medicaid recipients.	\$7,663,715	87.000
Recipient and Provider Services. Maintain compliance with state and federal guidelines through the establishment, implementation, and management of recipient eligibility policy while maintaining relations with the provider community in order for a large network of medical providers to be available for Medicaid eligibles.	\$7,588,734	67.000
Program Integrity. Identify, detect, and prevent provider and recipient fraud and abuse by reviewing and determining the accuracy of Medicaid claim payments, acting on tips/complaints received from recipients and/or family members, referring cases to the Attorney General's office, and recovering funds inappropriately paid in order to reduce the misuse of public funds.	\$6,952,693	106.250
Director's Office / Quality, Evaluation, and Health Outcomes Unit. Manage the operations of the Medicaid program through the development and implementation of the Medicaid state plan to ensure that the North Carolina Medicaid Program provides health care coverage for eligible North Carolina citizens that is in compliance with federal and state laws and regulations. The Director's Office includes \$602,758 for legal expenses.	\$2,286,898	13.000
Audit. Facilitate the settling of cost reports from a variety of health care facilities by performing desk and field audits of provider cost information to ensure rates are appropriately set and Medicaid funds are appropriately spent in providing services to Medicaid recipients.	\$2,220,325	32.000
Decision Support Team. Manage the decision support system (DRIVE) and Medicaid data to provide reports needed by the division, department, and staff to appropriately monitor and report on the division's activities, financial position, and program changes.	\$1,333,281	22.000

<p>Managed Care. Coordinate with the Division of Rural Health and Community Care to oversee the implementation of CCNC, a primary care case management program that creates primary care medical homes for Medicaid eligibles through physician networks to ensure Medicaid recipients receive cost effective, high-quality care. Collect and disseminate medical health data focusing on asthma, diabetes, congestive heart failure, and chronic obstructive pulmonary disease to primary care providers and CCNC networks.</p>	\$1,284,592	19.000
<p>Medicaid Management Information System (MMIS). Oversee all changes and operations of the MMIS through review of memorandums and testing of computer system changes necessary for claims to be appropriately adjudicated, providers to be appropriately paid, and the MMIS system to be in compliance with state and federal regulations. The MMIS section includes \$214,136 in temporary employee expenses for the National Provider Identifier IT project.</p>	\$1,001,984	13.000
<p>Budget and Fiscal Management. Prepare, allocate, and monitor the Medicaid and NCHC budgets by forecasting and monitoring expenditures, conducting cost analysis, and managing the budget to ensure the program budgets are not overspent.</p>	\$832,622	12.000
<p>Rate Setting. Administer the reimbursement program for Medicaid health care providers (excluding hospitals) by setting medical service reimbursement rates and maintaining fee schedules to ensure that provider reimbursement rates are consistent with the State Plan and state and federal laws and regulations.</p>	\$832,622	12.000
<p>Hearings and Appeals. Conduct recipient appeals for any denial, termination, suspension, or reduction of Medicaid covered services, prior approval requests for certain Medicaid covered medical services, and provider appeals for recoupments to ensure the rights of recipients and providers are provided for in compliance with state and federal regulations.</p>	\$824,398	14.000
<p>Human Resource Management. Oversee the recruitment and management of personnel; develop and implements HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and benefit information distribution.</p>	\$688,512	4.000
<p>Strategic Planning, Assessment, and Research Team (SPART). Facilitate responses to legislative inquiries, conduct research and evaluations for cost-effective and quality-based service delivery, and recommend changes to the Medicaid program to ensure the mission and goals of the division are met.</p>	\$660,216	5.000
<p>Contracts. Develops and monitors all division contracts by ensuring timelines are met, deliverables provided, guidelines are followed, and invoices reflect services delivered.</p>	\$624,466	9.000
<p>Disproportionate Share Hospital (DSH) Program. Administer the hospital reimbursement program for the hospital providers by establishing reimbursement rates, settling cost reports, performing desk and field audits, and facilitating the payment of the DSH and enhanced payments to ensure these providers are appropriately reimbursed in accordance with the State Plan and state and federal laws and regulations so hospitals can continue to provide care to Medicaid eligibles and indigent population.</p>	\$624,466	9.000
<p>Facility Management. Oversee facility and fixed asset management, mail service operations, and telecommunications administration to ensure continued operations of DMA for the personnel of the division to be in compliance with state policies and procedures.</p>	\$277,541	4.000
<p>Actual Totals</p>	\$35,697,073	428.250

Measures for the fund	2005-06	2006-07	2007-08
Percentage of Medicaid recipients eligible to enroll in CCNC that actually enrolled	88 %	77 %	77 %
Cost avoidance savings due to reviews of provider billings and medical records and coordination with other insurers and payers (dollars)	\$1,108,137,917	\$1,089,229,987	\$1,168,655,150
Cost recoveries due to review of provider billings and medical records (dollars)	\$57,752,877	\$56,575,910	\$49,852,656

Fund 14445-1102 Contracts and Agreements — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$116,380,962	\$81,753,294	\$105,836,196	\$1,051,798	\$106,887,994	\$3,044,913	\$108,881,109
Receipts	\$87,090,730	\$53,851,357	\$68,101,921	\$3,930,864	\$72,032,785	\$5,124,262	\$73,226,183
Appropriation	\$29,290,232	\$27,901,937	\$37,734,275	(\$2,879,066)	\$34,855,209	(\$2,079,349)	\$35,654,926
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to contract with organizations, including private entities and other state agencies to provide administrative services through competitive bidding and interagency agreements in order to have cost effective service delivery of administrative functions. In order to administer the Medicaid program for the state, the division acquires competitively bid services including claims processing, prior approval, utilization review, physician consulting, and executes interagency agreements with other governmental agencies.

Services for the fund

Fiscal Agent Contract. Distribute funds to the Medicaid fiscal agent to operate MMIS which includes processing claims, providing billing guidance, providing helpdesk services to enrolled Medicaid providers, conducting provider education seminars, and operating the prior approval system for most Medicaid services.

Actual Requirements 2007-08	Actual FTEs 2007-08
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\$51,170,873 -

Miscellaneous Contracts. Distribute funds to various contractors for services that include but are not limited to: quality assurance reviews, prior approval, utilization reviews, and medical necessity reviews in order to promote the delivery of appropriate medical, behavioral, and other health care treatments.

\$34,832,569 -

Transfer to the Department of Public Instruction (DPI). Transfer federal funds to DPI to pay for administrative activities in support of delivering direct medical services in schools. Only half of the funds are transferred to DPI. The remaining funds are recorded only as an accounting transaction, necessary because of cost allocation requirements.

\$20,122,216 -

Transfers to DHHS Agencies. Transfer funds to other agencies within DHHS to reimburse for services such as determining disability status for Medicaid eligibility through the Division of Vocational Rehabilitation and activities related to the family planning waiver in the Division of Public Health.

\$5,595,104 -

Information Technology Contracts. Distribute funds to the contractor for the DRIVE IT system, a data warehouse that mirrors the claims data in MMIS. The database is used by the division to pull reports on specific information regarding providers and usage and payment of services for internal activities and requests of the department, OSBM, and the General Assembly.

\$2,169,306 -

Financial and Audit Services Contracts. Distribute funds to contractors for conducting audits of Medicaid-enrolled nursing facilities, Intermediate Care Facilities for the Mentally Retarded (ICF-MRs), teaching hospitals, physicians, and hospital inpatient services to ensure providers are reimbursed at rates consistent with the State Plan and state and federal laws and regulations. Services also include cost settlements, refunds, and activities associated with the Disproportionate Share Hospital (DSH) Program.	\$1,402,074	-
Transfer to the Office of State Controller (OSC). Transfer interest earned from federal monies deposited in the General Fund to OSC which pays the interest back to the federal government based on the federal Cash Management Interest Act.	\$1,088,822	-
Actual Totals	\$116,380,962	-

Measures for the fund	2005-06	2006-07	2007-08
Claims processed by the Medicaid fiscal agent ¹	71,105,133	61,736,212	66,664,379
Calls answered by the Medicaid fiscal agent's call center	158,754	264,442	308,416
Average wait time for the call center (minutes)	13	8	8
Post payment diagnostic related group (DRG) reviews conducted by the contractor on behalf of DMA ²	5,465	5,323	5,445
Audits of nursing homes, ICF-MRs, state-owned hospitals, teaching physicians, federally qualified health centers, and personal care service providers conducted by contractors	308	269	238

¹Effective January 1, 2006, prescription drugs for dual eligibles were paid for by Medicare and NCHC children ages 0-5 were moved to Medicaid.

²The post payment DRG reviews evaluate hospital inpatient DRG coding to identify improper reimbursement and other potential incorrect billings.

Fund 14445-1210 Medical Assistance County Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,161,095	\$1,014,000	\$1,014,000	\$0	\$1,014,000	\$0	\$1,014,000
Receipts	\$580,547	\$750,000	\$750,000	\$0	\$750,000	\$0	\$750,000
Appropriation	\$580,548	\$264,000	\$264,000	\$0	\$264,000	\$0	\$264,000
Positions	-	-	-	-	-	-	-

Fund description

Provides reimbursement to county departments of social services when they act as a provider of allowable medical transportation services - providing transportation to and from health care providers.

Fund 14445-1310 Medical Assistance Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$9,540,256,458	\$9,949,110,558	\$9,922,503,419	\$842,249,274	\$10,764,752,693	\$1,729,322,609	\$11,651,826,028
Receipts	\$6,763,374,070	\$6,936,710,666	\$6,916,840,276	\$361,817,193	\$7,278,657,469	\$997,148,881	\$7,913,989,157
Appropriation	\$2,776,882,388	\$3,012,399,892	\$3,005,663,143	\$480,432,081	\$3,486,095,224	\$732,173,728	\$3,737,836,871
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to pay Medicaid enrolled health care providers for providing Medicaid covered services to Medicaid recipients. Medicaid is a federal and state funded health insurance program for low income and disabled individuals. The federal government sets broad guidance in implementing the program and states have broad authority to set eligibility requirements and health benefits.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Hospital Inpatient Services. Provide funding for inpatient hospital services furnished under the direction of a physician or dentist in a licensed hospital for the care and treatment of Medicaid recipients.	\$1,089,391,154	-
Non Physician Practitioner Services. Provide funding for enhanced mental health services being implemented to provide a variety of community based services for Medicaid recipients. This service also provides funding for outpatient specialized therapy services.	\$1,083,096,327	-
Skilled Nursing Services. Provide funding for nursing facilities which provide skilled nursing care and related services for residents who require medical or nursing care.	\$1,077,348,952	-
Prescription Drug Services. Provide funding for drugs that are simple/compound substances or mixtures of substances prescribed for the cure, mitigation, and prevention of disease or for health maintenance and are prescribed by a physician or licensed practitioner on a written prescription that is recorded and maintained in the pharmacists/practitioner's record.	\$986,504,775	-
Physician Services. Provide funding for physician services whether furnished in an office, the recipient's home, a hospital, a nursing facility, or elsewhere and provided within the scope of practice of medicine as defined by state law and by, or under the personal supervision of, an individual licensed under state law to practice medicine. Payments exclude all services provided and billed by a hospital, clinic, or laboratory.	\$911,022,189	-
Community Alternative Program (CAP) Services. Provide funding for Medicaid home and community based waiver programs that serve the following individuals who qualify for institutional care: medically fragile children, disabled adults, persons with AIDS, and mentally retarded and developmentally disabled individuals.	\$731,512,098	-
Hospital Outpatient Services. Provide funding for outpatient hospital services including emergency, preventive, diagnostic, therapeutic, rehabilitative, or palliative services furnished to Medicaid recipients in an outpatient hospital setting and provided by, or under the direction of, a physician or dentist.	\$656,829,531	-
Medicare Part A, B, and D Payments. Provide funding for Medicare premiums for Parts A and B and the state share of prescription drug coverage under Medicare Part D.	\$603,622,356	-
Personal Care Services (PCS). Provide funding for PCS performed in a recipient's home or in an adult care home as prescribed by a physician in accordance with the recipient's plan of treatment. Personal care tasks are performed for recipients who, due to a debilitating medical condition, need help with such basic personal activities as bathing, toileting, and moving about.	\$482,400,117	-

ICF-MR Services. Provide funding for ICF-MRs which are long-term care facilities for the mentally retarded and developmentally disabled who meet certain federal criteria. This includes the need for active treatment for individuals who have mental retardation or a related condition and who have a severe, chronic disability.	\$471,169,626	-
Other Medicaid Services. Provide funding for other services including, but not limited to, transportation, lab and x-ray, optical supplies, chiropractors, optometrists, and podiatrists.	\$452,924,285	-
Dental Services. Provide funding for dental services including diagnostic, preventive, or corrective procedures provided by, or under the supervision of, a dentist. Orthodontic services are covered for children under age 21 with functionally handicapping malocclusions.	\$269,388,284	-
Clinic Services. Provide funding for clinics, such as public health departments, mental health clinics and free standing clinics. These are preventive, diagnostic, therapeutic, rehabilitative, or palliative items or services provided in an outpatient setting and are provided by a facility that is not part of a hospital but is organized and operated to provide medical care outside of a hospital setting.	\$255,268,967	-
Home Health Services / Durable Medical Equipment. Provide funding for home health services provided at the patient's place of residence in compliance with a physician's written plan of care. Services include medically necessary skilled nursing care, specialized therapies (physical therapy, occupational therapy, speech therapy, etc.), home health aid services, and medical supplies needed for the diagnosis, treatment, or rehabilitation of a recipient's illness.	\$245,182,416	-
High Risk Intervention Services. Provide funding for residential treatment and Psychiatric Residential Treatment Facilities (PRTF) services to improve the level of functioning for recipients as well as to provide for their supervision and specialized interventions needed.	\$159,693,090	-
Mental Hospital Inpatient Services. Provide funding for mental health services including diagnosis, treatment, or the care of individuals with mental diseases. Inpatient hospital services are provided under the direction of a physician and are for the care and treatment of recipients in an institution for mental disease (IMD).	\$64,902,291	-
Actual Totals	\$9,540,256,458	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Enrolled Medicaid providers (unduplicated count) in the North Carolina Medicaid Program	56,687	72,259	74,964
Medicaid eligibles (unduplicated count)	1,602,645	1,684,411	1,805,166
Annual expenditures per recipient (unduplicated count) (dollars) ¹	\$5,129	\$5,351	\$5,285
Percentage change in total expenditures from prior year to current year ²	5.1 %	5.0 %	5.5 %

¹The word recipient refers to an individual who is eligible for Medicaid who actually received at least one service during the year.

²Effective January 1, 2006, prescription drugs for dual eligibles were paid for by Medicare and NCHC children ages 0-5 were moved to Medicaid.

Fund 14445-1320 Medical Assistance Cost Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,507,968,897	\$1,239,962,136	\$1,239,962,136	\$0	\$1,239,962,136	\$0	\$1,239,962,136
Receipts	\$1,512,495,088	\$1,238,443,027	\$1,238,443,027	\$0	\$1,238,443,027	\$0	\$1,238,443,027
Appropriation	(\$4,526,191)	\$1,519,109	\$1,519,109	\$0	\$1,519,109	\$0	\$1,519,109
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to reflect cost settlements made to adjust for the difference between initial payments made to providers and the amount actually owed. In addition, enhanced payments are paid to eligible hospitals that serve a high number of Medicaid recipients and certified public expenditures (CPEs) are recorded from public hospitals.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Record public hospitals' CPEs in order to draw down federal funds used to make enhanced payments and public hospital claims payments.	\$769,279,087	-
Transfer federal funds stemming from CPE activities to fund 1310 to help support claims payments for qualifying public hospitals in accordance with the state plan.	\$284,078,058	-
Public, Non-State Hospital Enhanced Payments. Distribute enhanced payments to eligible public, non-state hospitals to offset the cost of serving a high number of Medicaid recipients.	\$169,863,874	-
Private Hospital Enhanced Payments. Distribute enhanced payments to eligible private hospitals to offset the cost of serving a high number of Medicaid recipients.	\$157,587,404	-
Distribute and receive cost settlements for various provider types such as ambulance, health departments, and mental health providers to adjust for the difference between initial payments made to providers and the amount actually owed.	\$127,160,474	-
Actual Totals	\$1,507,968,897	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Private hospitals that received enhanced payments	56	52	52
Public, non-state hospitals that received enhanced payments	38	37	37

Fund 14445-1330 Medical Assistance Adjustments and Refunds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	(\$338,928,772)	(\$283,776,919)	(\$285,273,651)	\$37,390,624	(\$247,883,027)	\$37,390,624	(\$247,883,027)
Receipts	(\$296,574,407)	(\$242,153,913)	(\$243,411,540)	\$25,100,326	(\$218,311,214)	\$25,100,326	(\$218,311,214)
Appropriation	(\$42,354,365)	(\$41,623,006)	(\$41,862,111)	\$12,290,298	(\$29,571,813)	\$12,290,298	(\$29,571,813)
Positions	-	-	-	-	-	-	-

Fund description

This fund reflects adjustments in payments made to providers and represents payments to DMA for insurance payments, fraud and other recoveries, and drug rebates.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Drug Rebate Collections. Receives drug rebate funds from drug makers to offset the cost of prescription drugs. Federal regulations require pharmaceutical companies to pay rebates to states in order to have their drugs covered by Medicaid. Drug makers provide price data to the Centers for Medicare and Medicaid Services (CMS) and CMS uses the price data to compute each states rebate amount.	(\$311,705,952)	-
Receives funds from providers, insurers, and others that are recovered through the review of provider billings and medical records, coordination with other insurers and payers where Medicaid was not the primary payer, through estate recovery, and through legal and civil actions carried out cooperatively by state and local law enforcement agencies.	(\$90,257,509)	-
Transfer the state share of drug rebate funds to support current Medicaid claims payments in fund 1310. In addition, the state share of any over-realized drug rebates is used to meet contractual obligations and other division activities.	\$63,034,689	-
Actual Totals	(\$338,928,772)	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Total recoveries for the North Carolina Medicaid Program from Medicare recoveries, health insurance recoveries, casualty insurance recoveries, and estate recoveries (dollars)	\$57,752,877	\$56,575,910	\$49,852,656

Fund 14445-1336 Disproportionate Share Hospital Payments — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$576,516,918	\$594,806,206	\$592,156,984	\$0	\$592,156,984	\$0	\$592,156,984
Receipts	\$517,645,144	\$529,130,306	\$529,130,306	\$0	\$529,130,306	\$0	\$529,130,306
Appropriation	\$58,871,774	\$65,675,900	\$63,026,678	\$0	\$63,026,678	\$0	\$63,026,678
Positions	-	-	-	-	-	-	-

Fund description

This purpose of this fund is to record Disproportionate Share Hospital (DSH) payments to hospitals that serve a disproportionate number of low-income patients and to record CPEs from public hospitals.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Record public hospitals' qualified CPEs in order for the division to draw down federal funds used for claims payments and DSH payments.	\$248,316,349	-
State-Owned Hospital and IMDs DSH Payments. Distribute DSH payments to state-owned hospitals and Institutions of Mental Disease (IMDs) to help support their costs in serving a disproportionate number of uninsured patients.	\$168,298,520	-
Transfer federal funds stemming from CPE activities to fund 1310 to help support claims payments for qualifying public hospitals in accordance with the state plan.	\$65,018,267	-

Transfer DSH funds from the DSH Program to budget code 24445 to support DSH settlement costs, Medicaid claims payments, and other expenditures authorized by the North Carolina General Assembly.	\$50,004,960	-
Public, Non-State Hospital DSH Payments. Distribute DSH payments to eligible public, non-state hospitals to offset the cost of serving a disproportionate number of uninsured patients.	\$44,440,663	-
Private Hospital DSH Payments. Distribute DSH payments to eligible private hospitals to offset the cost of serving a disproportionate number of uninsured patients.	\$438,159	-
Actual Totals	\$576,516,918	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Public, non-state hospitals that receive DSH payments	43	40	40
State-owned hospitals and IMDs that receive DSH payments	7	5	5
Private hospitals that receive DSH payments	46	10	7
Out-of-state hospitals that receive DSH payments ¹	21	3	2

¹These payments are for uninsured North Carolina residents receiving services in an out-of-state hospital.

Fund 14445-1340 Undispositioned Receipts — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$9,151,280	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$2,291,917	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$6,859,363	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to record program validation refunds, insurance recoveries, cost settlement refunds, other miscellaneous refunds, and Electronic Data Systems recoups/receipts. The activity in this fund is solely for accounting purposes since undispositioned refunds are dispositioned and recorded in funds 1320 and 1330.

Fund 14445-1350 Periodic Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$88,363	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$88,363	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to record interim payments (advances) to Medicaid providers. It is solely an accounting fund.

Fund 14445-1810 Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$917,335)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due to and from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the clearing account at any point in the fiscal year.

Fund 14445-1910 Reserves and Transfers — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$101,086,118	\$100,000,000	\$100,890,206	\$5,741,794	\$106,632,000	\$5,741,794	\$106,632,000
Receipts	\$6,492,066	\$0	\$890,206	(\$890,206)	\$0	(\$890,206)	\$0
Appropriation	\$94,594,052	\$100,000,000	\$100,000,000	\$6,632,000	\$106,632,000	\$6,632,000	\$106,632,000
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record budgetary reserves and non-operating transfers to other state agencies.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Transfer to State's General Fund. Transfer funds to the General Fund resulting from DSH payments to state-owned hospitals and state-owned IMDs.	\$100,000,000	-
Transfer to OSC. Transfer interest earned from federal monies deposited in the General Fund to fund 1102. From fund 1102, these monies are transferred to the OSC which pays the interest back to the federal government based on the federal Cash Management Interest Act.	\$1,088,822	-
Miscellaneous refund of expenditure.	(\$2,704)	-
Actual Totals	\$101,086,118	-

Fund 14445-1991 Federal Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$181,518	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14445-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$38,756,834	\$14,200,000	\$14,200,000	\$0	\$14,200,000	\$0	\$14,200,000
Receipts	\$55,293,101	\$14,200,000	\$14,200,000	\$0	\$14,200,000	\$0	\$14,200,000
Appropriation	(\$16,536,267)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources that were earned in a prior fiscal year. In addition, \$14.2 million of these revenues are transferred to budget code 14410 to support the Division of Information Resource Management operating costs.

Fund 14445-1993 Prior Year Adjustments or Audit Exceptions — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$8,388,415	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$13,582,133	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$5,193,718)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub grantees as a result of audits of prior year operations.

Governor's Recommended Adjustments to Base Budget

NC Health Choice (14446)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$264,487,056	\$264,487,056
Receipts	<u>\$195,697,428</u>	<u>\$195,697,428</u>
Appropriation	\$68,789,628	\$68,789,628
Adjustments		
Requirements	\$23,196,608	\$78,851,943
Receipts	<u>\$19,107,984</u>	<u>\$63,134,568</u>
Appropriation	\$4,088,624	\$15,717,375
Total		
Requirements	\$287,683,664	\$343,338,999
Receipts	<u>\$214,805,412</u>	<u>\$258,831,996</u>
Recommended Appropriation	<u>\$72,878,252</u>	<u>\$84,507,003</u>
<hr/>		
Positions		
Base Budget Positions	1.000	1.000
Reductions	-	-
Expansion	<u>5.000</u>	<u>5.000</u>
Recommended Positions	<u>6.000</u>	<u>6.000</u>

Appropriation Items -- Recommended Adjustments

Reductions	<u>2009-10</u>	<u>2010-11</u>
1. Reduce Operating Expenses		
This recommendation reduces various operating accounts within the administration budget that have been unspent for at least 5 years.		
Requirements	(\$96,509)	(\$96,509)
Receipts	(\$72,864)	(\$72,864)
Appropriation	(\$23,645)	(\$23,645)
2. Establish/Increase Emergency Room Copayment for Non-emergency Visits		
In order to reduce unnecessary visits to the emergency room, it is recommended that a \$25 copayment for non-emergency visits to the emergency room for children from families with incomes between 100%-150% of the federal poverty guidelines be established and that the current \$20 copayment be increased to \$25 for children from families with incomes between 150%-200% of the federal poverty guidelines. Implementation is expected on October 1, 2009.		
Requirements	(\$891,702)	(\$1,314,012)
Receipts	(\$674,037)	(\$996,284)
Appropriation	(\$217,665)	(\$317,728)
Total Recommended Reductions		
	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$988,211)	(\$1,410,521)
Receipts	(746,901)	(1,069,148)
Appropriation	(\$241,310)	(\$341,373)
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
Appropriation	-	-
Positions	-	-

Expansion

2009-10 2010-11

1. State Children's Health Insurance Program (SCHIP) Expansion and Transition

The Governor recommends additional funds to expand SCHIP to approximately 8,000 additional children each year in the FY 2009-11 biennium. Enrollment is projected to be 145,755 children at the end of June 2010 and 153,755 children at the end of June 2011. In addition, the Governor recommends funding for five positions and contractual services to transition all administrative oversight of SCHIP from the State Health Plan to the Division of Medical Assistance by July 1, 2010. Additional funding to support these items comes from the NC Kids' Care reserve (\$7,000,000).

Requirements	\$24,184,819	\$80,262,464
Receipts	\$19,854,885	\$64,203,716
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Appropriation	\$4,329,934	\$16,058,748
Positions	5.000	5.000

Total Recommended Expansion

2009-10 2010-11

Recurring

Requirements	\$24,184,819	\$80,262,464
Receipts	19,854,885	64,203,716
<hr/>		
Appropriation	\$4,329,934	\$16,058,748
Positions	5.000	5.000

Nonrecurring

Requirements	-	-
Receipts	-	-
<hr/>		
Appropriation	-	-
Positions	-	-

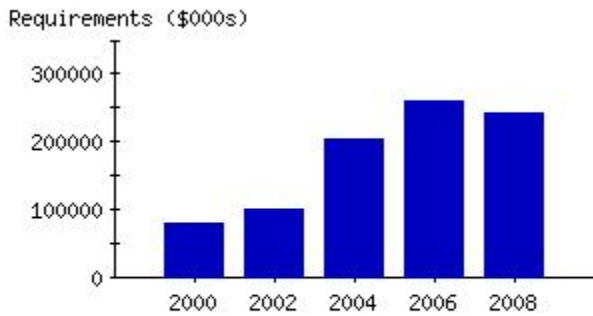
**Total Recommended Adjustments for
 NC Health Choice (14446)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$23,196,608	\$78,851,943
Receipts	19,107,984	63,134,568
	<hr/>	<hr/>
Appropriation	\$4,088,624	\$15,717,375
Positions	5.000	5.000
Nonrecurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Total Appropriation Adjustments	\$4,088,624	\$15,717,375
Total Position Adjustments	5.000	5.000

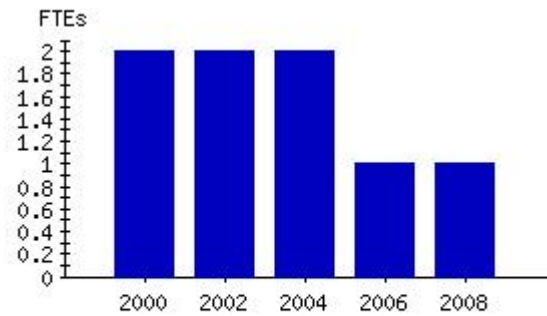
Base Budget and Performance Management Information

Budget Code 14446 DHHS - Div. of Medical Assistance - NC Health Choice

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2005 - one position temporarily transferred for new Medicaid management information system. Position will return upon completion of project.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$241,897,952	\$270,503,777	\$270,503,777	(\$6,016,721)	\$264,487,056	(\$6,016,721)	\$264,487,056
Receipts	\$182,519,040	\$201,067,526	\$201,067,526	(\$5,370,098)	\$195,697,428	(\$5,370,098)	\$195,697,428
Appropriation	\$59,378,912	\$69,436,251	\$69,436,251	(\$646,623)	\$68,789,628	(\$646,623)	\$68,789,628
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Budget Code 14446 DHHS - Div. of Medical Assistance - NC Health Choice

Fund 14446-1101 State Level Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$67,766	\$385,244	\$385,244	(\$216,721)	\$168,523	(\$216,721)	\$168,523
Receipts	\$43,118	\$286,970	\$286,970	(\$162,719)	\$124,251	(\$162,719)	\$124,251
Appropriation	\$24,648	\$98,274	\$98,274	(\$54,002)	\$44,272	(\$54,002)	\$44,272
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

The purpose of this fund is to manage the State Children's Health Insurance Program (SCHIP), called North Carolina Health Choice for Children (NCHC) in North Carolina. Started in October 1998, NCHC provides free or reduced price comprehensive health care to children whose families make too much money to qualify for Medicaid. Salaries, fringe benefits, and related operational costs reside in this fund.

Services for the fund

NCHC Administration Expenses. Manage and support the NCHC Program by issuing policies and procedures to county departments of social services and monitoring program compliance to ensure that benefits are paid appropriately and that the program complies with federal and state laws and regulations.

Actual Totals

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$67,766	1.000
\$67,766	1.000

Measures for the fund

NCHC enrollees (unduplicated count) ¹

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
199,160	170,177	179,920

¹Effective January 1, 2006, NCHC children ages 0-5 were moved to Medicaid.

Fund 14446-1310 Medical Payments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$241,830,186	\$270,118,533	\$270,118,533	(\$5,800,000)	\$264,318,533	(\$5,800,000)	\$264,318,533
Receipts	\$182,475,922	\$200,780,556	\$200,780,556	(\$5,207,379)	\$195,573,177	(\$5,207,379)	\$195,573,177
Appropriation	\$59,354,264	\$69,337,977	\$69,337,977	(\$592,621)	\$68,745,356	(\$592,621)	\$68,745,356
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to record premium payments made to the North Carolina State Health Plan (SHP). The premium covers the costs of medical service payments and administration costs. The SHP reimburses the fiscal agent, Blue Cross Blue Shield (BCBS), for program claim expenditures and administrative costs.

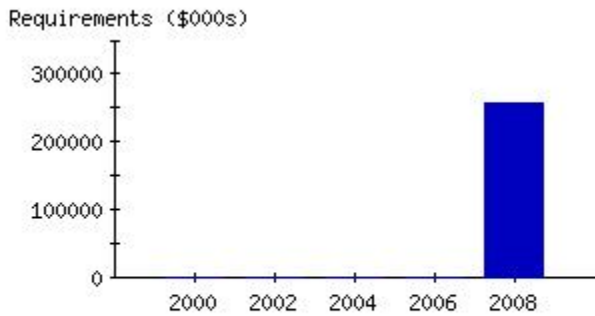
Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
NCHC Premium Expenses. Pay premiums to SHP to cover the costs of medical claims payments to providers and for administration costs born by both the SHP and BCBS. The SHP reimburses BCBS for claims payments and their administrative costs.	\$241,830,186	-
Actual Totals	\$241,830,186	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Annual premium expenditures (dollars)	258,317,362	210,503,927	242,904,785
Percentage change in total premium expenditures from prior year to current year	-3.11 %	-18.51 %	15.39 %
Annual premium expenditures per NCHC enrollee (unduplicated count) (dollars)	\$1,297	\$1,236	\$1,350

Base Budget and Performance Management Information

Budget Code 24445 DHHS - Medical Assistance - Special Fund

**Actual Expenditures
 by Fiscal Year**



Budget code was established in 2006.

	Base Budget						
	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$255,830,863	\$291,872,767	\$291,872,767	\$0	\$291,872,767	(\$15,000,000)	\$276,872,767
Receipts	\$236,718,771	\$283,816,060	\$283,816,060	\$0	\$283,816,060	\$0	\$283,816,060
Chng Fund Bal	(\$19,112,092)	(\$8,056,707)	(\$8,056,707)	\$0	(\$8,056,707)	\$15,000,000	\$6,943,293
Positions	-	-	-	-	-	-	-

Budget Code 24445 DHHS - Medical Assistance - Special Fund

Fund 24445-2002 Disproportionate Share Hospital Program — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$59,747,909	\$58,061,667	\$58,061,667	\$0	\$58,061,667	(\$15,000,000)	\$43,061,667
Receipts	\$50,004,960	\$50,004,960	\$50,004,960	\$0	\$50,004,960	\$0	\$50,004,960
Chng Fund Bal	(\$9,742,949)	(\$8,056,707)	(\$8,056,707)	\$0	(\$8,056,707)	\$15,000,000	\$6,943,293
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to deposit any excess funds from the DSH and Enhanced Payment Programs and to record settlement payments for the DSH Program, transfer funds to Budget Code 14445, fund 1310 for Medicaid claims payments, and to record other actions authorized by the North Carolina General Assembly.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Transfer funds to budget code 14445 to support Medicaid claims payments and support staff in the DSH Program.	\$43,061,667	-
Pay DSH settlement payments to the federal government resulting from an audit of the DSH Program from FY 1997-2002.	\$16,686,242	-
Actual Totals	\$59,747,909	-

Fund 24445-2003 Undispositioned Refunds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$196,082,954	\$233,811,100	\$233,811,100	\$0	\$233,811,100	\$0	\$233,811,100
Receipts	\$186,713,811	\$233,811,100	\$233,811,100	\$0	\$233,811,100	\$0	\$233,811,100
Chng Fund Bal	(\$9,369,143)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to temporarily deposit undispositioned refunds. Monies reside in this fund until the fiscal year in which the original payment was made to the provider and the category of service is determined. When these elements are determined, the money is transferred to budget code 14445 and dispositioned to funds 1320 and 1330.

Divisions of Services for the Blind, Deaf, and Hard of Hearing

Mission

The Division of Services for the Blind seeks to empower individuals who are blind or visually impaired to achieve their maximum potential. The Division of Services for the Deaf and the Hard of Hearing seeks, through advocacy, information and referral, training and consultation, skills development and adaptive equipment distribution to enable persons who are deaf, hard of hearing, deaf-blind or speech-impaired to achieve equal access, effective communication, and a better quality of life.

Goals

Enable blind North Carolina citizens to live safely and comfortably by providing quality and timely services to consumers with vision loss.

Maximize the independence of blind North Carolina residents by providing training to meet their demands of daily living, preventing blindness, and restoring vision. Assist citizens with significant vision loss to become successfully employed in a career of their choice through vocational, rehabilitative services, and training for employment opportunities.

Provide employment opportunities for the blind and visually impaired citizens of North Carolina through the operation of vending and on-site food locations throughout the state.

Provide a variety of support, including advocacy, information, referral, and skills development to deaf, hard of hearing, deaf-blind individuals, their families, and local agencies through the seven regional centers, resulting in increased access to community resources and enhanced economic independence.

Provide relay services to all North Carolinians with hearing loss and speech impairment, assuring equal access to the telecommunications system.

Provide adaptive equipment to ensure low income deaf, hard of hearing, deaf-blind, and speech impaired individuals have equal access to the telecommunications and emergency alerting systems.

Conduct outreach and training activities to enhance awareness of available services and build capacity to help ensure full utilization of the equipment distributed for the telecommunications system. Intended recipients of these activities include deaf, hard of hearing and deaf-blind North Carolinians and the agencies (such as social services, healthcare, law enforcement and emergency alert/response) serving this population.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Consumers served in the Independent Living Program	-	7,869	7,870	7,686	6,537
Outreach activities performed	-	-	-	143	145
Clients served through DSDHH	-	2,521	3,280	3,757	4,017
Adaptive Telecommunications Equipment (TTY's, electronic speech aids, Telecoil hearing aids, weather radios, etc.) approved for distribution by DSDHH ¹	-	1,913	5,263	7,343	6,377

¹The Telecoil Hearing Aid Distribution Program was implemented for FY 06-07 which resulted in the substantial increase from 05-06 to 06-07. The measure accounts for equipment approved for distribution as actual distribution to clients, which can take 2-3 months (due to manufacturer lead times, need for professional instruction on use, etc.) and may span across two fiscal years.

Governor's Recommended Adjustments to Base Budget

Divisions of Services for the Blind, Deaf, and Hard of Hearing (14450)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$33,435,484	\$33,533,679
Receipts	<u>\$21,730,962</u>	<u>\$21,770,215</u>
Appropriation	\$11,704,522	\$11,763,464
Adjustments		
Requirements	\$1,787,894	\$1,689,699
Receipts	<u>\$2,082,344</u>	<u>\$2,043,090</u>
Appropriation	(\$294,450)	(\$353,391)
Total		
Requirements	\$35,223,378	\$35,223,378
Receipts	<u>\$23,813,306</u>	<u>\$23,813,305</u>
Recommended Appropriation	<u>\$11,410,072</u>	<u>\$11,410,073</u>
<hr/>		
Positions		
Base Budget Positions	320.000	320.000
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>320.000</u>	<u>320.000</u>

Appropriation Items -- Recommended Adjustments

Reductions

2009-10

2010-11

1. Reduce Operating Budget

This recommendation reduces the operating budgets that do not impact the division's ability to carry out programs and services for the Deaf and Hard of Hearing Regional Centers, which represents an 8% reduction to the current budget.

Requirements	(\$32,431)	(\$32,431)
Receipts	-	-
Appropriation	(\$32,431)	(\$32,431)
Positions	-	-

2. Replace State Funds with Federal Receipts

This recommendation replaces state funds with federal receipts by budgeting additional funds from the Basic Support Vocational Rehabilitation Grant.

Requirements	-	-
Receipts	\$150,000	\$150,000
Appropriation	(\$150,000)	(\$150,000)
Positions	-	-

3. Remove Inflationary Increases

This recommendation removes inflationary increases from the continuation budget for the Medical Eye Care and Rehabilitation Services Basic Support Programs.

Requirements	(\$188,256)	(\$286,451)
Receipts	(\$76,237)	(\$115,491)
Appropriation	(\$112,019)	(\$170,960)
Positions	-	-

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$220,687)	(\$318,882)
Receipts	73,763	34,509
	<hr/>	<hr/>
Appropriation	(\$294,450)	(\$353,391)
Positions	-	-
Nonrecurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

Expansion

	<u>2009-10</u>	<u>2010-11</u>
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1. Federal Recovery Funds for Division of Services for the Blind (DSB)

The Governor recommends budgeting federal funds available through the American Reinvestment and Recovery Act in the amount of \$2,974,779 for Vocational Rehabilitation Services and \$1,042,383 for the Independent Living Program (Older Blind). The funding availability dates are undetermined. DSB proposes to use vocational rehabilitation services funds for DSB consumers involved in vocational and postsecondary training programs, an employment aid campaign to reach prospective DSB consumers who are unfamiliar with DSB services, and technology updates to a new case management and data collection system that is scheduled to be implemented within the next biennium. Funds for the Independent Living Program will provide independent skills training to people over 55 years of age who have lost vision and technology updates to a new case management data base collection system once the system has been implemented.

Requirements - Nonrecurring	\$2,008,581	\$2,008,581
Receipts - Nonrecurring	\$2,008,581	\$2,008,581
	<hr/>	<hr/>
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Nonrecurring		
Requirements	\$2,008,581	\$2,008,581
Receipts	2,008,581	2,008,581
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

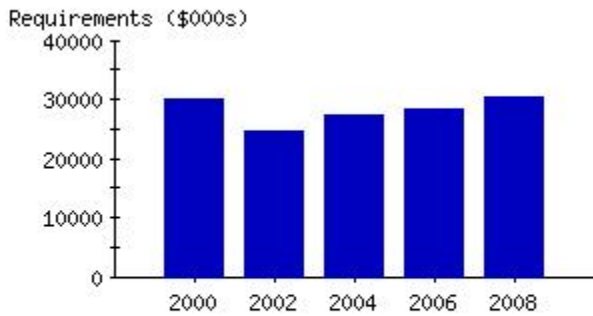
**Total Recommended Adjustments for
 Divisions of Services for the Blind, Deaf, and Hard of
 Hearing (14450)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$220,687)	(\$318,882)
Receipts	73,763	34,509
	<hr/>	<hr/>
Appropriation	(\$294,450)	(\$353,391)
Positions	-	-
Nonrecurring		
Requirements	\$2,008,581	\$2,008,581
Receipts	2,008,581	2,008,581
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Total Appropriation Adjustments	(\$294,450)	(\$353,391)
Total Position Adjustments	-	-

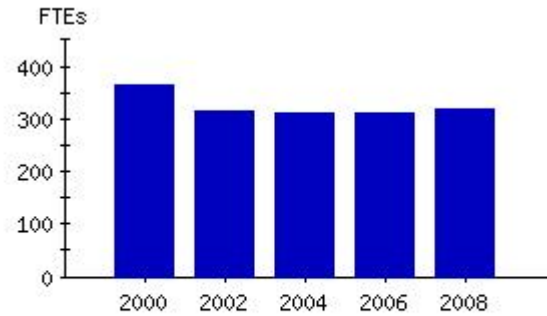
Base Budget and Performance Management Information

Budget Code 14450 DHHS - Div of Services for the Blind/Deaf/Hard of Hearing

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



2001 - Governor Morehead School positions transferred to OES

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$30,220,018	\$32,271,634	\$33,154,965	\$280,519	\$33,435,484	\$378,714	\$33,533,679
Receipts	\$19,898,640	\$20,673,340	\$21,556,671	\$174,291	\$21,730,962	\$213,544	\$21,770,215
Appropriation	\$10,321,378	\$11,598,294	\$11,598,294	\$106,228	\$11,704,522	\$165,170	\$11,763,464
Positions	319.990	319.000	320.000	-	320.000	-	320.000

Budget Code 14450 DHHS - Div of Services for the Blind/Deaf/Hard of Hearing

Fund 14450-1110 Management and Support Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,525,671	\$1,479,442	\$1,534,442	(\$74,929)	\$1,459,513	(\$74,929)	\$1,459,513
Receipts	\$907,790	\$851,609	\$906,609	\$47	\$906,656	\$47	\$906,656
Appropriation	\$617,881	\$627,833	\$627,833	(\$74,976)	\$552,857	(\$74,976)	\$552,857
Positions	18.000	18.000	18.000	-	18.000	-	18.000

Fund description

Provide management and administrative support services for the Division of Services for the Blind. Those services include budgeting, LAN and information systems support, policy development, implementation, and maintenance of the statewide Blind Register.

Services for the fund

Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.

Division Director's Office. Develop policy and long range planning in collaboration with the Department of Health and Human Services; manage federal, state and local relations; and lead the management and administration of the division and the delivery of services to persons with vision loss.

Management Information Systems. Manage the division's statewide Blind Register, a client data system that registers individuals who are legally blind or visually impaired.

Actual Totals

<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
\$99,618	2.000
\$1,378,778	15.000
\$47,275	1.000
\$1,525,671	18.000

Measures for the fund

Percent of individuals satisfied with DSB services ¹

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
-	99 %	-

¹Each year, the State Rehabilitation Council conducts a Consumer Satisfaction Survey of people who completed rehabilitation programs. Results for 2007-08 not yet available.

Fund 14450-1190 Federal Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$524,443)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14450-1210 Special Assistance for the Blind — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$544,683	\$903,614	\$603,614	\$0	\$603,614	\$0	\$603,614
Receipts	\$261,820	\$465,807	\$315,807	\$0	\$315,807	\$0	\$315,807
Appropriation	\$282,863	\$437,807	\$287,807	\$0	\$287,807	\$0	\$287,807
Positions	-	-	-	-	-	-	-

Fund description

The purpose of Special Assistance for the Blind is to assist blind persons in meeting their minimum needs by providing financial assistance payments to supplement Social Security and supplemental security income payments for eligible recipients in rest homes at the current legislatively authorized rates and by providing for non-Medicaid covered expenses for eligible individuals.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Special Assistance for the Blind. Provide financial assistance to legally blind low income North Carolinians to supplement the cost of care in adult care homes and other residential facilities to ensure that individuals live in a safe environment and receive required care.	\$544,683	-
Actual Totals	\$544,683	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Blind individuals receiving supplemental funds	102	107	100

Fund 14450-1410 Independent Living Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$8,364,529	\$8,453,134	\$8,751,019	\$94,736	\$8,845,755	\$143,053	\$8,988,808
Receipts	\$4,785,611	\$4,563,366	\$4,563,366	\$2,483	\$4,565,849	\$2,483	\$4,568,332
Appropriation	\$3,578,918	\$3,889,768	\$4,187,653	\$92,253	\$4,279,906	\$140,570	\$4,420,476
Positions	83.000	83.000	83.000	-	83.000	-	83.000

Fund description

Independent Living Services assists people who are blind or visually impaired to become independent by providing counseling, assistance in blindness training, in-home aide services, and referrals. The Medical Eye Care (MEC) program provides eye exams, eye related medical treatment, and screenings to prevent vision loss and restore vision when possible.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Independent Living Services. Provide direct services to North Carolinians who are blind and visually impaired that include counseling, personal care skills, training in home management, safe travel skills, and in-home care that enable them to live independently. Services are provided by social workers for the blind, located in all 100 counties.	\$5,333,097	75.000
Medical Eye Care Program. Provide consumer education and service intervention by eye care professionals through one-on-one direct client services including eye exams, surgery, and purchase of glasses that facilitates the prevention or reduction of vision loss.	\$3,031,419	8.000
Actual Totals	\$8,364,529	83.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Independent Living Services (ILS) rendered	7,192	6,393	5,070
Medical Eye Care (MEC) services rendered	13,096	9,609	6,430

Fund 14450-1510 Rehabilitation for the Blind - Counsel/Placement — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$15,835,984	\$17,268,859	\$18,131,542	\$166,388	\$18,297,930	\$216,266	\$18,347,808
Receipts	\$12,423,448	\$13,056,058	\$14,066,626	\$130,951	\$14,197,577	\$170,204	\$14,236,830
Appropriation	\$3,412,536	\$4,212,801	\$4,064,916	\$35,437	\$4,100,353	\$46,062	\$4,110,978
Positions	161.990	160.000	162.000	-	162.000	-	162.000

Fund description

The Rehabilitation Program assists the blind and visually-impaired in maintaining, returning to, or securing competitive employment or pursuing activities which allow them to become productive members of society. This program: 1) provides evaluation, vocational training, physical restoration, maintenance, transportation, and job placement; 2) prepares consumers to take advantage of vocational training, higher education, and/or employment by providing adjustment to blindness services and training services at the Division's Rehabilitation Center; and 3) seeks consumer involvement through consumer satisfaction surveys for the rehabilitation programs.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Consumer Employment. Promote consumer employment capacity for North Carolinians who are blind and visually impaired through a range of direct counseling, training, and other services which allows individuals to become successfully employed in the career of their choice.	\$14,696,923	144.990
Consumer Skills. Facilitate consumer skills development for individuals experiencing vision loss, particularly the older population, by individualized needs assessment, one-on-one, and community based group instruction in the use of adaptive techniques and technologies to enable them to meet daily living needs.	\$1,139,041	17.000
Actual Totals	\$15,835,984	161.990

Measures for the fund	2005-06	2006-07	2007-08
Consumers achieving their employment goal	651	650	640
Percentage of individuals receiving Independent Living Rehabilitation Program services who were satisfied	86 %	94 %	-
Average weekly wage of employed individuals participating in rehabilitation services (dollars)	\$340.28	\$354.89	\$363.50

Fund 14450-1610 Business Enterprises — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$793,554	\$902,976	\$862,217	\$25	\$862,242	\$25	\$862,242
Receipts	\$793,548	\$902,976	\$862,217	\$25	\$862,242	\$25	\$862,242
Appropriation	\$6	\$0	\$0	\$0	\$0	\$0	\$0
Positions	12.000	13.000	12.000	-	12.000	-	12.000

Fund description

Business Enterprise Administration seeks to provide blind citizens remunerative employment opportunities through establishment of food service and vending facilities on federal, state, and private properties that are managed by blind citizens. Management services are provided to create these opportunities, train blind individuals in the management of these operations, and to use assistive technology so blind operators can successfully work as managers of these facilities.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Management Services. Provide management services in support of business opportunities for blind vendors. There are six counselors located in five district offices who provide on-going assistance and business counseling. The various facilities range in scope from the vending services provided at interstate rest areas and welcome centers to the full service restaurant located in the Mount Mitchell State Park.	\$793,548	12.000
Actual Totals	\$793,554	12.000

Measures for the fund	2005-06	2006-07	2007-08
Business Enterprise operators	84	85	84
Annual sales (dollars)	\$12,622,013	\$12,634,770	\$12,014,942
Average annual income of a Business Enterprise operator (dollars)	\$41,884	\$44,359	\$42,136

Fund 14450-1810 DHHS - General Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$498,297	\$578,840	\$590,903	\$66,510	\$657,413	\$66,510	\$657,413
Receipts	\$196,532	\$213,597	\$222,128	\$31,931	\$254,059	\$31,931	\$254,059
Appropriation	\$301,765	\$365,243	\$368,775	\$34,579	\$403,354	\$34,579	\$403,354
Positions	6.000	6.000	6.000	-	6.000	-	6.000

Fund description

This fund is used to support the division's mission in serving deaf, deaf-blind, hard of hearing, speech-impaired consumers by supervising field and statewide service programs; providing budgeting and accounting services and program evaluation; developing policies and procedures; providing personnel services, staff development, systems development, operations, telecommunications and related information technology planning and support; researching and analyzing consumer and provider needs; administering the Telecommunications Access of North Carolina (TANC) program; and collaborating with existing and new partners.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$46,244	1.000
Division Director's Office . Develop policy and long range planning in collaboration with the Department of Health and Human Services; manage federal, state, and local relations; and serve deaf, deaf-blind, hard of hearing and speech-impaired consumers.	\$452,053	5.000
Actual Totals	\$498,297	6.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage change in clients served	30.1 %	14.5 %	6.9 %
Percentage change in agency staff served	33.3 %	2.5 %	13.9 %

Fund 14450-1820 DHHS - Regional Resource Center — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,521,141	\$2,684,769	\$2,681,228	\$27,789	\$2,709,017	\$27,789	\$2,709,017
Receipts	\$514,438	\$619,927	\$619,918	\$8,854	\$628,772	\$8,854	\$628,772
Appropriation	\$2,006,703	\$2,064,842	\$2,061,310	\$18,935	\$2,080,245	\$18,935	\$2,080,245
Positions	39.000	39.000	39.000	-	39.000	-	39.000

Fund description

The purpose of this fund is to provide services to deaf, deaf-blind, hard of hearing, their families and the organizations that serve them by providing training and technical assistance in compliance with the Americans with Disabilities Act and other disability laws; alternative communication orientation, coping skills, and family support training; provide direct service in the form of communication access provision (such as providing assistive listening devices, interpreter services, or computer assisted note taking); provide assistance in the application, distribution, and training of assistive equipment distributed by TANC; and provide self-advocacy training, disability and ability awareness, and cross-cultural education.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Client Services. Provide assistance, consultation, and training through one-on-one direct client service and skills development workshops for consumers and the agencies that serve them to promote service awareness and improved services.	\$1,546,051	13.000
Agency Services. Provide advocacy and consultation, communication support, information referral, and outreach education to deaf, hard of hearing and deaf-blind individuals, their families, and the businesses and service providers that serve them in all 100 counties.	\$849,722	13.000
Outreach. Travel to all 100 counties to conduct outreach activities designed to increase customers' awareness of the signs and ramifications of hearing loss and appropriate local resources for meeting their specific needs and to enhance their self-sufficiency in their communities regardless of their hearing loss. Outreach activities are performed by staff at the division's seven regional centers. The division also has several 1-800 numbers as well as Internet access.	\$125,359	13.000
Actual Totals	\$2,521,141	39.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Individual clients served	1,542	1,484	1,532
Agency staff served	2,872	2,377	2,836

Fund 14450-1991 Federal Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$84,768	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$85,642	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$874)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14450-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$161,625	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$495,418	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$333,793)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue, primarily from federal funding sources, that was earned in a prior fiscal year and received in the current fiscal year.

Fund 14450-1993 Prior Period Refunds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	(\$110,234)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$41,164)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$69,070)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

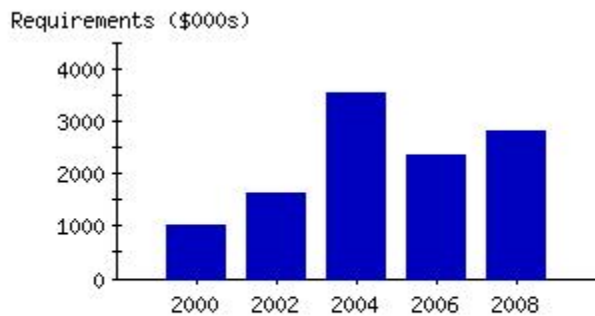
Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from sub-grantees as a result of audits of prior year operations.

Base Budget and Performance Management Information

Budget Code 24450 DHHS - Services for the Blind - Special

**Actual Expenditures
 by Fiscal Year**



	Base Budget						
	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,816,294	\$2,674,914	\$2,664,335	\$0	\$2,664,335	\$0	\$2,664,335
Receipts	\$2,556,608	\$2,674,914	\$2,664,335	\$0	\$2,664,335	\$0	\$2,664,335
Chng Fund Bal	(\$259,686)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Budget Code 24450 DHHS - Services for the Blind - Special

Fund 24450-2610 Business Enterprise Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,248,132	\$1,588,054	\$1,588,054	\$0	\$1,588,054	\$0	\$1,588,054
Receipts	\$1,442,280	\$1,588,054	\$1,588,054	\$0	\$1,588,054	\$0	\$1,588,054
Chng Fund Bal	\$194,148	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supports purchases of equipment needed to operate food service/vending facilities operated by blind vendors licensed by DSB and under an agreement between the vendor, the division, and the property manager. Purchases are made as old equipment needs to be replaced or as new facilities are developed and no annual target exits.

Fund 24450-2615 Business Enterprise Set Aside — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$632,230	\$694,382	\$706,499	\$0	\$706,499	\$0	\$706,499
Receipts	\$626,433	\$694,382	\$706,499	\$0	\$706,499	\$0	\$706,499
Chng Fund Bal	(\$5,797)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund consists of the money paid into the division by blind operators in support of operation of the Business Enterprise Program for which DSB is the state licensing agency as designated by the federal government. The money paid in must be used in keeping with federal law and regulations and is used to match federal funds available to the program.

Fund 24450-2617 Business Enterprise Federal Vending — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$31,000	\$33,148	\$33,148	\$0	\$33,148	\$0	\$33,148
Receipts	\$51,679	\$33,148	\$33,148	\$0	\$33,148	\$0	\$33,148
Chng Fund Bal	\$20,679	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is the site where funds collected from vending on federal property are deposited. Federal regulations allow for the deposit of these funds when the vending location does not generate enough income to support employment of a licensed blind operator on that site. The funds are rebated back to the pool of operators at the end of the year. Funds are not expended from the fund nor is there an annual target.

Fund 24450-2618 Division of Services for the Blind - Other Vending — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$904,932	\$359,330	\$336,634	\$0	\$336,634	\$0	\$336,634
Receipts	\$436,216	\$359,330	\$336,634	\$0	\$336,634	\$0	\$336,634
Chng Fund Bal	(\$468,716)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

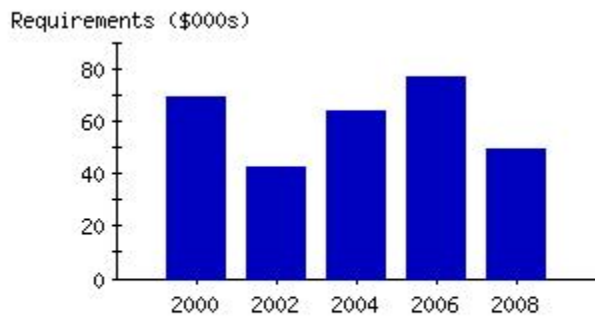
Fund description

This fund is comprised of money received from commissions of vending machines in state or local government buildings when the site is not able to financially support the employment of a blind licensed operator to manage the location. State statute allows for DSB to collect these commissions and to use them in support of programs within the division including Rehabilitation, Independent Living, and Medical Eye Care. There is no target for bringing money into the fund each year nor is there a budgeted amount to be spent each year as program needs vary annually and funds are moved from this fund as needed to meet program requirements.

Base Budget and Performance Management Information

Budget Code 54450 DHHS - Services for the Blind - Enterprise Fund

**Actual Expenditures
 by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$49,142	\$66,363	\$66,363	\$0	\$66,363	\$0	\$66,363
Receipts	\$54,913	\$66,363	\$66,363	\$0	\$66,363	\$0	\$66,363
Chng Fund Bal	\$5,771	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Budget Code 54450 DHHS - Services for the Blind - Enterprise Fund

Fund 54450-5300 Aids and Appliances — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$49,142	\$66,363	\$66,363	\$0	\$66,363	\$0	\$66,363
Receipts	\$54,913	\$66,363	\$66,363	\$0	\$66,363	\$0	\$66,363
Chng Fund Bal	\$5,771	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

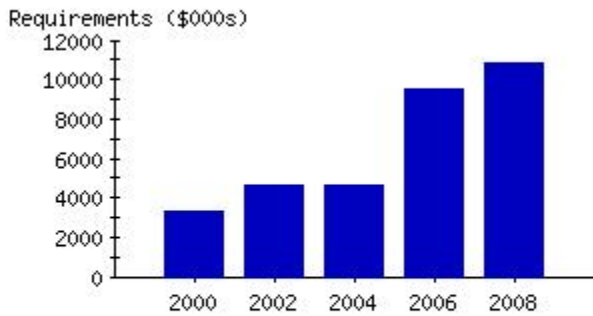
Fund description

This fund is used to purchase aids and appliances used by people with vision loss. In order to maximize funding the division is able to buy in quantity from the national vendors of these items rather than having each case manager purchase these items individually for consumers. There is no target for purchasing as the items are purchased based on need as the supply is depleted. Items that are not as much in demand are dropped from the inventory and new items added as they are developed.

Base Budget and Performance Management Information

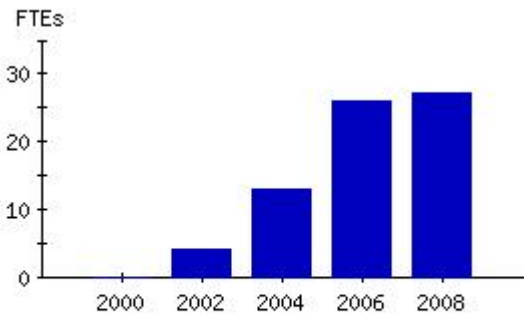
Budget Code 67425 DHHS - Deaf/Hard of Hearing-Trust Telecommunication

**Actual Expenditures
by Fiscal Year**



Program began in 2000. Contract payments received at varying intervals.

**Actual Positions
by Fiscal Year**



Staffing for new program began in 2001.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$10,800,708	\$10,407,816	\$10,421,357	\$0	\$10,421,357	\$0	\$10,421,357
Receipts	\$13,135,291	\$10,407,816	\$10,421,357	\$0	\$10,421,357	\$0	\$10,421,357
Chng Fund Bal	\$2,334,583	\$0	\$0	\$0	\$0	\$0	\$0
Positions	27.000	27.000	27.000	-	27.000	-	27.000

Budget Code 67425 DHHS - Deaf/Hard of Hearing-Trust Telecommunication

Fund 67425-6725 NC Dual Party Relay — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,831,362	\$5,005,748	\$5,004,483	\$0	\$5,004,483	\$0	\$5,004,483
Receipts	\$5,842,018	\$5,005,748	\$5,004,483	\$0	\$5,004,483	\$0	\$5,004,483
Chng Fund Bal	\$1,010,656	\$0	\$0	\$0	\$0	\$0	\$0
Positions	6.000	6.000	6.000	-	6.000	-	6.000

Fund description

This fund is used to administer resources which come from telephone land lines to support the contract for telephone relay services in North Carolina (RELAY NC) and provides for telecommunications equipment distribution services to ensure that deaf, hard of hearing, deaf-blind and speech-impaired individuals achieve functional equivalency in accessing telecommunications systems. Outreach and technology support services are also offered by this program.

Services for the fund

Telecommunication Equipment. Distribute adaptive equipment such as TTYs, amplified telephones, and telephone ring signalers, designed to ensure clients achieve functional equivalency in accessing the telecommunication system.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$523,869	5.000
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Relay NC. Provide a dual party telephone relay service available by dialing 711. Deaf, hard of hearing, deaf-blind, and speech impaired individuals are ensured functional equivalency in accessing community resources via the telecommunication system.

\$4,307,493	1.000
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Actual Totals

\$4,831,362	6.000
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Measures for the fund

Outbound calls completed via 711 Relay NC

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
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1,037,279	829,563	666,140
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Applications approved for telecommunications equipment

1,735	2,627	2,040
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Fund 67425-6726 Wireless Telecommunication Relay Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,969,346	\$5,402,068	\$5,416,874	\$0	\$5,416,874	\$0	\$5,416,874
Receipts	\$7,293,273	\$5,402,068	\$5,416,874	\$0	\$5,416,874	\$0	\$5,416,874
Chng Fund Bal	\$1,323,927	\$0	\$0	\$0	\$0	\$0	\$0
Positions	21.000	21.000	21.000	-	21.000	-	21.000

Fund description

This fund is used to provide for the distribution of telecommunications equipment, specifically hearing aids with telecoil, and adaptive emergency equipment to ensure that deaf, hard of hearing, deaf-blind, and speech-impaired individuals achieve functional equivalency in accessing telecommunications and emergency alerting systems. Outreach and technology support services are also offered by this program.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Client and Agency Service. Ensure that deaf, hard of hearing, deaf-blind, and speech-impaired individuals achieve functional equivalency in accessing the telecommunications system through increased knowledge and competency in the use of adaptive equipment. Services are provided by the Telecommunications Specialists and the Communication Support Services Consultants housed at the Division's seven Regional Centers.	\$859,546	3.500
Hearing Aid Distribution Program. Distribute adaptive equipment, specifically hearing aids with telecoil, to deaf, hard of hearing, and deaf-blind North Carolinians to ensure they achieve functional equivalency in accessing the telecommunications system.	\$3,607,884	9.500
Emergency Equipment Distribution. Distribute adaptive equipment to deaf, hard of hearing and deaf-blind North Carolinians to ensure they achieve functional equivalency in accessing emergency alerting systems.	\$74,853	1.500
Outreach. Provide outreach services as mandated by legislation. Services are provided by field staff housed at the division's seven Regional Centers and via various marketing approaches. Services are designed to ensure that deaf, hard of hearing, deaf-blind, and speech-impaired individuals become aware of the opportunities to achieve functional equivalency in accessing the telecommunications system through the purchase and use of adaptive equipment.	\$1,427,056	6.500
Actual Totals	\$5,969,346	21.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Applications for emergency alerting equipment approved	513	526	400
Applications for hearing aids authorized	3,015	4,190	3,937
Clients served	1,738	2,273	2,485
Agency staff served	1,263	1,800	1,920

Division of Mental Health/Developmental Disabilities/Substance Abuse Services

Mission

The mission of the Division of Mental Health, Developmental Disabilities, and Substance Abuse Services is to provide people with, or at risk of, mental illness, developmental disabilities, and substance abuse problems and their families the necessary prevention, intervention, treatment, services, and supports they need to live successfully in communities of their choice.

Goals

Advocate, manage, and oversee from the state division level the delivery of services in communities and in state operated facilities that prevent, treat, and support individuals with mental illness, developmental disabilities, and substance abuse issues including monitoring funds; overseeing contracts; ensuring adequate infrastructure; working with consultants; delivering programs; training and educating providers, consumers, and families; integrating service coordination among state facilities, Local Management Entities, and community based service providers; and ensuring programmatic success for consumers.

Provide through Local Management Entities a local management system for service provision to individuals with mental health, developmental disabilities, and substance abuse diagnoses that includes: 1) general administration and governance; 2) access, screening, triage, and referral; 3) service management; 4) provider relations and support; 5) customer services and consumer rights; 6) quality management and outcomes evaluation; 7) business management; and 8) accounting and information services.

Provide individuals living with mental illness and their families necessary community-based prevention, intervention, and treatment services and supports such as crisis services, day treatment, psycho-social rehabilitation, and residential services.

Provide people with, or at risk of, substance abuse problems necessary community-based prevention, intervention and treatment services and supports such as detoxification, crisis stabilization, outpatient, inpatient, residential, and other specialized services.

Provide individuals with developmental disabilities the necessary community-based services and supports such as periodic personal care, habilitation, supported employment, residential services, respite, and education and supportive services for their families.

Provide services and advocacy for individuals with multiple needs the necessary community-based mental health, developmental disabilities, substance abuse, and non-disability specific services.

Provide services and supports in a residential setting for individuals with intellectual and developmental disabilities, complex behavioral challenges, and/or medical conditions whose clinical treatment and safety needs exceed the level of care available in the community.

Provide crisis stabilization and symptom reduction for adults and children with mental illness who cannot be served in the community through inpatient services such as assessment, medical care, psychiatric and psychological services, and reeducation services for students.

Provide specialized medical, mental health, and developmental services for individuals with complex, multiple neuro-medical and cognitive needs with 24-hour facility-based care that is individualized and structured to optimize residents' highest physical, mental, and psychosocial well-being to support activities of daily life.

Provide medically monitored detoxification, crisis stabilization, and short term treatment through 24-hour inpatient care to prepare adults with substance abuse and co-occurring disorders for ongoing community-based recovery services.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of discharges for stays of 1-7 days ¹	-	-	-	55.0%	52.5%
Adults and children with substance abuse problems served	-	-	-	43,740	52,602
Percentage change of MH/DD/SA service providers accredited by an approved national accrediting body (using December 2007 as the baseline)	-	-	-	3.9%	17.2%

¹The goal is to increase the use of community based crisis and other services and supports to reduce short-term crisis care (a stay of 1-7 days) in state-operated psychiatric hospitals.

Governor's Recommended Adjustments to Base Budget

**Division of Mental Health/Developmental Disabilities/Substance Abuse
 Services (14460)**

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$1,517,811,814	\$1,534,069,649
Receipts	<u>\$698,198,194</u>	<u>\$699,126,472</u>
Appropriation	\$819,613,620	\$834,943,177
Adjustments		
Requirements	(\$17,123,040)	(\$37,016,519)
Receipts	<u>\$307,467</u>	<u>\$755,993</u>
Appropriation	(\$17,430,507)	(\$37,772,512)
Total		
Requirements	\$1,500,688,774	\$1,497,053,130
Receipts	<u>\$698,505,661</u>	<u>\$699,882,465</u>
Recommended Appropriation	<u>\$802,183,113</u>	<u>\$797,170,665</u>
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Positions		
Base Budget Positions	11,788.430	11,911.930
Reductions	(222.000)	(345.500)
Expansion	<u>174.750</u>	____-
Recommended Positions	<u>11,741.180</u>	<u>11,566.430</u>

Appropriation Items -- Recommended Adjustments

Reductions

2009-10

2010-11

1. Operating Accounts Reduction

It is recommended that the operating accounts for the division's central office (\$250,000) and maintenance units (\$1,000,000) be reduced. The reductions represent an approximate 1.5% reduction to central office and a 5.8% reduction to the maintenance units.

Requirements	(\$1,325,269)	(\$1,325,269)
Receipts	(\$75,269)	(\$75,269)
Appropriation	(\$1,250,000)	(\$1,250,000)

2. Close Two 25 Bed Units at Broughton and Cherry Hospitals

Through the combined efforts of the State, local governments, and private entities, a total of 174 local psychiatric inpatient beds have been created during the past year. With a continued investment in local inpatient bed capacity and a long term goal of relying on state psychiatric hospitals for longer term care, it is recommended that 25 adult beds be eliminated at both Broughton and Cherry Hospitals.

Requirements	(\$6,291,100)	(\$6,291,100)
Receipts	(\$263,629)	(\$263,629)
Appropriation	(\$6,027,471)	(\$6,027,471)
Positions	(102.000)	(102.000)

3. Increase Patient Receipts at Alcohol, Drug Abuse Treatment Centers

Due to the expanded bed capacity of the division's three alcohol, drug abuse treatment centers, it is recommended that patient receipts be budgeted to the anticipated level of collection. The revenues will allow for a corresponding reduction in General Fund appropriation and will not affect the level of service delivery.

Requirements	-	-
Receipts	\$662,867	\$1,127,895
Appropriation	(\$662,867)	(\$1,127,895)

4. Close Wright and Whitaker Schools

This recommendation closes Wright (Durham) and Whitaker (Butner) Schools effective December 31, 2009. The schools provide children and adolescents ages 6-12 and 13-17, respectively, residential based treatment and training for the emotionally and behaviorally disturbed. Last year, the schools collectively served fewer than 100 students. Due to the condition of the facilities, neither program qualifies for participation in the Medicaid Program. However, the children and adolescents, who are typically Medicaid eligible, will be transitioned to community settings where the cost of care can be shared with the federal government.

Requirements	(\$2,906,493)	(\$5,812,986)
Receipts	(\$16,502)	(\$33,004)
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Appropriation	(\$2,889,991)	(\$5,779,982)
Positions	(98.000)	(98.000)

5. Adjust Cherry Staffing Increases From The Continuation Budget

In anticipation of the opening of the replacement for Cherry Hospital, scheduled for October 2011, an operating reserve was included in the recommended continuation budget. In view of the recommendation to downsize state psychiatric hospital bed capacity, the goal of relying on hospitals for longer-term care, the continuing investment in community-based care, and the availability of federal recovery funds, it is recommended that the operating reserve be eliminated. Once the bed capacity of the replacement hospital has been determined, it is anticipated that any additional staffing requirements will be submitted to the General Assembly for its consideration during the 2010 short session.

Appropriation	(\$810,049)	(\$4,195,178)
Positions	(19.000)	(140.500)

6. Federal Recovery Funds for Psychiatric Hospitals' Electronic Health Record

This recommendation reduces funding included in the Recommended Continuation Budget for creating the electronic health record (EHR) at Central Region and Cherry Hospitals. It is anticipated that federal recovery funds will be available to support the development of hospitals' technology infrastructure. The recommendation retains equipment funding for Cherry Hospital (\$1,700,000 for FY 2010 and \$2,421,316 for FY 2011).

Appropriation - Nonrecurring	(\$21,060,455)	(\$31,662,312)
Positions	(3.000)	(5.000)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$11,332,911)	(\$17,624,533)
Receipts	307,467	755,993
	<hr/>	<hr/>
Appropriation	(\$11,640,378)	(\$18,380,526)
Positions	(222.000)	(345.500)
Nonrecurring		
Requirements	(\$21,060,455)	(\$31,662,312)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$21,060,455)	(\$31,662,312)
Positions	-	-

Expansion

	<u>2009-10</u>	<u>2010-11</u>
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1. Crisis Services, Local Inpatient Bed Capacity

The Governor recommends continued investment in the local crisis services system by purchasing local inpatient bed capacity. Local inpatient care affords clients the opportunities to receive services in their home community and better integration of care, and allows state psychiatric hospitals to begin focusing their mission on long term care. Funding provided for FY 2009 purchased approximately 76 local inpatient beds. This request will grow the local capacity by 111 beds.

Appropriation	\$11,945,326	\$11,945,326
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2. Dorothea Dix Overflow Unit

The Governor recommends funding to maintain inpatient bed capacity during the transition of state psychiatric hospitals downsizing and the continued development of local inpatient bed capacity. The 36 bed overflow unit will be operated at Dorothea Dix Hospital and will be available for clients statewide. Funding will allow the unit to become operational December 2009. The future need of the unit for one additional year will be evaluated by the department in mid February 2010. In addition, it is anticipated that Wake County will contract with the department to operate 24 inpatient beds to serve county residents during this transition period.

Appropriation - Nonrecurring	\$3,000,000	-
Positions	174.750	-

3. Training and Workforce Development Initiatives

To improve the quality of care provided to clients in the division's residential facilities, the Governor recommends enhancing training at state facilities. Facilities' staff will receive training on current treatment modalities and compliance requirements. Further, it is recommended that the Psychiatric Nurse Practitioner Program be expanded. The program, which is administered through the UNC School of Nursing, provides scholarship support to nurses who commit to serve in underserved areas of the state.

Appropriation	\$325,000	\$325,000
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Total Recommended Expansion	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$12,270,326	\$12,270,326
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$12,270,326	\$12,270,326
Positions	174.750	-
Nonrecurring		
Requirements	\$3,000,000	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	\$3,000,000	-
Positions	-	-

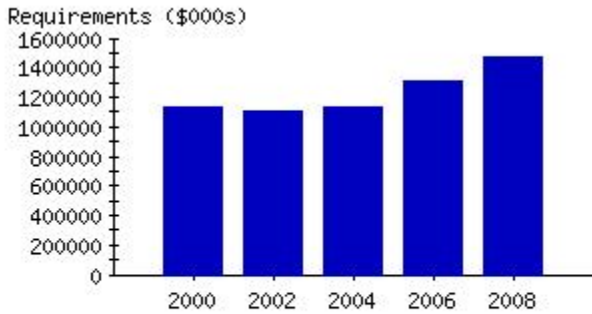
**Total Recommended Adjustments for
 Division of Mental Health/Developmental
 Disabilities/Substance Abuse Services (14460)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	\$937,415	(\$5,354,207)
Receipts	307,467	755,993
	<hr/>	<hr/>
Appropriation	\$629,948	(\$6,110,200)
Positions	(47.250)	(345.500)
Nonrecurring		
Requirements	(\$18,060,455)	(\$31,662,312)
Receipts	-	-
	<hr/>	<hr/>
Appropriation	(\$18,060,455)	(\$31,662,312)
Positions	-	-
Total Appropriation Adjustments	(\$17,430,507)	(\$37,772,512)
Total Position Adjustments	(47.250)	(345.500)

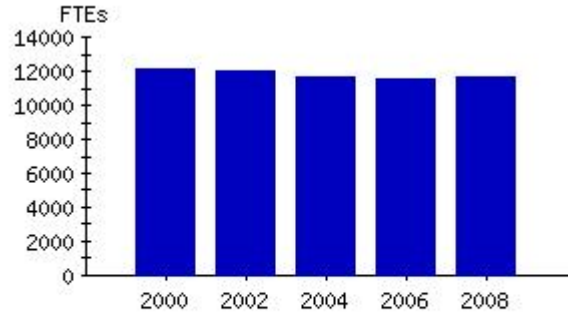
Base Budget and Performance Management Information

Budget Code 14460 DHHS - Div Mental Health/Develop.Disab./Sub.Abuse

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,476,988,501	\$1,443,481,253	\$1,436,393,770	\$81,418,044	\$1,517,811,814	\$97,675,879	\$1,534,069,649
Receipts	\$760,453,540	\$677,480,413	\$678,238,774	\$19,959,420	\$698,198,194	\$20,887,698	\$699,126,472
Appropriation	\$716,534,961	\$766,000,840	\$758,154,996	\$61,458,624	\$819,613,620	\$76,788,181	\$834,943,177
Positions	11,657.080	9,805.180	11,941.180	(152.750)	11,788.430	(29.250)	11,911.930

Budget Code 14460 DHHS - Div Mental Health/Develop.Disab./Sub.Abuse

Fund 14460-1110 General Administration — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$36,591,310	\$33,358,545	\$33,405,979	\$130,877	\$33,536,856	\$150,874	\$33,556,853
Receipts	\$14,011,399	\$13,870,662	\$13,861,226	\$10,335	\$13,871,561	\$11,807	\$13,873,033
Appropriation	\$22,579,911	\$19,487,883	\$19,544,753	\$120,542	\$19,665,295	\$139,067	\$19,683,820
Positions	230.000	226.000	229.000	-	229.000	-	229.000

Fund description

This fund supports general administrative activities within the division. Resources are used to provide administrative support in the administration and delivery of services in both community programs and state operated facilities. The goal of the Division of MH/DD/SA is to advocate, manage, and oversee services that prevent, treat, and support individuals with mental illness, developmental disabilities, and substance abuse services.

Services for the fund

Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.

**Actual
Requirements
2007-08**

\$377,582

**Actual
FTEs
2007-08**

3.000

Contracts. Manage contracts with non-governmental agencies and consultants to ensure efficient and appropriate services are being developed and delivered to mental health, developmental disability, and substance abuse populations.

\$12,987,191

-

Fiscal Management. Manage and analyze financial information; account for and forecast the use of division resources, conduct general ledger accounting; maintain accounts payable, collections and accounts receivable, payroll services, cash management, program payments, and contracts and grants management; provide asset and liability management; and execute financial reporting.

\$343,769

6.000

Budget and Analysis. Conduct resource planning and allocation activities; develop and execute budget; analyze issues impacting the division's budgets and management.

\$794,820

11.000

Purchasing. Coordinate and execute the acquisition of equipment, materials, services, and supplies for the division.

\$53,785

1.000

Public Information/Communication. Exchange information and communication between the division, citizens and stakeholders; establish and promote media relations; prepare and publish press releases and other publications; and coordinate events and conference delivery.

\$2,827,201

34.000

Governance Management. Oversee central office operations and serve as liaison for DHHS and General Assembly requests and reporting. Act as primary conduit for all high level communications between North Carolina governmental agencies, citizens, and stakeholders regarding MH/DD/SAS policies and initiatives.

\$1,847,327

6.000

Information Technology. Oversee the maintenance of software applications and computing hardware to ensure efficacy of operations and data management.	\$1,286,237	27.000
Operations Support. Provide oversight and assistance for LME and facility based operations, practices, and policies for MH/DD/SAS. Ensure accountability for these activities to ensure the division is in compliance with all state and federal rules and regulations. Develop policies and initiatives to improve service delivery to MH/DD and SA consumers and their families. Accurately depict and report division activities to North Carolina governmental agencies, General Assembly, citizens, and stakeholders.	\$16,073,398	142.000
Actual Totals	\$36,591,310	230.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Implemented central office contracts ¹	76	65	58

¹Does not include contracts voided, terminated, or with the division's institutions.

Fund 14460-1216 Whitaker School — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$3,399,453	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$7,000	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$3,392,453	\$0	\$0	\$0	\$0	\$0
Positions	-	59.000	-	-	-	-	-

Fund description

This fund budgets and tracks expenditures for the state operated Whitaker School in Butner, North Carolina. The Whitaker School is a long term treatment program for emotionally handicapped adolescents, ages 13-17, possessing secondary handicapping conditions including education, social, behavioral, neurological, and intellectual deficits. Adolescents can stay up to a year in this 36 bed, non-medical alternative program which emphasizes the re-education model of service. This residential program for adolescents provides education and services to enable the adolescents to transition back into community based living arrangements. NOTE: The Whitaker School Fund 1216 is no longer used or budgeted. The Whitaker School's budget was consolidated with fund 1265 Central Regional Hospital Hospital effective 7/1/08 (BR#12-152).

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of Whitaker School.	\$0	-
Residential Treatment/Education. Serve as a long-term, residential treatment program for emotionally disabled adolescents aged 13-17, possessing secondary handicapping conditions including education, social, behavioral, neurological, and intellectual deficits. Adolescents may stay up to one year in this non-medical alternative program, which emphasizes the re-educational model of service.	\$0	-
Actual Totals	\$0	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Admissions to Whitaker School	23	14	18
Discharges from Whitaker School	22	15	15

Fund 14460-1220 Broughton Hospital — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$117,446,461	\$93,863,406	\$94,133,329	\$44,743,688	\$138,877,017	\$44,826,650	\$138,959,979
Receipts	\$54,097,754	\$29,940,570	\$30,026,221	\$34,016,125	\$64,042,346	\$34,024,480	\$64,050,701
Appropriation	\$63,348,707	\$63,922,836	\$64,107,108	\$10,727,563	\$74,834,671	\$10,802,170	\$74,909,278
Positions	1,273.330	1,506.500	1,317.300	-	1,317.300	-	1,317.300

Fund description

This fund budgets and tracks expenditures for Broughton Hospital, a state operated inpatient facility in Morganton, North Carolina, for adults and adolescents with mental health needs. This hospital serves the 37 western-most counties in the state. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of the psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction. Specialized inpatient psychiatric services are provided for adults who are deaf and hard of hearing.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$22,872,870	270.330
Residential Services. Provide psychiatric services to North Carolinians that cannot be served in the community due to symptoms of psychiatric illness. Inpatient services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, social work services including counseling, discharge planning, and linkages to the community.	\$29,231,098	533.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$18,885,343	280.000
Transfers/Settlement. Track the transfer of funds to other agencies, the settlement and payback of Medicaid and Medicare payments, and disproportionate share transfers from Division of Medical Assistance.	\$34,555,067	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$5,209,374	21.000
Geropsychiatry. Provide a specialty unit for residential treatment of individuals aged 65 and over.	\$1,521,923	27.000
Forensic Unit. Provides a specialty unit specializing in care and treatment for individuals with histories of violence and/or elopement.	\$3,489,355	113.000
Deaf Services. Provide services related to treatment and communication with residents that are deaf or hard of hearing.	\$1,681,431	29.000
Actual Totals	\$117,446,461	1,273.330

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons served	4,080	4,202	3,036
Admissions	3,782	3,915	2,736

Fund 14460-1230 Cherry Hospital — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$106,798,990	\$123,950,281	\$123,803,446	\$17,813,087	\$141,616,533	\$32,208,498	\$156,011,944
Receipts	\$46,303,177	\$58,474,403	\$58,558,023	\$836,925	\$59,394,948	\$940,691	\$59,498,714
Appropriation	\$60,495,813	\$65,475,878	\$65,245,423	\$16,976,162	\$82,221,585	\$31,267,807	\$96,513,230
Positions	1,121.080	1,167.570	1,195.570	22.000	1,217.570	145.500	1,341.070

Fund description

This fund budgets and tracks expenditures for Cherry Hospital, a 284 bed state operated inpatient facility in Goldsboro, North Carolina, for adults and adolescents with mental health needs. The psychiatric hospital serves the citizens of the 33 eastern most counties. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of the psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$23,629,684	315.000
Residential Services. Provide psychiatric services to North Carolinians that cannot be served in the community due to symptoms of a psychiatric illness. Inpatient services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, social work services including counseling, discharge planning, and linkages to the community.	\$26,888,694	536.250
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$16,111,299	206.580
Transfers/Settlement. Track the settlement and payback of Medicaid and Medicare payments, as well as disproportionate share transfers from Division of Medical Assistance.	\$33,537,553	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$3,805,557	14.500
Psychiatric Residency Training. Train and retain psychiatrists in the North Carolina MH/DD/SA System.	\$104,288	-
Geropsychiatry. Provides a specialty unit for residential treatment of individuals aged 65 and over.	\$2,721,915	48.750
Actual Totals	\$106,798,990	1,121.080

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons served	4,465	4,236	3,144
Admissions	4,214	4,001	2,907

Fund 14460-1240 Dorothea Dix Hospital — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$126,941,441	\$48,085,434	\$48,085,434	(\$48,085,434)	\$0	(\$48,085,434)	\$0
Receipts	\$68,575,533	\$48,085,434	\$48,085,434	(\$48,085,434)	\$0	(\$48,085,434)	\$0
Appropriation	\$58,365,908	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund budgets and tracks expenditures for Dorothea Dix Hospital in Raleigh, North Carolina, a state operated inpatient facility for individuals with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation for adults and adolescents, including a special forensic unit. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of their psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$23,388,712	-
Residential Services. Provide inpatient psychiatric services to residents that cannot be served in the community due to symptoms of a psychiatric illness. Inpatient services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, social work services including counseling, discharge planning, and linkages to the community.	\$19,221,165	-
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$21,459,829	-
Transfers/Settlement. Track the transfer of funds to other agencies, the settlement and payback of Medicaid and Medicare payments, and disproportionate share transfers from Division of Medical Assistance.	\$46,219,596	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$4,325,496	-
Psychiatric Residency Training. Train and retain psychiatrists in the North Carolina MH/DD/SA System.	\$1,320,439	-
Geropsychiatry. Provide a specialty unit for residential treatment of individuals aged 65 and over.	\$3,061,969	-
Forensic Unit. Provide a specialty unit for care and treatment of individuals with histories of violence and/or elopement.	\$7,897,559	-
Deaf Services. Provide services related to treatment and communication with residents that are deaf or hard of hearing.	\$46,676	-
Actual Totals	\$126,941,441	-

Measures for the fund	2005-06	2006-07	2007-08
Persons served	5,042	5,309	4,213
Admissions	4,675	5,012	3,915

Fund 14460-1250 Longleaf Neuro - Medical Treatment Center — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$24,143,737	\$27,875,325	\$27,933,907	\$2,501,097	\$30,435,004	\$2,471,106	\$30,405,013
Receipts	\$23,683,077	\$26,622,871	\$26,672,235	\$2,134,684	\$28,806,919	\$2,159,158	\$28,831,393
Appropriation	\$460,660	\$1,252,454	\$1,261,672	\$366,413	\$1,628,085	\$311,948	\$1,573,620
Positions	395.000	470.000	470.000	-	470.000	-	470.000

Fund description

This fund budgets and tracks expenditures for the Longleaf Neuro-Medical Treatment Center (formerly the North Carolina Special Care Center) in Wilson, North Carolina. Longleaf provides high quality skilled and intermediate nursing care for individuals who cannot be placed in traditional nursing care locations due to long-term medical conditions requiring 24/7 medical and nursing care or having been diagnosed with Alzheimer's or other related dementia coupled with combative behaviors that have caused a denial of care in traditional nursing home settings. Individualized care and treatment provided at Longleaf Neuro-Medical Treatment Center appropriately serves and supports individuals to address their chronic medical and psychiatric needs.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$7,355,821	94.000
Residential Services. Provide services to the following types of residents from any region throughout the state: 1) adults with severe persistent mental illness that also have long-term medical conditions requiring 24/7 medical and nursing care; and 2) adults with a diagnosis of Alzheimer's or other related dementia whose assaultive and combative behavior has resulted in denial of care in a traditional nursing home setting.	\$12,361,317	264.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$2,409,256	30.000
Transfers/Settlement. Track the transfer of funds to other agencies, the settlement and payback of Medicaid and Medicare payments, and disproportionate share transfers from Division of Medical Assistance.	\$75,566	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$1,941,777	7.000
Actual Totals	\$24,143,737	395.000

Measures for the fund	2005-06	2006-07	2007-08
Persons treated at Longleaf Center	292	293	296

Fund 14460-1260 John Umstead Hospital — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$123,225,948	\$35,664,146	\$39,063,599	(\$39,063,599)	\$0	(\$39,063,599)	\$0
Receipts	\$60,356,398	\$39,056,599	\$39,063,599	(\$39,063,599)	\$0	(\$39,063,599)	\$0
Appropriation	\$62,869,550	(\$3,392,453)	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1260 and 1261) used to budget and track expenditures for John Umstead Hospital in Butner, North Carolina, a state operated inpatient facility for adults, children, and adolescents with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation to support the residential treatment of individuals with mental illness, and reduce the average length of stay and number of readmissions back into state run mental health facilities. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of their psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction. This fund also tracks budget and expenditures for the R.J. Blackley Alcohol and Drug Abuse Treatment Center (ADATC). One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$22,415,481	-
Residential Services. Provide inpatient psychiatric services to residents of North Carolina that cannot be served in the community due to symptoms of a psychiatric illness. Services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, and social work services. JUH also operates a Child Psychiatric Unit. The Whitaker School and R.J. Blackley are located on site.	\$40,441,575	-
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$13,741,200	-
Transfers/Settlement. Track the transfer of funds to other agencies, the settlement and payback of Medicaid and Medicare payments, and disproportionate share transfers from Division of Medical Assistance.	\$37,872,729	-
Nursing Care Unit. Provide a specialty unit designated for medical recovery of residents.	\$4,073,610	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$4,111,473	-
Psychiatric Residency Training. Train and retain psychiatrists in the North Carolina MH/DD/SA System.	\$569,880	-
Actual Totals	\$123,225,948	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons served at JUH	4,709	4,754	4,253
Admissions to Blackley ADATC	1,477	1,244	945
Occupancy rate at R.J. Blackley ADATC	69.0 %	67.0 %	81.0 %

Fund 14460-1261 John Umstead Hospital - Federal - Other — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$59,500	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$59,498	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$2	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1260 and 1261) used to budget and track expenditures for John Umstead Hospital in Butner, North Carolina, a state operated inpatient facility for adults, children, and adolescents with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation to support the residential treatment of individuals with mental illness, and reduce the average length of stay and number of readmissions back into state run mental health facilities. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of their psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction.

Services for the fund

Residential Services. Provide inpatient psychiatric services to residents of North Carolina that cannot be served in the community due to symptoms of a psychiatric illness. Inpatient services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, and social work services. JUH operates a Child Psychiatric Unit. The R.J. Blackley ADATC and Whitaker School are on site.

Staff Training. Provide for staff training related to the direct care of clients at John Umstead Hospital.

Actual Totals

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$51,631	-
\$7,869	-
\$59,500	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons treated at John Umstead Hospital	4,709	4,754	4,253

Fund 14460-1265 Central Hospital — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,243,439	\$162,445,401	\$145,460,221	\$70,722,975	\$216,183,196	\$71,082,884	\$216,543,105
Receipts	\$1,937,000	\$41,867,139	\$41,463,434	\$48,637,575	\$90,101,009	\$48,679,190	\$90,142,624
Appropriation	\$306,439	\$120,578,262	\$103,996,787	\$22,085,400	\$126,082,187	\$22,403,694	\$126,400,481
Positions	2,317.030	43.000	2,332.780	(174.750)	2,158.030	(174.750)	2,158.030

Fund description

This fund budgets and tracks expenditures for Central Regional Hospital in Butner, North Carolina, a state operated inpatient facility for individuals with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation for adults and adolescents, including a special forensic unit. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of their psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$1,156,934	546.080
Residential Services. Provide inpatient psychiatric services to residents that cannot be served in the community due to symptoms of a psychiatric illness. Inpatient services include crisis stabilization, assessment, medical care, psychiatric treatment, patient advocacy, social work services including counseling, discharge planning, and linkages to the community.	\$299,725	1,084.990
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$478,458	410.670
Transfers/Settlement. Track the transfer of funds to other agencies, the settlement and payback of Medicaid and Medicare payments, and disproportionate share transfers from Division of Medical Assistance.	\$123,178	-
Geropsychiatry. Provide a specialty unit for residential treatment of individuals aged 65 and over.	\$33,861	119.550
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$22,066	37.740
Wake/Dix Unit. Fund the 60 bed unit at Dorothea Dix for residents of the Wake County Transitional unit expected to be open for three years.	\$129,217	118.000
Actual Totals	\$2,243,439	2,317.030

Fund 14460-1266 Central Hospital - Federal — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$119,275	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$119,275	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund budgets and tracks expenditures for Central Regional Hospital in Butner, North Carolina, a state operated inpatient facility for individuals with mental health needs. Services primarily include evaluation, psychiatric inpatient care, and rehabilitation for adults and adolescents, including a special forensic unit. Acute and long term inpatient psychiatric hospitalization is provided for adolescents and adults who require this level of residential treatment due to acuity of their psychiatric disability. Inpatient psychiatric hospitalization is required for crisis stabilization and symptom reduction. This fund tracks only federal funds.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$0	-
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$0	-
Actual Totals	\$0	-

Fund 14460-1280 Wright School - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,502,344	\$2,597,628	\$2,620,031	\$38,556	\$2,658,587	\$50,509	\$2,670,540
Receipts	\$53,443	\$26,004	\$26,004	\$0	\$26,004	\$200	\$26,204
Appropriation	\$2,448,901	\$2,571,624	\$2,594,027	\$38,556	\$2,632,583	\$50,309	\$2,644,336
Positions	37.840	39.530	39.530	-	39.530	-	39.530

Fund description

This is one of two funds (1280 and 2280) used to budget and track expenditures for the state operated Wright School residential program for children. The program is designed to provide mental health residential treatment to North Carolina's most serious emotionally disturbed 6- to 12-year-old children and their families. Individualized assessment, planning, and treatment activities are provided for 24 children at the Durham facility five days a week. Intensive outreach efforts are made to identify and mobilize family and community resources in the child's local community. These combined efforts increase the likelihood of successfully meeting each child's special needs and enabling a successful return to community living.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide for the administration (positions, office supplies, communications, etc.) and maintenance to support the operations of Wright School. This also includes ancillary services (dental, occupational therapy, speech therapy, and physical therapy) as well as pharmacological expenses that support the primary residential treatment services to children with mental health disorders.	\$743,852	22.960
Residential Services/Education. Serve emotionally disabled children ages 6-12 in a non-medical alternative program which emphasizes the re-educational model of services. Staff mobilize home community resources to build a network of services to meet the student's individual needs and the needs/expectations of their family, school, and community. Children are provided individual treatment on increasing academic, social, and behavioral competencies.	\$1,758,492	14.880
Actual Totals	\$2,502,344	37.840

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Children served at Wright School	69	64	60
Average daily population at Wright School	24	24	24

Fund 14460-1290 Program Services - Mental Health - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$56,727,863	\$89,431,572	\$45,531,048	(\$8,459,502)	\$37,071,546	(\$8,459,502)	\$37,071,546
Receipts	\$13,864,902	\$2,520,000	\$2,520,000	(\$2,500,000)	\$20,000	(\$2,500,000)	\$20,000
Appropriation	\$42,862,961	\$86,911,572	\$43,011,048	(\$5,959,502)	\$37,051,546	(\$5,959,502)	\$37,051,546
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1290 and 1291) used to budget and track expenditures for community based mental health services, including direct service contracts the division administers for adults and children. Mental health services are provided to mentally ill individuals and their families at the most appropriate and least restrictive level of care to identify and treat mental health symptoms and conditions. These services are designed to provide individuals and their families with coping skills and resources that will allow "A life in the community for everyone." This includes addressing needs related to reduction of symptoms, including antisocial behavior and crime, and improving social connectedness and improving relationships. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund

Services to Children. Provide reimbursement for appropriate day treatment, psycho-social rehabilitation, emergency services, and residential services to children through partnerships with private providers and LMEs/Area Authorities to ensure that these children can stay in communities of their choice.

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
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\$3,136,928 -

Services to Adults. Provide reimbursement for appropriate residential, periodic, and crisis services to adults in the community through partnerships with private providers and LMEs/Area Authorities to ensure that these adults can stay in communities of their choice.

\$43,973,339 -

Non-Age Specific Services. Provide reimbursement for emergency services, training, and court ordered client evaluations relating to client support and services provided through partnerships with private providers and LMEs/Area Authorities to provide necessary emergency services to individuals to prevent institutionalization.

\$2,080,220 -

Comprehensive Service Treatment Program (CTSP). Provide appropriate residential and periodic services to children with severe emotional disturbances (SED) to ensure that they can successfully live within the community.

\$7,537,376 -

Actual Totals

\$56,727,863 -

Measures for the fund	2005-06	2006-07	2007-08
Families of children with mental illness who indicate they had positive outcomes	64.0 %	66.0 %	70.0 %
Adults with mental illness served in the community who indicate they had positive outcomes	56.0 %	55.0 %	71.0 %
Adults who received public mental health services	185,674	126,980	137,484
Children who received public mental health services	68,227	79,139	94,510

Fund 14460-1291 Program Services - Mental Health - Federal — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$11,987,961	\$13,972,240	\$13,972,240	\$0	\$13,972,240	\$0	\$13,972,240
Receipts	\$11,680,324	\$13,562,049	\$13,562,049	\$0	\$13,562,049	\$0	\$13,562,049
Appropriation	\$307,637	\$410,191	\$410,191	\$0	\$410,191	\$0	\$410,191
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1290 and 1291) used to budget and track expenditures for community based mental health services, including direct service contracts which the division holds for adults and children. Mental health services are provided to mentally ill individuals and their families at the most appropriate and least restrictive level of care to identify and treat mental health symptoms and conditions. These services are designed to provide individuals and their families with coping skills and resources that will allow "A life in the community for everyone." This includes addressing needs related to reduction of symptoms, including antisocial behavior and crime, and improving social connectedness and improving relationships. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services, as well as specialized initiatives such as the federal Partners in Autism and Therapeutic Habilitation (PATH) Homeless grant, etc. The principal source of funds in this fund code is federal funds.

Services for the fund

	Actual Requirements 2007-08	Actual FTEs 2007-08
Services to Children. Provide reimbursement for appropriate residential, periodic, and crisis services to children through partnerships with private providers and LMEs/Area Authorities to ensure that these children can stay in communities of their choices.	\$4,157,024	-
Services to Adults. Provide reimbursement for appropriate residential, periodic, and crisis services to adults in the community through partnerships with private providers and LMEs/Area Authorities to ensure that these adults can stay in communities of their choice.	\$7,830,937	-
Non-Age Specific Services. Provide reimbursement for training and prior year payments for services provided in a community through partnerships with LMEs/Area Authorities to ensure that both adequately trained staff and financial resources are available for mental health services.	\$0	-
Actual Totals	\$11,987,961	-

Measures for the fund	2005-06	2006-07	2007-08
Families with children with mental illness who indicate they had positive outcomes	64.0 %	66.0 %	70.0 %
Adults with mental illness served in the community who indicate they had positive outcomes	56.0 %	55.0 %	71.0 %
Adults who received public mental health services	185,674	126,980	137,484
Children who received public mental health services	68,227	79,139	94,510

Fund 14460-1320 Black Mountain Neuro - Medical Center — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$25,053,774	\$24,684,784	\$24,954,592	\$1,326,840	\$26,281,432	\$1,527,418	\$26,482,010
Receipts	\$23,597,556	\$22,977,960	\$23,190,176	\$1,994,116	\$25,184,292	\$2,085,383	\$25,275,559
Appropriation	\$1,456,218	\$1,706,824	\$1,764,416	(\$667,276)	\$1,097,140	(\$557,965)	\$1,206,451
Positions	437.500	435.000	440.000	-	440.000	-	440.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Black Mountain Center (BMC) in Black Mountain, North Carolina. BMC operates a Medicaid certified program that provides residential care and treatment to individuals who have severe to profound mental retardation and complex medical conditions. BMC also operates a certified nursing facility for persons throughout North Carolina who have probable Alzheimer's disease and whose combative and assaultive behaviors make other living arrangement unrealistic. Individualized care and treatment provided at Black Mountain Neuro-Medical Treatment Center appropriately serves and supports individuals to address their chronic medical, developmental, and psychiatric needs.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$8,575,736	124.500
Residential Services. Provide services to three types of residents in the Western and Central regions of North Carolina: 1) adults with developmental disabilities and medical conditions of a serious, chronic nature requiring 24/7 medical and nursing care; 2) adults with diagnosis of Alzheimer's or related dementia whose assaultive and combative behaviors have resulted in a denial of care in traditional nursing home settings; and 3) respite services to families and caregivers.	\$5,606,022	158.000
Alzheimers Treatment. Provide appropriate residential and skilled nursing treatment for Alzheimer's unit clients to support individuals with Alzheimer's and other dementias.	\$5,029,886	110.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$3,246,690	38.000
Transfers/Settlement. Track transfer of funds to other agencies and the settlement and payback of Medicaid and Medicare payments.	\$324,672	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$2,270,768	7.000
Actual Totals	\$25,053,774	437.500

Measures for the fund	2005-06	2006-07	2007-08
Persons served at Black Mountain Neuro-Medical Center	424	423	463
Average daily population at Black Mountain Center	151	151	151

Fund 14460-1330 Caswell Developmental Center - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$85,880,879	\$85,015,520	\$85,093,372	\$2,905,622	\$87,998,994	\$3,232,372	\$88,325,744
Receipts	\$83,075,119	\$80,505,392	\$80,799,163	\$5,150,744	\$85,949,907	\$5,240,835	\$86,039,998
Appropriation	\$2,805,760	\$4,510,128	\$4,294,209	(\$2,245,122)	\$2,049,087	(\$2,008,463)	\$2,285,746
Positions	1,583.670	1,583.000	1,583.000	-	1,583.000	-	1,583.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Caswell Center in Kinston, North Carolina. Caswell is an Intermediate Care Facility for those with developmental disabilities. The center provides consultation, training, and technical assistance and community based training. Sources of funds in this fund code are primarily Medicaid ICF/MR receipts and state appropriation. The individualized care and treatment provided at Caswell Center appropriately serves and supports individuals to address their developmental disabilities, and complex behavioral challenges and/or medical conditions.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$20,029,984	293.170
Residential Services. Provide services and supports to include residential, habilitation, and training to promote independence and self-determination. Facility based respite services are also provided.	\$33,103,907	864.000
Ancillary Services. Provide non-residential related services, that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$16,721,175	243.500
Transfers/Settlement. Track the transfer of funds to other agencies as well as the settlement and payback of Medicaid and Medicare payments.	\$4,636,588	-
Nursing Care Unit. Provide a specialty unit designated for medical recovery of residents.	\$1,021,902	14.000
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$2,759,762	11.000
Special Education. Deliver education programs that teach individuals with developmental disabilities daily living and vocational skills.	\$7,607,561	158.000
Actual Totals	\$85,880,879	1,583.670

Measures for the fund	2005-06	2006-07	2007-08
Persons supported at Caswell Center	429	421	415
Average daily population at Caswell Center	432	423	416

Fund 14460-1340 Murdoch Developmental Center - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$95,483,252	\$91,611,270	\$91,562,361	\$4,364,540	\$95,926,901	\$4,580,734	\$96,143,095
Receipts	\$90,170,839	\$87,568,464	\$87,807,272	\$3,607,082	\$91,414,354	\$3,867,123	\$91,674,395
Appropriation	\$5,312,413	\$4,042,806	\$3,755,089	\$757,458	\$4,512,547	\$713,611	\$4,468,700
Positions	1,730.000	1,730.000	1,729.000	-	1,729.000	-	1,729.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for Murdoch Center in Butner, North Carolina. Murdoch Center provides an ICF/MR level of care. The center also provides consultation, training, and technical assistance in the community. Source of funds in this fund code is primarily Medicaid ICF/MR and state appropriation. The individualized care and treatment provided at Murdoch Center will appropriately serve and support individuals to address their developmental disabilities, and complex behavioral challenges and/or medical conditions.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$6,882,566	75.000
Residential Services. Provide services and supports to include residential, medical, habilitation, and training to promote independence and self-determination. Facility based respite and an outpatient dental clinic are provided. Murdoch Center serves special populations in the following: Behaviorally Advanced Residential Treatment Program (BART), and Specialized Treatment for Adolescents in a Residential Program (STARS).	\$45,765,015	1,092.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$26,352,276	460.000
Transfers/Settlement. Track the transfer of funds to other agencies as well as the settlement and payback of Medicaid and Medicare payments.	\$8,662,734	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$3,443,838	13.000
Autism Unit. Provide a specialty unit that works with individuals with autism. This entails treatment and teaching of life skills.	\$2,891,588	65.000
Special Education. Provide education programs that teach individuals with developmental disabilities daily living and vocational skills.	\$1,485,235	25.000
Actual Totals	\$95,483,252	1,730.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons treated at Murdoch Center	579	584	584
Average daily population at Murdoch Center	545	541	534

Fund 14460-1350 O'Berry Neuro - Medical Treatment Center - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$58,461,585	\$52,691,614	\$52,608,595	\$3,079,833	\$55,688,428	\$3,091,752	\$55,700,347
Receipts	\$56,479,475	\$51,079,969	\$51,022,198	\$4,018,977	\$55,041,175	\$4,161,843	\$55,184,041
Appropriation	\$1,982,110	\$1,611,645	\$1,586,397	(\$939,144)	\$647,253	(\$1,070,091)	\$516,306
Positions	973.000	977.000	973.000	-	973.000	-	973.000

Fund description

This fund is established for the purpose of tracking budget and expenditures for the O'Berry Neuro-Medical Treatment Center in Goldsboro, North Carolina. O'Berry Center is in the process of transitioning from a traditional Developmental Center to a Neuro-Medical Treatment Center with an initial service offering to the central and eastern regions of the state. O'Berry Center serves adults with developmental disabilities and medical conditions that require 24/7 medical and nursing care. Individualized care and treatment provided at O'Berry Neuro-Medical Treatment Center appropriately serves and supports individuals to address their chronic medical, developmental, and psychiatric needs. This transition is scheduled to be completed by 2010.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$10,371,739	106.000
Residential Services. Provide residential services to adults with developmental disabilities and medical conditions of a serious and chronic nature, which require 24/7 medical and nursing care.	\$26,753,630	691.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$10,000,135	145.000
Transfers/Settlement. Track the transfers between other agencies as well as the settlement and payback of Medicaid and Medicare payments.	\$6,804,828	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$2,136,001	7.000
Special Education. Provide education programs that teach individuals with developmental disabilities daily living and vocational skills.	\$2,395,252	24.000
Actual Totals	\$58,461,585	973.000

Measures for the fund

	2005-06	2006-07	2007-08
Persons supported and served at O'Berry Center	306	317	317
Average daily population at O'Berry Center	286	286	290

Fund 14460-1360 J. Iverson Riddle Center - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$57,393,010	\$53,981,281	\$54,117,137	\$2,214,205	\$56,331,342	\$2,360,775	\$56,477,912
Receipts	\$56,749,495	\$53,024,926	\$53,157,536	\$2,590,315	\$55,747,851	\$2,762,208	\$55,919,744
Appropriation	\$643,515	\$956,355	\$959,601	(\$376,110)	\$583,491	(\$401,433)	\$558,168
Positions	958.750	957.750	958.750	-	958.750	-	958.750

Fund description

This fund is established for the purpose of tracking budget and expenditures for the J. Iverson Riddle Center (formerly the Western Carolina Center) in Morganton, North Carolina. One of the four state operated mental retardation centers, the Riddle Center provides an ICF/MR level of care. The center also provides consultation, training, and technical assistance in the community. The individualized care and treatment provided at J. Iverson Riddle Center appropriately serves and supports individuals to address their developmental disabilities, and complex behavioral challenges and/or medical conditions. Sources of funds in this fund code are primarily Medicaid ICF/MR and state appropriation.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$12,367,734	200.000
Residential Services. Provide residential, medical, habilitation and training to promote independence and self-determination. In addition, a Rapid Response Team provides consultation and assessment to individuals admitted with intellectual and developmental disabilities (IDD) in collaboration with hospital staff.	\$23,088,441	540.000
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$11,801,868	186.750
Transfers/Settlement. Track the transfer of funds to other agencies as well as the settlement and payback of Medicaid and Medicare payments.	\$5,684,121	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$2,767,795	9.000
Special Education. Provide education programs that teach individuals with developmental disabilities daily living and vocational skills.	\$1,683,051	23.000
Actual Totals	\$57,393,010	958.750

Measures for the fund

	2005-06	2006-07	2007-08
Persons treated at J. Iverson Riddle Center	346	348	345
Average daily population at J. Iverson Riddle Center	330	328	329

Fund 14460-1390 Program Services - Developmental Disabilities - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$94,403,175	\$138,487,949	\$71,011,954	(\$14,161,297)	\$56,850,657	(\$14,161,297)	\$56,850,657
Receipts	\$6,124,225	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$88,278,950	\$138,487,949	\$71,011,954	(\$14,161,297)	\$56,850,657	(\$14,161,297)	\$56,850,657
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1390 and 1391) established for the purpose of tracking budget expenditures for community based developmental disabilities services, including direct service contracts the division holds for adults and children. Developmental disability services are provided to the developmentally disabled individuals and their families at the most appropriate and least restrictive level of care to identify and provide services and support that promote "A life in the community for everyone". These services are designed to provide individuals and their families with developmental and coping skills and resources that will allow and enhance individual self-determination, dignity, respect, competence, independence, and physical, emotional and social safety as well as wellbeing and satisfaction. A variety of individual budget accounts are established within this fund for the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund

Services to Children. Provide periodic personal care, habilitation, residential services, respite services, and education and supportive services to individuals and families with developmental disabilities. Services are accessed through partnerships with private providers and LMEs/Area Authorities to ensure that these children/adolescents can stay in communities of their choice.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
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\$12,549,347 -

Services to Adults. Provide reimbursement for periodic personal care, habilitation, supported employment, residential services, respite services, and education and supportive services to individuals and families with developmental disabilities. Services are accessed through partnerships with private providers and LMEs/Area Authorities to ensure that these adults can stay in communities of their choice.

\$73,902,150 -

Contracts. Provide reimbursement through non-governmental contracts for appropriate residential, periodic, and crisis services to children and adults in a community setting to ensure that these individuals can stay in communities of their choice.

\$6,909,837 -

Training. Provide reimbursement through LMEs/Area Authorities for client related training to ensure appropriate placement and treatment of children and adults with developmental disabilities.

\$0 -

Traumatic Brain Injury. Provide reimbursement through LMEs/Area Authorities for appropriate treatment and equipment needs for individuals with traumatic brain injuries so they can remain in the communities of their choice.

\$1,041,841 -

Actual Totals

\$94,403,175 -

Measures for the fund	2005-06	2006-07	2007-08
Respondents reporting that their family member(s) with a developmental disability has access to health services	86.0 %	100.0 %	99.0 %
Respondents reporting that services made a difference in helping to keep their family member with DD at home ¹	83.0 %	-	90.0 %
Respondents reporting that their family member(s) with DD has access to community activities ¹	40.0 %	-	70.0 %

¹Survey not conducted in FY 2007

Fund 14460-1391 Program Services - Developmental Disabilities - Federal — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$9,625,376	\$9,783,954	\$9,783,954	\$0	\$9,783,954	\$0	\$9,783,954
Receipts	\$7,219,033	\$7,337,967	\$7,337,967	\$0	\$7,337,967	\$0	\$7,337,967
Appropriation	\$2,406,343	\$2,445,987	\$2,445,987	\$0	\$2,445,987	\$0	\$2,445,987
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1390 and 1391) established for the purpose of tracking budget expenditures for community based developmental disabilities services, including direct service contracts the division holds for adults and children. Developmental disability services are provided to the developmentally disabled individuals and their families at the most appropriate and least restrictive level of care to identify and provide services and support that promote "A life in the community for everyone." These services are designed to provide individuals and their families with developmental and coping skills and resources that will allow and enhance individual self-determination, dignity, respect, competence, independence, and physical, emotional, and social safety as well as wellbeing and satisfaction. A variety of individual budget accounts are established within this fund for the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is federal funds.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Services to Children. Provide reimbursement for appropriate residential, periodic, and crisis services to children through partnerships with private providers and LMEs/Area Authorities to ensure that these children can stay in communities of their choice.	\$1,770,574	-
Services to Adults. Provide reimbursement for appropriate residential, periodic, and crisis services to adults through partnerships with private providers and LMEs/Area Authorities to ensure that these adults can stay in communities of their choice.	\$7,854,802	-
Actual Totals	\$9,625,376	-

Measures for the fund	2005-06	2006-07	2007-08
Respondents reporting that their family member(s) with DD has access to health services ¹	86.0 %	100.0 %	99.0 %
Respondents reporting that services made a difference in helping to keep their family member with DD at home ²	83.0 %	-	90.0 %
Respondents reporting that their family member(s) with DD has access to community activities ²	40.0 %	-	70.0 %

¹Federal and state funds have identical measures for community based programs.

²Survey not conducted in FY 2007

Fund 14460-1420 Julian F. Keith ADATC - State Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$10,652,680	\$15,478,539	\$15,749,073	\$242,782	\$15,991,855	\$322,616	\$16,071,689
Receipts	\$1,295,101	\$1,105,798	\$1,322,602	(\$67,771)	\$1,254,831	(\$67,871)	\$1,254,731
Appropriation	\$9,357,579	\$14,372,741	\$14,426,471	\$310,553	\$14,737,024	\$390,487	\$14,816,958
Positions	140.130	204.120	208.050	-	208.050	-	208.050

Fund description

This is one of two funds (1420 and 1421) established for the purpose of tracking budget and expenditures for the Julian F. Keith Alcohol and Drug Abuse Treatment Center (JFK-ADATC) in Black Mountain, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. JFK-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. Source of funds in this fund code is primarily state appropriation.

Services for the fund

	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$3,939,224	43.680
Drug and Alcohol Treatment. Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$4,394,569	73.250
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$1,523,216	23.200
Transfers/Settlement. Track the transfer of funds to other agencies.	\$25,394	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$770,277	-
Actual Totals	\$10,652,680	140.130

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Admissions to JFK-ADATC	1,390	1,408	1,615
Successful treatment completions at JFK-ADATC	89.0 %	88.0 %	89.0 %
Occupancy rate for JFK-ADATC	76.0 %	72.0 %	87.0 %

Fund 14460-1421 Julian F. Keith ADATC - Federal/Other Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$546,581	\$622,904	\$622,904	\$0	\$622,904	\$0	\$622,904
Receipts	\$546,581	\$622,904	\$622,904	\$0	\$622,904	\$0	\$622,904
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	12.000	12.000	12.000	-	12.000	-	12.000

Fund description

This is one of two funds (1420 and 1421) established for the purpose of tracking budget and expenditures for the Julian F. Keith Alcohol and Drug Abuse Treatment Center (JFK-ADATC) in Black Mountain, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. JFK-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. Source of funds in this fund code is the Substance Abuse Prevention and Treatment Block Grant.

Services for the fund

Drug and Alcohol Treatment. Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.

Staff Training. Provide staff training to enhance skills and competencies to improve quality of care for patients and increase successful treatment completion.

Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
\$536,174	12.000
\$10,407	-
\$546,581	12.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Admissions to JFK-ADATC ¹	1,390	1,408	1,615
Successful treatment completions at JFK-ADATC	89.0 %	88.0 %	89.0 %
Occupancy rate for JFK-ADATC	76.0 %	72.0 %	87.0 %

¹Federal and State sources of funds have identical measures for Julian F. Keith

Fund 14460-1430 R. J. Blackley ADATC - Butner - State Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$14,892,065	\$181,580	\$15,073,645	\$73,155	\$14,965,220
Receipts	\$0	\$0	\$1,051,565	\$0	\$1,051,565	\$0	\$1,051,565
Appropriation	\$0	\$0	\$13,840,500	\$181,580	\$14,022,080	\$73,155	\$13,913,655
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1430 and 1431) established for the purpose of tracking budget and expenditures for the R. J. Blackley Alcohol and Drug Abuse Treatment Center (RJB-ADATC) in Butner, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. RJB-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. Source of funds in this fund code is primarily state appropriation. RJB-ADATC state expenditures were previously recorded in fund 1260 under John Umstead Hospital; fund 1430 records expenditures effective 7/1/08.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$0	-
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$0	-
Actual Totals	\$0	-

Fund 14460-1431 R. J. Blackley ADATC - Federal/Other Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$119,275	\$0	\$119,275	\$0	\$119,275
Receipts	\$0	\$0	\$119,275	\$0	\$119,275	\$0	\$119,275
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1430 and 1431) established for the purpose of tracking budget and expenditures for the R. J. Blackley Alcohol and Drug Abuse Treatment Center (RJB-ADATC) in Butner, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. RJB-ADATC provides detoxification services, substance abuse education, and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. Source of funds in this fund code is primarily state appropriation. RJB-ADATC federal expenditures were previously recorded in fund 1261 under John Umstead Hospital; fund 1431 records expenditures effective 7/1/08.

Fund 14460-1440 Walter B. Jones ADATC - State Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$11,225,038	\$11,589,779	\$11,608,913	\$334,001	\$11,942,914	\$292,329	\$11,901,242
Receipts	\$1,028,787	\$906,061	\$978,925	\$23,505	\$1,002,430	\$23,005	\$1,001,930
Appropriation	\$10,196,251	\$10,683,718	\$10,629,988	\$310,496	\$10,940,484	\$269,324	\$10,899,312
Positions	156.600	158.550	157.550	-	157.550	-	157.550

Fund description

This is one of two funds (1440 and 1441) established for the purpose of tracking budget and expenditures for the Walter B. Jones Alcohol and Drug Abuse Treatment Center (WBJ-ADATC) in Greenville, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. WBJ-ADATC provides substance abuse education and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. WBJ-ADATC also provides a program for pregnant and postpartum women and their infants and has an on-site maternal unit. Source of funds in this fund code is primarily state appropriation.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide hospital management including HR activities, budgeting and fiscal management of resources, purchasing, security, operations support, facility maintenance, service documentation, and reporting.	\$2,224,721	21.000
Drug and Alcohol Treatment. Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$5,931,658	105.600
Ancillary Services. Provide non-residential related services that include physical therapy, occupational therapy, dental, education, laboratory and medical expenses for clients undergoing residential treatment.	\$2,579,948	30.000
Transfers/Settlement. Track transfer of funds to other agencies.	\$83,712	-
Pharmacy. Dispense prescription and non-prescription medication to residents.	\$404,999	-
Actual Totals	\$11,225,038	156.600

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Admissions to WBJ-ADATC	1,024	969	1,747
Successful treatment completions at WBJ-ADATC	84.0 %	78.0 %	92.0 %
Occupancy rate at WBK-ADATC	80.0 %	84.0 %	85.0 %

Fund 14460-1441 Walter B. Jones ADATC - Federal/Other — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$769,360	\$780,549	\$780,549	\$0	\$780,549	\$0	\$780,549
Receipts	\$769,302	\$780,549	\$780,549	\$0	\$780,549	\$0	\$780,549
Appropriation	\$58	\$0	\$0	\$0	\$0	\$0	\$0
Positions	15.150	15.150	15.150	-	15.150	-	15.150

Fund description

This is one of two funds (1440 and 1411) is established for the purpose of tracking budget and expenditures for the Walter B. Jones Alcohol and Drug Abuse Treatment Center (WBJ-ADATC) in Greenville, North Carolina. One of the three state operated ADATCs, it is specially designed to treat persons with addictions and/or co-occurring disorders, using six major components: 1) motivational interviewing; 2) person centered planning; 3) comprehensive assessment; 4) reassessment; 5) brief solution focused strategic therapy; and 6) group therapy. WBJ-ADATC provides substance abuse education and rehabilitation therapy, as well as social work, family, nursing, psychological, and psychiatric services. Treatment provides stabilization and ensures patient engagement which leads to successful treatment completion. WBJ-ADATC also provides a program for pregnant and postpartum women and their infants and has an on-site maternal unit. Source of funds in this fund code is primarily the Substance Abuse Prevention and Treatment Block Grant.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Drug and Alcohol Treatment. Provide medically monitored detoxification, crisis stabilization, and short term treatment to prepare adults with substance abuse problems for ongoing community based recovery services.	\$761,318	15.150
Staff Training. Provide for staff training to enhance skills and competencies which will result in improved quality of care for patients and increase successful treatment completions.	\$8,042	-
Actual Totals	\$769,360	15.150

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Admissions to WBJ-ADATC ¹	1,024	969	1,747
Successful treatment completions at WBJ-ADATC	84.0 %	78.0 %	92.0 %
Occupancy rate at WBJ-ADATC	80.0 %	84.0 %	85.0 %

¹Federal and State sources of funds have identical measures for Walter B. Jones

Fund 14460-1490 Program Services - Substance Abuse - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$21,760,362	\$35,040,516	\$16,761,864	(\$1,133,348)	\$15,628,516	(\$1,133,348)	\$15,628,516
Receipts	\$2,953,692	\$1,212,638	\$1,212,638	\$0	\$1,212,638	\$0	\$1,212,638
Appropriation	\$18,806,670	\$33,827,878	\$15,549,226	(\$1,133,348)	\$14,415,878	(\$1,133,348)	\$14,415,878
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1490 and 1491) established for the purpose of tracking budget expenditures for community based substance abuse services, including direct service contracts the division holds for adults and children. Substance abuse services are provided primarily to at-risk individuals and to abusing individuals and their families. Services are provided at the most appropriate and least restrictive level of care to prevent, identify, or treat addictive illness and other health symptoms and conditions. These services are designed to provide individuals and their families with coping skills and resources that allow "A life in the community for everyone." This includes addressing needs related to reduction of symptoms, including antisocial behavior and crime, improving social connectedness, and relationships. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services. The principal source of funds in this fund code is state appropriation.

Services for the fund

	Actual Requirements 2007-08	Actual FTEs 2007-08
Services to Children. Provide reimbursement for appropriate outpatient services to treat children with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$4,919,491	-
Services to Adults. Provide reimbursement for inpatient and outpatient services to treat adults with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.	\$16,840,871	-
Contracts. Provide reimbursement through non-governmental contracts for appropriate residential, periodic, and detoxification services to children and adults in a community setting.	\$0	-
Actual Totals	\$21,760,362	-

Measures for the fund

	2005-06	2006-07	2007-08
Percentage of substance abuse treatment clients abstinent from alcohol at discharge from treatment	76.0 %	62.0 %	82.0 %
Percentage of clients maintaining abstinence from injection drug use for at least 90 days before completing treatment	85.0 %	98.0 %	98.0 %
Percentage of clients participating in social support activities such as Alcoholics Anonymous or Narcotics Anonymous recovery or self-help program participation	60.0 %	43.0 %	46.0 %

Fund 14460-1491 Program Services - Substance Abuse - Federal — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$43,111,911	\$46,972,884	\$45,764,884	\$0	\$45,764,884	\$0	\$45,764,884
Receipts	\$43,055,559	\$46,943,529	\$45,735,529	\$0	\$45,735,529	\$0	\$45,735,529
Appropriation	\$56,352	\$29,355	\$29,355	\$0	\$29,355	\$0	\$29,355
Positions	-	-	-	-	-	-	-

Fund description

This is one of two funds (1490 and 1491) established for the purpose of tracking budget expenditures for community based substance abuse services, including direct service contracts the division holds for adults and children. Substance abuse services are provided primarily to at-risk individuals and to abusing individuals and their families. Services are provided at the most appropriate and least restrictive level of care to prevent, identify, or treat addictive illness and other health symptoms and conditions. These services are designed to provide individuals and their families with coping skills and resources that allow "A life in the community for everyone." This includes addressing needs related to reduction of symptoms, including antisocial behavior and crime, improving social connectedness, and relationships. A variety of individual budget accounts are established within this fund to budget and track the provision of periodic day services and 24-hour services, as well as specialized initiatives, such as HIV/AIDS and special services to women, including those who are pregnant or have minor children, etc. The principal source of funds in this fund code is federal funds.

Services for the fund

Services to Children. Provide reimbursement for appropriate inpatient and outpatient services to treat children with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
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\$20,365,955 -

Services to Adults. Provide reimbursement for appropriate inpatient and outpatient services to treat adults with substance abuse crises in a community setting via partnerships with private providers and LMEs/Area Authorities and reduce the number of individuals in crisis by implementing statewide prevention services.

\$22,711,124 -

Training. Provide reimbursement through LMEs/Area Authorities for training to ensure appropriate placement and treatment of children and adults with substance abuse problems.

\$34,832 -

Actual Totals

\$43,111,911 -

Measures for the fund

	2005-06	2006-07	2007-08
Substance abuse treatment clients abstinent from alcohol at discharge from treatment	76.0 %	62.0 %	82.0 %
Clients maintaining abstinence from injection drug use for at least 90 days before completing treatment ¹	85.0 %	98.0 %	98.0 %
Clients participating in social support activities such as AA or NA recovery or self-help program participation	60.0 %	43.0 %	46.0 %

¹Federal and State funds have identical measures for community based programs

Fund 14460-1510 Western Regional Maintenance — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$6,757,925	\$6,249,192	\$6,249,192	\$529,147	\$6,778,339	\$703,683	\$6,952,875
Receipts	\$1,606,535	\$1,721,040	\$1,721,040	(\$40,300)	\$1,680,740	(\$34,564)	\$1,686,476
Appropriation	\$5,151,390	\$4,528,152	\$4,528,152	\$569,447	\$5,097,599	\$738,247	\$5,266,399
Positions	110.000	110.000	110.000	-	110.000	-	110.000

Fund description

This fund is established to consolidate the maintenance activities for Broughton Hospital, J. Iverson Riddle Center (formerly the Western Carolina Center), and Western School for the Deaf, all located in Morganton, North Carolina.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Physical Plant Operations. Provide physical plant operations and maintenance activities for Broughton Hospital, J. Iverson Riddle Developmental Center, and Western School for the Deaf.	\$6,325,320	110.000
Motor Fuels. Provide for the distribution of motor fuels for Broughton Hospital, J. Iverson Riddle Developmental Center, and Western School for the Deaf.	\$432,605	-
Actual Totals	\$6,757,925	110.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Work orders completed	29,863	24,404	24,736
Percentage of requested work orders that were completed	97.2 %	99.6 %	97.7 %

Fund 14460-1520 Central Regional Maintenance — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$12,777,803	\$9,762,249	\$12,656,637	\$1,686,041	\$14,342,678	\$1,493,157	\$14,149,794
Receipts	\$1,639,882	\$1,327,179	\$1,327,179	\$144,871	\$1,472,050	\$131,973	\$1,459,152
Appropriation	\$11,137,921	\$8,435,070	\$11,329,458	\$1,541,170	\$12,870,628	\$1,361,184	\$12,690,642
Positions	166.000	111.000	170.500	-	170.500	-	170.500

Fund description

This fund was established to continue providing maintenance for Central Regional Hospital, Central Regional Hospital-Wake Unit (on Dorothea Dix Campus), RJ Blackley ADATC, Whitaker School, Governor Morehead School, and the Department of Health and Human Services' administrative offices located on the Dorothea Dix Hospital campus.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Skilled Trade Services. Provide administration and skilled trade (plumbing, carpentry, etc.) functions for administrative facilities located on the Dorothea Dix Hospital campus.	\$11,130,484	129.000
Steam Plant. Provide steam plant functions (heating and hot water) for administrative facilities located on the Dorothea Dix Hospital campus.	\$709,978	15.000
Housekeeping. Provide housekeeping services for administrative facilities located on the Dorothea Dix Hospital campus.	\$937,341	22.000
Actual Totals	\$12,777,803	166.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Work orders completed	11,595	-	13,212
Percentage of requested work orders that were completed	97.5 %	-	97.0 %

Fund 14460-1590 General Program Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$309,204,507	\$216,981,681	\$346,636,852	\$29,008,095	\$375,644,947	\$29,008,095	\$375,644,947
Receipts	\$78,952,053	\$45,664,098	\$45,664,098	\$7,051,474	\$52,715,572	\$7,051,474	\$52,715,572
Appropriation	\$230,252,454	\$171,317,583	\$300,972,754	\$21,956,621	\$322,929,375	\$21,956,621	\$322,929,375
Positions	-	-	-	-	-	-	-

Fund description

General Program Services is used to track budget and expenditures for the administrative budgets of LMEs, total community services funding for the single stream funding pilots, and non-disability specific crisis services. This fund is non-disability specific and serves via residential and periodic services individuals from all three disability groups (mental health, developmental disabilities, and substance abuse). Additionally, starting in FY08 all crisis services were moved to this funding account to help ensure a rapid and accurate assessment and response to individuals needing crisis care. By having funds in a single funding stream and non-disability crisis service-specific, services are delivered more promptly and without as much specific funding restriction as with funds specified by disability group.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Single Stream Funding. Provide residential and periodic services to all age and disability groups to ensure they can live in communities of their choice.	\$150,498,348	-
LME System Management. Provide funds to LMEs for supervision, management, and oversight of service delivery to individuals with mental health, developmental disabilities, and substance abuse issues on a local level.	\$132,135,775	-

Contracts/PYP/LME Transition. Provide reimbursement for contracts, prior year payments, and LME transition costs that are tracked here due to non-disability specific nature. Transition costs are for LME merger related expenses to employ economies of scale in the service delivery of community MH/DD/SA services.	\$11,536,730	-
Crisis Services. Provide reimbursement for LMEs to assist individuals in crisis situations, receive immediate and appropriate care so they may remain in the community, and not require admittance to state mental health or substance abuse hospitals.	\$15,033,654	-
Actual Totals	\$309,204,507	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of LMEs meeting systems management performance measures as identified in the performance agreement ¹	76.1 %	69.0 %	89.4 %
Percentage of LMEs meeting timely access to care	-	-	66.6 %
Percentage of LMEs with timely follow up after inpatient care at state facilities	-	-	67.3 %

¹Performance contract and systems management performance measure methodology changed in FY2008.

Fund 14460-1810 Revenue Clearing Account — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$5,249,775)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14460-1910 Reserves and Transfers — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$8,943,144	\$8,434,153	\$860,634	\$10,998,258	\$11,858,892	\$11,602,452	\$12,463,086
Receipts	\$0	\$204	\$204	(\$204)	\$0	(\$204)	\$0
Appropriation	\$8,943,144	\$8,433,949	\$860,430	\$10,998,462	\$11,858,892	\$11,602,656	\$12,463,086
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record budgetary reserves and transfers to other state agencies and an information and technology reserve for operations and clinical supports for the new Central Region Hospital and other DMHDDSAS facilities.

Fund 14460-1991 Reserve for Indirect Cost — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$112,557	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$115,421	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$2,864)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect cost from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and department overhead costs.

Fund 14460-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$11,420,664	\$49,729	\$49,729	\$0	\$49,729	\$0	\$49,729
Receipts	\$11,420,665	\$49,729	\$49,729	\$0	\$49,729	\$0	\$49,729
Appropriation	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, which was earned in a prior fiscal year.

Fund 14460-1993 Prior Year Refunds and Carry Forward — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,735,924	\$500,000	\$500,000	(\$500,000)	\$0	(\$500,000)	\$0
Receipts	\$4,281,490	\$500,000	\$500,000	(\$500,000)	\$0	(\$500,000)	\$0
Appropriation	\$454,434	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from subgrantees as a result of audits of prior year operations.

Base Budget and Performance Management Information

Budget Code 24460 DHHS - DMH/DD/SAS - Special

**Actual Expenditures
by Fiscal Year**

**Actual Positions
by Fiscal Year**



2000 - Expenditures reflect legislatively authorized area program capital project; 2008 - Expenditures reflect gambling prevention efforts funded by Lottery transfers.

Positions: 2000 - 0.5, 2002 - 0.5, 2004 - 0.5, 2006 - 1.5.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$506,897	\$183,557	\$293,690	\$0	\$293,690	\$0	\$293,690
Receipts	\$1,113,237	\$183,557	\$293,690	\$0	\$293,690	\$0	\$293,690
Chng Fund Bal	\$606,340	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.490	1.490	1.490	-	1.490	-	1.490

Budget Code 24460 DHHS - DMH/DD/SAS - Special

Fund 24460-2280 Wright School - Special Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$28,648	\$30,110	\$30,110	\$0	\$30,110	\$0	\$30,110
Receipts	\$24,412	\$30,110	\$30,110	\$0	\$30,110	\$0	\$30,110
Chng Fund Bal	(\$4,236)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	.490	.490	.490	-	.490	-	.490

Fund description

This is one of two funds used to budget and track expenditures for the state operated Wright School Residential Program for Children. Specifically, this fund was established for the purpose of tracking budget and expenditures for educational grants from the North Carolina Department of Public Instruction (DPI).

Services for the fund

Mental Health Facilities. Provide appropriate residential and periodic services as well as educational instruction to medically fragile children diagnosed with mental health disorders.

Mental Health Facilities. Provide for the administration and maintenance to support the operations of Wright School.

Actual Totals

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$28,648	.490
\$0	-
\$28,648	.490

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Persons served at Wright school	69	64	60
Average daily population at Wright School	24	24	24

Fund 24460-2290 Mental Health Facility Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$32,654	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$132,122	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$99,468	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund tracks budget and expenditures of monies authorized for area program capital projects.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Funds transferred on a one-time basis to support the start-up of gambling prevention initiative.	\$32,654	-
Actual Totals	\$32,654	-

Fund 24460-2295 Gambling Prevention — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$445,595	\$153,447	\$263,580	\$0	\$263,580	\$0	\$263,580
Receipts	\$956,703	\$153,447	\$263,580	\$0	\$263,580	\$0	\$263,580
Chng Fund Bal	\$511,108	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

The Gambling Prevention Program was funded to provide leadership, direction, and guidance in the promotion and delivery of problem gambling outreach, prevention, and treatment services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide operational support for gambling prevention helpline.	\$100,000	1.000
Contracted Services. Implement contract for "Problem Gambling" helpline that provides callers with access to counselors capable of providing information about gambling programs, a brief needs analysis, crisis intervention, and referral. Funds provide administration and support functions to monitor crisis helpline as well as coordinate public service announcements for problem gambling helpline.	\$345,595	-
Actual Totals	\$445,595	1.000

Measures for the fund

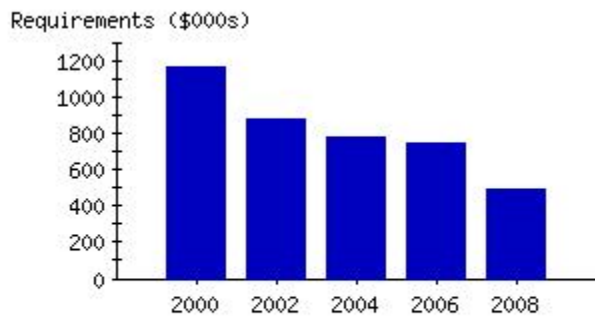
	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Help-seeking phone calls to problem gambling helpline ¹	2,091	2,844	4,698

¹Helpline was operational in late February 2006

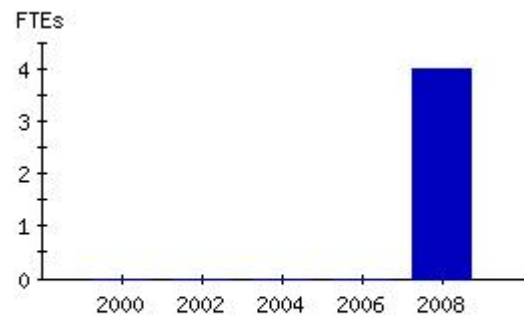
Base Budget and Performance Management Information

Budget Code 24466 DHHS - Riddle Center - Special

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$492,328	\$656,885	\$725,828	(\$25,651)	\$700,177	(\$25,651)	\$700,177
Receipts	\$534,109	\$539,020	\$607,020	(\$2,493)	\$604,527	(\$2,493)	\$604,527
Chng Fund Bal	\$41,781	(\$117,865)	(\$118,808)	\$23,158	(\$95,650)	\$23,158	(\$95,650)
Positions	4.000	3.000	4.000	-	4.000	-	4.000

Budget Code 24466 DHHS - Riddle Center - Special

Fund 24466-2321 Canteen — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$27,445	\$42,992	\$42,992	\$0	\$42,992	\$0	\$42,992
Receipts	\$27,444	\$42,992	\$42,992	\$0	\$42,992	\$0	\$42,992
Chng Fund Bal	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures from the J. Iverson Riddle's on campus canteen.

Services for the fund

Sales. Riddles' Canteen offers lunch and snack food items to employees, individuals, and visitors. Lunch includes hot dogs, snacks, and ice cream. Individuals (clients) work in areas of stocking shelves, cleaning, and other tasks. (After 2007-08 the FTE will no longer be assigned to this fund.)

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$27,445	-
\$27,445	-

Actual Totals

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Annual sales (dollars)	\$32,003.00	\$30,465.00	\$42,992.00

Fund 24466-2322 Vending Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$16,485	\$17,511	\$17,511	\$0	\$17,511	\$0	\$17,511
Receipts	\$16,484	\$17,511	\$17,511	\$0	\$17,511	\$0	\$17,511
Chng Fund Bal	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for Riddles' vending operations.

Services for the fund

Sales. The vending operation provides snacks and refreshments to Riddles' employees, clients, and visitors. The vending operation is handled by a snack and beverage vendor. The vendor is selected through a competitive bid process.

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$16,485	-
\$16,485	-

Actual Totals

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds transferred to Employee Activity Fund (dollars)	\$9,514.00	\$2,492.00	\$2,492.00
Funds transferred to Patient/Resident Activity Fund (dollars)	\$9,514.00	\$2,492.00	\$2,492.00

Fund 24466-2323 Employee Activity Fund — Base Budget

	2007-08	2008-09	2008-09	2009-10	2009-10	2010-11	2010-11
	Actual	Certified	Authorized	Adjustments	Total	Adjustments	Total
Requirements	\$8,090	\$12,875	\$25,651	(\$25,651)	\$0	(\$25,651)	\$0
Receipts	\$7,734	\$2,493	\$2,493	(\$2,493)	\$0	(\$2,493)	\$0
Chng Fund Bal	(\$356)	(\$10,382)	(\$23,158)	\$23,158	\$0	\$23,158	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Employee Activity fund. All expenditures are approved by the Employee Activity Fund Committee. The funds are used for Riddle's annual Employee Appreciation dinner. After 2007-08 fiscal year this fund will no longer be used for employee activities.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Employee Activity Fund Committee. Provide the annual Employee Appreciation Dinner and recognition awards for program support.	\$8,090	-
Actual Totals	\$8,090	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Fund Riddles' annual Employee Appreciation Dinner	1	1	1

Fund 24466-2332 Patient and Resident Activities — Base Budget

	2007-08	2008-09	2008-09	2009-10	2009-10	2010-11	2010-11
	Actual	Certified	Authorized	Adjustments	Total	Adjustments	Total
Requirements	\$7,278	\$14,133	\$14,133	\$0	\$14,133	\$0	\$14,133
Receipts	\$7,514	\$2,493	\$2,493	\$0	\$2,493	\$0	\$2,493
Chng Fund Bal	\$236	(\$11,640)	(\$11,640)	\$0	(\$11,640)	\$0	(\$11,640)
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Resident Activity fund.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Patient/Resident Activities Committee. Provide resources for Riddle's patient activities such as the campus wide annual Valentine Dance, Mardi Gras event, Renaissance Festival, a Beach Blast, Talk Like a Pirate Day, and the Hoe-Down Dance at the Barn event.	\$7,278	-
Actual Totals	\$7,278	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds approved by the Patient Activity Fund Committee for patient activities (dollars)	\$12,088.88	\$14,133.25	\$14,133.00

Fund 24466-2340 Medical Care and Treatment - Mental Retardation - Special — Base Budget

	<u>2007-08 Actual</u>	<u>2008-09 Certified</u>	<u>2008-09 Authorized</u>	<u>2009-10 Adjustments</u>	<u>2009-10 Total</u>	<u>2010-11 Adjustments</u>	<u>2010-11 Total</u>
Requirements	\$172,836	\$181,468	\$181,468	\$0	\$181,468	\$0	\$181,468
Receipts	\$188,378	\$181,468	\$181,468	\$0	\$181,468	\$0	\$181,468
Chng Fund Bal	\$15,542	\$0	\$0	\$0	\$0	\$0	\$0
Positions	2.000	2.000	2.000	-	2.000	-	2.000

Fund description

This fund is used to budget and track expenditures and receipts for the Speech and Hearing Grant received from DHHS's Division of Public Health. This is used to provide comprehensive speech and hearing services to infants/toddlers in a 26 county area.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Medical Care and Treatment. Provide comprehensive speech and hearing services to infants/toddlers in the community.	\$172,836	2.000
Actual Totals	\$172,836	2.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Speech and hearing service contacts provided to infants and children	370	448	400

Fund 24466-2341 Speech and Hearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$280	\$103,599	\$103,599	\$0	\$103,599	\$0	\$103,599
Receipts	\$29,588	\$29,588	\$29,588	\$0	\$29,588	\$0	\$29,588
Chng Fund Bal	\$29,308	(\$74,011)	(\$74,011)	\$0	(\$74,011)	\$0	(\$74,011)
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Cash Balance remaining from prior years' Speech and Hearing Grant received from DHHS's Division of Public Health. After the 2007-08 fiscal year, this fund will no longer be used for this purpose.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Medical Care and Treatment. Provide comprehensive speech and hearing services to infants/toddlers in the community. (In 2007-08, this fund will no longer record the current grant; only previous years ending cash balance will be available)	\$280	-
Actual Totals	\$280	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Speech and hearing services provided to infants/children within the 26 county area	370	448	400

Fund 24466-2342 Pharmacy Preceptor Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$784	\$1,013	\$3,680	\$0	\$3,680	\$0	\$3,680
Receipts	\$0	\$250	\$250	\$0	\$250	\$0	\$250
Chng Fund Bal	(\$784)	(\$763)	(\$3,430)	\$0	(\$3,430)	\$0	(\$3,430)
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Pharmacy Preceptor Fund. Funds are used to provide the university pharmacy students learning experiences through participation in the Pharmacy and Therapeutic Committee quarterly meetings.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Pharmacy Committee. Provide funds for the quarterly Pharmacy and Therapeutic Committee Meetings which provide lectures and round table discussions on topics that enhance and improve the university pharmacy students best practices in their pharmacist training.	\$784	-
Actual Totals	\$784	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Therapeutic/pharmacy seminars conducted in the area of pharmacy best practices	4	4	4

Fund 24466-2360 Foster Grandparent — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$234,578	\$251,516	\$251,516	\$0	\$251,516	\$0	\$251,516
Receipts	\$251,516	\$251,516	\$251,516	\$0	\$251,516	\$0	\$251,516
Chng Fund Bal	\$16,938	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

This fund is used to budget and track expenditures and receipts for the Foster Grandparent Grant. The Foster Grandparent Program is a comprehensive community service in a five county area and Riddle Developmental Center that enables seniors who are over 60 years of age an opportunity to share their experiences and talents to improve the lives of children and young adults in need along with Riddles' developmental disabled residents.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Foster Grandparent Report. Supports more than 60 Foster Grandparent Volunteers at 26 work sites including Riddle Developmental Center.	\$234,578	1.000
Actual Totals	\$234,578	1.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Foster Grandparent volunteers each year	75	74	68

Fund 24466-2361 Ronald McDonald Grant — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$14,499	\$14,500	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$14,499)	(\$14,500)	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Cash Balance remaining from prior years' Foster Grandparent Grant. After the 2007-08 Fiscal Year, this fund will no longer be used.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Foster Grandparent Report. Supports more than 60 Foster Grandparent Volunteers at 26 work sites including Riddles Developmental Center. (In 2007-08, this fund will no longer record the current grant; only previous years ending cash balance will be available.)	\$14,499	-
Actual Totals	\$14,499	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Service hours provided by the Foster Grandparent volunteers	60,552	60,552	60,552

Fund 24466-2362 Foster Grandparent - Special Funds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4,452	\$3,216	\$3,216	\$0	\$3,216	\$0	\$3,216
Receipts	\$1,650	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$2,802)	(\$3,216)	(\$3,216)	\$0	(\$3,216)	\$0	(\$3,216)
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for funds donated, which provide recognition for the Foster Grandparent Volunteers.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Foster Grandparent Report. Provide Foster Grandparent program volunteers with an annual awards and recognition ceremony.	\$4,452	-
Actual Totals	\$4,452	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Annual Award and Recognition Ceremony	1	1	1

Fund 24466-2365 Even Start Lunches — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,800	\$3,353	\$3,353	\$0	\$3,353	\$0	\$3,353
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$1,800)	(\$3,353)	(\$3,353)	\$0	(\$3,353)	\$0	(\$3,353)
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for what was known as the Even Start Lunches. Remaining amount in fund is being used to provide Instructional Materials to Riddles' special education students.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Census Information. Provide educational and instructional materials for Riddles' special education students.	\$1,800	-
Actual Totals	\$1,800	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Special educational students that were provided instructional materials	5	6	4

Fund 24466-2366 IDEA VI-B 06-07 — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,744	\$10,466	\$10,466	\$0	\$10,466	\$0	\$10,466
Receipts	\$3,744	\$10,466	\$10,466	\$0	\$10,466	\$0	\$10,466
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Title VI-B Educational Grant received through DHHS Office of Education Services. This program funds educational and instructional materials with a focus on improving the quality of instruction for students with Special Educational and Disability needs.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Census Information. Provide educational and instructional materials for Riddles' special education students through IDEA VI-B grant funds.	\$3,744	-
Actual Totals	\$3,744	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Special Educational students that were provided instructional materials	5	6	4

Fund 24466-2368 Capacity and Building Improvements — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$57	\$243	\$243	\$0	\$243	\$0	\$243
Receipts	\$57	\$243	\$243	\$0	\$243	\$0	\$243
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for the Building and Capacity Educational Grant received through DHHS Office of Education Services. This program funds educational supplies and materials with a focus on improving the quality of instruction for students with Special Educational and Disability needs.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Census Information. Provide educational and instructional materials for Riddles' special education students through Capacity and Building Grant funds.	\$57	-
Actual Totals	\$57	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Special educational students that were provided instructional materials	5	6	4

Fund 24466-2369 Assistive Technology — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$68,000	\$0	\$68,000	\$0	\$68,000
Receipts	\$0	\$0	\$68,000	\$0	\$68,000	\$0	\$68,000
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.000	-	1.000	-	1.000	-	1.000

Fund description

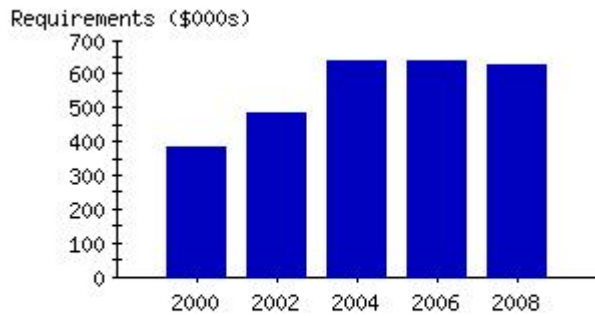
This fund is used to budget and track expenditures related to the development and operational support of a demonstration laboratory for assistive technology for children and their families. These devices can be used by children and their families to help select appropriate technological devices to assist in daily living functions.

Services for the fund	<u>Actual Requirements</u> <u>2007-08</u>	<u>Actual FTEs</u> <u>2007-08</u>
Provide development and operational support of a demonstration laboratory for assistive technology for children and their families.	\$0	1.000
Actual Totals	\$0	1.000

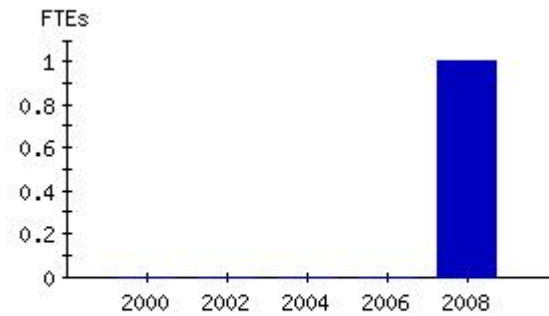
Base Budget and Performance Management Information

Budget Code 24469 DHHS - Caswell Center - Special

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$625,726	\$633,496	\$697,435	(\$113,657)	\$583,778	(\$113,657)	\$583,778
Receipts	\$646,663	\$633,496	\$633,496	(\$49,718)	\$583,778	(\$49,718)	\$583,778
Chng Fund Bal	\$20,937	\$0	(\$63,939)	\$63,939	\$0	\$63,939	\$0
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Budget Code 24469 DHHS - Caswell Center - Special

Fund 24469-2321 Client Operated Vending — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$165,782	\$163,671	\$163,671	\$0	\$163,671	\$0	\$163,671
Receipts	\$172,765	\$163,671	\$163,671	\$0	\$163,671	\$0	\$163,671
Chng Fund Bal	\$6,983	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to budget and track expenditures and receipts for Caswell's Vending Machine operation.

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Sales. Stock vending machines for sale to employees, individuals, and visitors.	\$165,782	-
Actual Totals	\$165,782	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds transferred to the Employee Activity Fund (dollars)	\$42,219.00	\$33,318.00	\$33,404.00
Funds transferred to the Patient/Resident Activity Fund (dollars)	\$42,219.00	\$33,318.00	\$33,404.00

Fund 24469-2322 Vending Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$73,256	\$61,585	\$61,585	\$0	\$61,585	\$0	\$61,585
Receipts	\$96,976	\$61,585	\$61,585	\$0	\$61,585	\$0	\$61,585
Chng Fund Bal	\$23,720	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This funds is used to budget and track the expenditures and receipts for Caswell's on-campus Sandbar Café operation.

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Sales - Sandbar Cafe. Offers lunch and snack food items to employees, individuals, and visitors. Lunch includes hamburgers, hot dogs, salads, soups, pizza, and other items. Individuals (clients) work in areas of stocking shelves, cleaning, and other tasks.	\$73,256	-
Actual Totals	\$73,256	-

Measures for the fund	2005-06	2006-07	2007-08
Annual sales to employees, residents, and visitors	94,011	90,205	90,000

Fund 24469-2323 Employee Activity Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$32,763	\$49,718	\$113,657	(\$113,657)	\$0	(\$113,657)	\$0
Receipts	\$33,758	\$49,718	\$49,718	(\$49,718)	\$0	(\$49,718)	\$0
Chng Fund Bal	\$995	\$0	(\$63,939)	\$63,939	\$0	\$63,939	\$0
Positions	-	-	-	-	-	-	-

Fund description

The fund is used to budget and track the expenditures and receipts from the vending income (Fund 2321) which are used for the benefit of Caswell's employees. After the 2007-08 fiscal year this fund will no longer be used for employee activities.

Services for the fund

Employee Activity. Supports employee activities and staff recognition awards such as service awards, Superlative Day, retirement ceremonies, Christmas Open House, and expenses for groups visiting the Center, annual chicken cooking, staff registration to State Self Advocacy Convention, to recognize volunteers at appreciation dinner, sponsor employee teams in area sports events, and support employee wellness activities on campus.

Actual Requirements 2007-08	Actual FTEs 2007-08
\$32,763	-
\$32,763	-

Actual Totals

Measures for the fund	2005-06	2006-07	2007-08
Funds approved by the Executive Management Committee for employee activities (dollars)	\$31,225.22	\$33,403.03	\$49,718.00

Fund 24469-2325 Parking Regulations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$6,295	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$739	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$5,556)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund budgets and tracks the expenditure of receipts associated with vehicle traffic and parking on facility property.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Purchasing. Purchase of parking stickers and signs on Caswell Center campus.	\$6,295	-
Actual Totals	\$6,295	-

Fund 24469-2326 Key Account — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$300	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is established to track revenues that are received from employees that lose or misplace assigned keys and track the expenditures related to the replacement of those keys.

Fund 24469-2327 Copier Account — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$5,514	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$595	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$4,919)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is established to track revenues that are received from a designated "pay per page" copier for employees and expenditures for material and maintenance to maintain that copier for employee personal copies.

Fund 24469-2329 Sliver Grant — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$4	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$4)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to budget and track the expenditures and receipts for the Educational Grants received through the DHHS Office of Educational Services.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Purchasing. Purchase education material.	\$4	-
Actual Totals	\$4	-

Fund 24469-2332 Patient and Resident Activities — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$28,684	\$47,931	\$47,931	\$0	\$47,931	\$0	\$47,931
Receipts	\$33,758	\$47,931	\$47,931	\$0	\$47,931	\$0	\$47,931
Chng Fund Bal	\$5,074	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The fund is to budget and track the expenditures and receipts from the vending income (Fund 2321) which are used for the benefit of individuals served by Caswell Center.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Provide resources for Caswell's patient activities such as birthday greetings, special events in the homes, Special Olympics, camps for individuals/clients, campus wide Special Events such as Christmas trees and decorations, and quarterly parties for all individuals/clients.	\$28,684	-
Actual Totals	\$28,684	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Funds approved by the Executive Management Committee for patient activities (dollars)	\$23,518.95	\$27,373.14	\$47,931.00

Fund 24469-2350 Snoezelen-Kate Reynolds Grant-Expand. Sensory Locations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$102	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$102)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is established to track expenditures from the Kate B. Reynolds grant that created a patient relaxation room at Caswell Center.

Fund 24469-2351 Telemedicine Telehealth — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$465	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$465)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund supports technological connectivity between the clients and staff at Caswell Center and statewide University physicians to consult on appropriate client care and treatment options.

Services for the fund

Support technological connectivity between the clients and staff at Caswell Center and statewide university physicians to consult on appropriate client care and treatment options.

Actual Totals

<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
\$465	-
\$465	-

Fund 24469-2360 Program Services - Special — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$312,861	\$310,591	\$310,591	\$0	\$310,591	\$0	\$310,591
Receipts	\$307,772	\$310,591	\$310,591	\$0	\$310,591	\$0	\$310,591
Chng Fund Bal	(\$5,089)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	1.000	1.000	1.000	-	1.000	-	1.000

Fund description

This fund is used to budget and track expenditures and receipts for the Foster Grandparent Grant. The Foster Grandparent Program is a comprehensive community service program in a two county area and Caswell Center that enables seniors who are over 60 years of age an opportunity to share their experiences and talents to improve the lives of children and young adults in need along with Caswell developmental disabled residents.

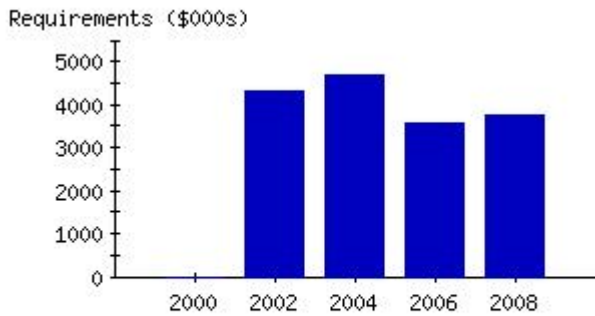
Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Foster Grandparent Report. Supports more than 70 Foster Grandparent Volunteers at 9 work sites including the Caswell Center.	\$312,861	1.000
Actual Totals	\$312,861	1.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Foster Grandparent Volunteers	73	73	73
Service hours provided by the Foster Grandparent Volunteers	76,212	76,212	76,212

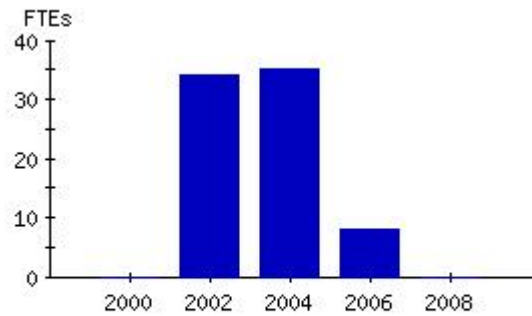
Base Budget and Performance Management Information

Budget Code 54465 DHHS - Town of Butner

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



FY2001 includes bond-funded water and sewer projects for the Town of Butner. Water and sewer operations and positions transferred to South Granville Water and Sewer Authority in 2005.

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,731,192	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$755,923	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$2,975,269)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Budget Code 54465 DHHS - Town of Butner

Fund 54465-5100 Town of Butner Bonds — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$47,847	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,182	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$46,665)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures for the Town of Butner bonds. Expenditures relate to bond payments, capital projects, and miscellaneous operating costs.

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Administration. Provide administration for the service costs associated with sale of bonds for water and sewer systems for the Town of Butner. (Bonds obligation was taken over by South Granville Water and Sewer Authority in December 2006.)	\$47,847	-
Actual Totals	\$47,847	-

Fund 54465-5300 Butner Advisory Committee — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$252,787	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$10,444	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$242,343)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures for the Town of Butner Advisory Committee (BAC).

Services for the fund	<u>Actual Requirements</u> 2007-08	<u>Actual FTEs</u> 2007-08
Administration. Reimburse Advisory Committee for travel and subsistence for committee activities.	\$1,590	-
Administration. Support Advisory Committee costs of operation (office supplies, meeting space, printing, etc.).	\$251,197	-
Actual Totals	\$252,787	-

Fund 54465-5400 Water Plant Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,490,067	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$38,234	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$1,451,833)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the provision of water to all private residences, commercial facilities, and federal and state facilities in the Butner area.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide Town of Butner with potable water supplies for residential use. (Fund is not used in FY2007-08 and will be part of Town of Butner operations fund.)	\$1,488,911	-
Administration. Provide transfer of customer water bill payments for Town of Butner operations.	\$1,156	-
Actual Totals	\$1,490,067	-

Fund 54465-5500 Wastewater Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,194,652	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$47,739	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$1,146,913)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the provision of waste water (sewer) services to all private residences, commercial facilities, federal, and state facilities in the Butner area.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide Town of Butner with wastewater treatment for residential use. (Fund is not used in FY2007-08 and will be part of Town of Butner operations fund.)	\$0	-
Administration. Provide transfer of customer water bill payments for Town of Butner operations.	\$1,194,652	-
Actual Totals	\$1,194,652	-

Fund 54465-5600 Town of Butner Operations — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$583,529	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$210,809	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	(\$372,720)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the administrative operation of the Town of Butner. Revenues to support these activities are transferred from receipts received for the Water Plant and Waste Water operations.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Administration. Provide for administrative expense for the operation of Town of Butner government and administrative offices.	\$470,398	-
Administration. Transfer of funds from operations fund to other Town of Butner funds.	\$113,131	-
Actual Totals	\$583,529	-

Measures for the fund

	2005-06	2006-07	2007-08
Zoning permits issued	39	-	-
Recreation permits issued	109	-	-
Temporary sales permits issued	2	-	-

Fund 54465-5700 Public Works — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$162,310	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$447,515	\$0	\$0	\$0	\$0	\$0	\$0
Chng Fund Bal	\$285,205	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund code is to budget and track expenditures related to the maintenance of roads and grounds for the Town of Butner. Revenues to support these activities are transferred from receipts received for the Water Plant and Waste Water operations.

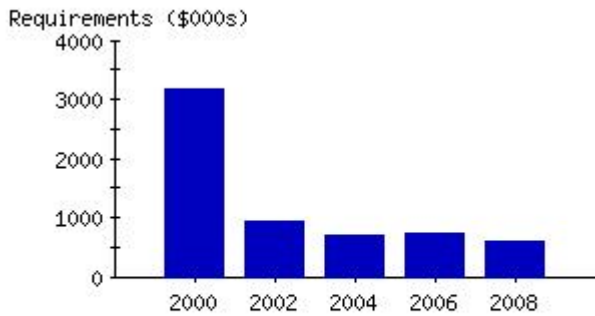
Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Support maintenance of public grounds and support road maintenance and cleaning.	\$156,778	-
Administration. Support equipment expenditures related to maintaining grounds and streets in the Town of Butner.	\$5,532	-
Actual Totals	\$162,310	-

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Work orders issued for public works	91	-	-

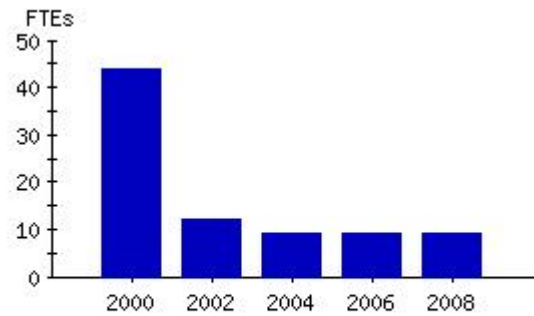
Base Budget and Performance Management Information

Budget Code 74465 DHHS - John Umstead - Butner Area Central Services

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Drop in expenditures and positions primarily due to Town of Butner operations being established in separate budget code (54465).

Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$608,472	\$767,179	\$767,179	\$0	\$767,179	\$0	\$767,179
Receipts	\$764,595	\$792,896	\$792,896	\$0	\$792,896	\$0	\$792,896
Chng Fund Bal	\$156,123	\$25,717	\$25,717	\$0	\$25,717	\$0	\$25,717
Positions	9.000	9.000	9.000	-	9.000	-	9.000

Budget Code 74465 DHHS - John Umstead - Butner Area Central Services

Fund 74465-7800 Telephone System — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$608,472	\$767,179	\$767,179	\$0	\$767,179	\$0	\$767,179
Receipts	\$764,595	\$792,896	\$792,896	\$0	\$792,896	\$0	\$792,896
Chng Fund Bal	\$156,123	\$25,717	\$25,717	\$0	\$25,717	\$0	\$25,717
Positions	9.000	9.000	9.000	-	9.000	-	9.000

Fund description

The purpose of this fund code is to budget and track expenditures for the provision of telephone service for State operated facilities in Butner, North Carolina, including the Town of Butner offices.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Administration. Provide timely repairs to telephone and computer telecommunications system to limit any problems with access to services.	\$608,472	9.000
Actual Totals	\$608,472	9.000

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Work orders completed	987	-	-
Telecommunication repairs completed	522	-	-

Division of Health Service Regulation

Mission

Provide for the health, safety, and well-being of individuals through effective regulatory and remedial activities, including appropriate consultation and training opportunities, and by improving access to health care delivery systems through the rational allocation of needed facilities and services.

Goals

License and regulate health care facilities to ensure the health, safety, and welfare of individuals residing in or receiving services. Perform inspections, monitoring, and complaint investigations to determine compliance with regulations.

Help protect the health, safety, and welfare of patients and residents being served by health care facilities and agencies through the approval of Nurse Aide I (NAI) educational programs, assuring that only individuals who pass state competency to provide nursing related services are listed on the NAI Registry, and through the timely investigation of allegations of abuse and neglect against unlicensed healthcare workers and the listing of substantiated allegations.

Facilitate and improve compliance with licensure, certification, and Health Care Finance Program (HFA) requirements related to health care facility construction and compliance with the life safety code.

Contain increasing health care costs by preventing unnecessary duplication of medical facilities.

Provide individuals, institutions, state and local government agencies, and community leadership with policies and projections of need using demographic and statistical analyses to guide local planning for specific health care facilities and services.

Provide individuals, institutions, state and local government agencies, and community leadership with policies and projections of need to guide local planning for specific health care facilities and services.

Provide grants to upgrade the preparedness of North Carolina's health care system to respond rapidly and effectively to bioterrorism, outbreaks of infectious disease, and other public health threats or emergencies.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of licensed nursing home surveys conducted within the federally established timelines ¹	-	-	100.0%	99.7%	100.0%
Percentage change of square footage reviewed for health care construction projects (The 2006-2007 year is used as the baseline.) ²	-	-	0.0 %	0.0 %	3.8%
Percentage of patient encounters with licensed Emergency Medical Service (EMS) providers in conformance with the standard of care established by the North Carolina College of Emergency Physicians' protocols ³	-	-	-	100.0%	100.0%

¹Nursing home surveys are conducted every 9-15 months. Data is collected quarterly and reported annually.

²The goal is to be 100% of FY06-07. Newly hired staff in 2007-08 to improve turn around times were all on probation and training (two year training period). Projection for 2008-09 with new staff performing at 50% of total capacity is 24.4% of goal.

³The standard is 98%. Data not available for the EMS measure for FY 2005-06.

Governor's Recommended Adjustments to Base Budget

Division of Health Service Regulation (14470)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$58,120,089	\$58,146,488
Receipts	<u>\$38,848,168</u>	<u>\$38,869,229</u>
Appropriation	\$19,271,921	\$19,277,259
Adjustments		
Requirements	(\$172,832)	(\$172,832)
Receipts	<u>\$1,085,596</u>	<u>\$1,085,596</u>
Appropriation	(\$1,258,428)	(\$1,258,428)
Total		
Requirements	\$57,947,257	\$57,973,656
Receipts	<u>\$39,933,764</u>	<u>\$39,954,825</u>
Recommended Appropriation	<u>\$18,013,493</u>	<u>\$18,018,831</u>
<hr/>		
Positions		
Base Budget Positions	530.000	530.000
Reductions	(2.000)	(2.000)
Expansion	____-	____-
Recommended Positions	<u>528.000</u>	<u>528.000</u>

Appropriation Items -- Recommended Adjustments

Reductions	<u>2009-10</u>	<u>2010-11</u>
1. Increase Fees for License Renewals		
This recommendation increases licensing fees to health care facilities regulated by the division and reducing general fund appropriation in a similar amount. The recommendation shares the cost of administering the licensure program with facilities.		
Requirements	-	-
Receipts	\$1,093,788	\$1,093,788
Appropriation	(\$1,093,788)	(\$1,093,788)
2. Eliminate Two Vacant Positions in the Medical Facilities Construction Section		
This recommendation eliminates two vacant positions in the Medical Facilities Construction Section, which review construction plans, make on-site inspections, and provide consultation to ensure compliance with federal and state standards. The reduction will reduce the number of section staff to 63 full time equivalent positions (FTEs).		
Requirements	(\$172,832)	(\$172,832)
Receipts	(\$8,192)	(\$8,192)
Appropriation	(\$164,640)	(\$164,640)
Positions	(2.000)	(2.000)

Total Recommended Reductions

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$172,832)	(\$172,832)
Receipts	1,085,596	1,085,596
	<hr/>	<hr/>
Appropriation	(\$1,258,428)	(\$1,258,428)
Positions	(2.000)	(2.000)
Nonrecurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-

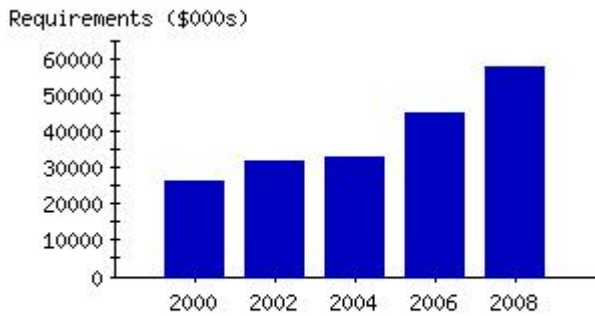
**Total Recommended Adjustments for
 Division of Health Service Regulation (14470)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$172,832)	(\$172,832)
Receipts	1,085,596	1,085,596
	<hr/>	<hr/>
Appropriation	(\$1,258,428)	(\$1,258,428)
Positions	(2.000)	(2.000)
Nonrecurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Total Appropriation Adjustments	(\$1,258,428)	(\$1,258,428)
Total Position Adjustments	(2.000)	(2.000)

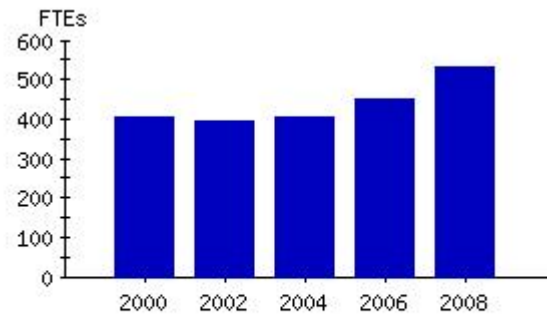
Base Budget and Performance Management Information

Budget Code 14470 DHHS - Division of Health Service Regulation

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



	Base Budget						
	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$57,492,832	\$58,709,801	\$58,471,482	(\$351,393)	\$58,120,089	(\$324,994)	\$58,146,488
Receipts	\$38,797,426	\$37,803,885	\$38,802,058	\$46,110	\$38,848,168	\$67,171	\$38,869,229
Appropriation	\$18,695,406	\$20,905,916	\$19,669,424	(\$397,503)	\$19,271,921	(\$392,165)	\$19,277,259
Positions	531.000	525.500	530.000	-	530.000	-	530.000

Budget Code 14470 DHHS - Division of Health Service Regulation

Fund 14470-1111 Management and Support — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$3,043,886	\$1,940,350	\$2,043,321	\$100,336	\$2,143,657	\$84,536	\$2,127,857
Receipts	\$2,076,900	\$1,205,409	\$1,233,225	\$50,128	\$1,283,353	\$49,873	\$1,283,098
Appropriation	\$966,986	\$734,941	\$810,096	\$50,208	\$860,304	\$34,663	\$844,759
Positions	22.000	20.000	22.000	-	22.000	-	22.000

Fund description

The purpose of this fund is to achieve the division's outcomes and program objectives, and deploy available resources to satisfactorily serve both internal and external customers. Accomplishing this purpose involves the following: provide administrative, clerical, and liaison support to the commissions, task forces, and advisory groups that develop policy and perform rule-making for the division; administer the Health Care Facilities Finance Act and issue tax exempt bonds for projects approved by the Medical Care Commission; provide general administrative support in areas such as budgeting, personnel, contracting, purchasing, data processing, and mail receipt and delivery; and ensure all staff are familiar with the division, its mission, and objectives by providing orientation to all new employees on a quarterly basis.

Services for the fund

Director's Office. Provide overall management, coordination, planning, and administrative services to all units and personnel with the division. Also provide data management, personnel management, business management, purchasing, fiscal support, and mail services division-wide.

Healthcare Facility Finance Act. Provide staff support to enable the North Carolina Medical Care Commission to adopt, recommend, or rescind rules for regulation of most healthcare facilities and to issue tax-exempt revenue bonds to finance construction and equipment projects for nonprofit and public hospitals, nursing homes, and continuing care facilities for the elderly.

Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
\$2,729,524	18.000
\$314,362	4.000
\$3,043,886	22.000

Measures for the fund

Interest savings on debt issued by the North Carolina Medical Care Commission for qualifying healthcare entities to finance healthcare facilities (dollars)

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
\$111,000,000	\$117,313,000	\$122,759,000

Fund 14470-1311 Facility and Health Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$34,591,809	\$35,635,255	\$36,097,012	(\$549,234)	\$35,547,778	(\$509,620)	\$35,587,392
Receipts	\$23,087,810	\$22,567,936	\$23,209,522	(\$8,286)	\$23,201,236	\$12,422	\$23,221,944
Appropriation	\$11,503,999	\$13,067,319	\$12,887,490	(\$540,948)	\$12,346,542	(\$522,042)	\$12,365,448
Positions	430.000	432.000	430.000	-	430.000	-	430.000

Fund description

The purpose of this fund is to ensure safe and high quality care, quality of life, and safety of residents and citizens who use health, social, and local confinement facilities and related services through regulatory compliance. In carrying out their responsibilities staff: 1) provide technical assistance to health care facilities to ensure compliance with licensure and certification rules governing health and adult care facilities; 2) conduct reviews/inspections of health and adult care facilities, and issue new and renewal licenses when conditions are in compliance with standards; 3) inspect the health and safety conditions at local confinement facilities at least twice a year; 4) maintain a registry of health care professionals working in health care facilities in the state; 5) survey each certified Medicare/Medicaid facility at least once annually to assure compliance with Medicare/Medicaid regulations; and 6) review each Medicaid recipient in Intermediate Care Facility/Mental Retardation and psychiatric facilities at least once annually to assure that individual is appropriately placed and is receiving care which is sufficient to meet optimal physical/mental/psycho-social needs.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Acute and Home Care Licensure and Certification. License and certify hospitals, home health agencies, hospices, ambulatory surgery, abortion clinics, dialysis facilities, clinical laboratories, cardiac rehabilitation facilities, comprehensive outpatient rehabilitation facilities, rural health clinics, and other health care providers pursuant to applicable state and federal regulations.	\$2,653,702	31.000
Adult Care Licensure and Certification. License and conduct annual surveys of approximately 1,300 adult care homes to ensure residents' care and safety are being provided. Train and provide oversight of the county departments of social services' monitoring and investigation of complaints in adult care homes.	\$4,874,349	66.000
Mental Health Licensure and Certification. Conduct routine and compliant surveys to assess compliance with applicable state and federal rules and regulations for providers of Mental Health/Developmental Disabilities/Substance Abuse services to result in correction of deficiencies and ensure that facilities meet the minimum required standards.	\$5,822,196	80.000
Nursing Home Licensure and Certification. License and certify 436 nursing facilities for Medicare and Medicaid participation through initial, annual, and complaint-driven surveys and maintain resident assessment data, staff and provider training, and facility records.	\$13,288,018	125.000
Complaint Intake Unit. Assure the health, safety, and welfare of clients of health care facilities and agencies throughout North Carolina through timely and consistent receipt and processing of all complaints within the jurisdiction of the division.	\$565,113	9.000
Medical Facilities Construction. Review plans and specifications, make on-site inspections, and provide consultation to ensure compliance with federal and state regulations and a safe and proper environment for patients, residents, and inmates in those facilities wishing to be licensed, certified, or receive financial assistance.	\$4,046,805	65.000

Health Care Personnel Registry. Help to assure client and resident safety through the maintenance of a Nurse Aide I Registry of all individuals who meet training and competency requirements and a Health Care Personnel Registry of unlicensed assistive personnel and unlicensed health care personnel.	\$2,982,346	48.000
Jails and Detention. Ensure compliance with North Carolina statutes and administrative rules through semiannual inspections of all county, municipal, and regional jails throughout North Carolina and provide technical assistance to local governments and review plans for all major renovation and new jail construction projects.	\$359,280	6.000
Actual Totals	\$34,591,809	430.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Mental Health, Adult, Nursing Home, Acute Care and Home Care Facilities complaint investigations, follow up, and full surveys	5,739	7,495	8,608
Inspections conducted of regulated projects and facilities for physical plant and life safety compliance for new construction, renovations, and existing facilities	3,427	3,189	3,841
Health care personnel status verifications provided by the Health Care Personnel Registry	655,144	662,622	697,260

Fund 14470-1511 Emergency Medical Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$16,674,879	\$18,435,776	\$17,528,055	\$18,439	\$17,546,494	\$20,607	\$17,548,662
Receipts	\$13,534,171	\$14,016,082	\$14,344,853	\$4,268	\$14,349,121	\$4,876	\$14,349,729
Appropriation	\$3,140,708	\$4,419,694	\$3,183,202	\$14,171	\$3,197,373	\$15,731	\$3,198,933
Positions	52.000	47.500	52.000	-	52.000	-	52.000

Fund description

The purpose of this fund is to ensure that all citizens have access to quality pre-hospital emergency medical care by providing technical assistance, services, and regulatory oversight to all local Emergency Medical Service (EMS) systems in North Carolina.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Emergency Medical Services (EMS). Perform inspections on ambulances and advanced life-support vehicles to ensure that minimum staffing and equipment requirements are met. Certify local EMS staff to ensure competent patient care is maintained. Provide technical and financial assistance to hospitals, regional EMS councils, EMS communications systems, and local ambulance providers.	\$4,057,733	36.000
Hospital Preparedness. Provide funding and guidance to hospitals, EMS systems, and other healthcare facilities to develop North Carolina's healthcare system capabilities to respond to an emergency that involves mass casualties. Maintain an inventory of healthcare system resources available to respond to mass emergency.	\$12,617,146	16.000
Actual Totals	\$16,674,879	52.000

Measures for the fund	2005-06	2006-07	2007-08
Emergency Medical Services personnel initially credentialed and re-credentialed to provide emergency medical care in North Carolina	8,380	8,309	7,790
Hospitals with expanded emergency services capacity including beds, isolation, personal protection, and decontamination	94	123	123

Fund 14470-1711 Plan and Develop Health Care — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,599,713	\$2,698,420	\$2,803,094	\$79,066	\$2,882,160	\$79,483	\$2,882,577
Receipts	(\$14,399)	\$14,458	\$14,458	\$0	\$14,458	\$0	\$14,458
Appropriation	\$2,614,112	\$2,683,962	\$2,788,636	\$79,066	\$2,867,702	\$79,483	\$2,868,119
Positions	27.000	26.000	26.000	-	26.000	-	26.000

Fund description

The purpose of this fund is to ensure both economic and geographic access to high quality and cost effective health care services, to enhance the physical quality of health care facilities, and to assure their efficient use, the respective sections will propose, for public review and State Health Coordinating Council approval 1) the locations and scope of needed health service/facility additions, as published in annual revisions of the State Medical Facilities Plan; 2) review Certificate of Need (CON) applications for new institutional health services and issue decisions in a timely manner; 3) defend CON decisions that are appealed to Office of Administrative Hearings; and 4) monitor the development of projects to ensure compliance with conditions of approval.

Services for the fund

Certificate of Need. Review proposals for and make determinations regarding development of new or expanded healthcare services and facilities to ensure adequate access to the healthcare services as determined by the State Medical Facilities Plan. Monitor the development of the project through review of progress reports submitted by the applicant.

Medical Facilities Planning Program. Provide staff support to the State Health Coordinating Council including the development of policies and methodologies used in determining the need for new healthcare facilities and services in North Carolina. Collect and analyze data for the publication of the annual State Medical Facilities Plan which provides background information and data on unmet need, including annual "need determinations" used for CON review.

Actual Totals

Actual Requirements 2007-08	Actual FTEs 2007-08
\$2,176,733	21.000
\$422,980	6.000
\$2,599,713	27.000

Measures for the fund	2005-06	2006-07	2007-08
Applications received (Certificate of Need)	281	260	241
Need determinations requested for Certificate of Need regulated health services	65	73	58

Fund 14470-1810 Revenue Clearing Fund — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$1,370,606)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding agencies as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from funding agencies are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the fiscal year.

Fund 14470-1991 Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$530,237	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$485,153	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$45,084	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal Department of Health and Human Services, Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14470-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	\$1,020,543	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record revenue received in the current fiscal year, primarily from federal funding sources, which was earned in a prior fiscal year.

Fund 14470-1993 Prior Year Unearned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$52,308	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$22,146)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$74,454	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior fiscal year. Adjustments tracked in this fund include paybacks from grant recipients as a result of audits of prior year operations.

Division of Vocational Rehabilitation

Mission

To promote employment and independence for people with disabilities and link them with the resources they require through customer partnership and community leadership.

Goals

Facilitate maximum and timely access to rehabilitation services by acting as an advocate and providing information about programs, projects, and facilities funded in the Federal Rehabilitation Act to increase the rate of successful rehabilitation outcomes for North Carolinians with disabilities.

Make timely and accurate medical determinations regarding entitlement to Social Security, Supplemental Security Income, and Medical disability benefits through better processing of initial claims and reconsidered claims to improve the financial stability and healthcare access for qualifying individuals with disabilities who are unable to work.

Maximize disabled individual's independence, productivity, integration, and full inclusion in society by providing services and equipment that enable these individuals to live independently and function in their homes and communities.

Assist, through directly providing, purchasing, or coordinating services or resources required by eligible individuals with physical, mental, or cognitive disabilities whose disability results in limitations to employment, so that they can obtain and maintain employment that is in keeping with their strengths, resources, priorities, concerns, abilities, and informed choice.

Agency Indicators

	<u>2003-04</u>	<u>2004-05</u>	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Percentage of persons with employment disabilities, ages 16-64, employed in some sector of the labor force ¹	-	-	-	16.8%	15.4%
Percentage of persons with disabilities who have difficulty dressing themselves, remembering, or going outside the home, ages 16 or older, living in institutional group quarters ²	-	-	-	8.7%	8.5%

¹Goal is to achieve at least 16.8%.

²The goal is to not to exceed 8.7%.

Governor's Recommended Adjustments to Base Budget

Division of Vocational Rehabilitation (14480)

Recommended General Fund Budget and Positions

	<u>2009-10</u>	<u>2010-11</u>
Base Budget		
Requirements	\$143,161,516	\$143,924,813
Receipts	<u>\$96,742,773</u>	<u>\$97,162,106</u>
Appropriation	\$46,418,743	\$46,762,707
Adjustments		
Requirements	\$2,408,968	\$1,641,025
Receipts	<u>\$6,732,276</u>	<u>\$6,295,239</u>
Appropriation	(\$4,323,308)	(\$4,654,214)
Total		
Requirements	\$145,570,484	\$145,565,838
Receipts	<u>\$103,475,049</u>	<u>\$103,457,345</u>
Recommended Appropriation	<u>\$42,095,435</u>	<u>\$42,108,493</u>
<hr/>		
Positions		
Base Budget Positions	1,054.700	1,054.700
Reductions	-	-
Expansion	-	-
Recommended Positions	<u>1,054.700</u>	<u>1,054.700</u>

Appropriation Items -- Recommended Adjustments

Reductions

2009-10 2010-11

1. Reduce Basic Support Case Services

This recommendation reduces state appropriations for non-medical consumer purchases. This reduction is anticipated to have minimal impact on service levels as the number of clients has steadily declined over the past four years from 58,580 in FY 2005 to 49,082 in FY 2008.

Requirements	(\$3,612,025)	(\$3,612,025)
Receipts	-	-
Appropriation	(\$3,612,025)	(\$3,612,025)
Positions	-	-

2. Remove Inflationary Increases

This recommendation reduces state funds by removing inflationary items such as electrical, natural gas, water and sewer, and medical and non-medical services from the continuation budget.

Requirements	(\$1,707,288)	(\$2,475,230)
Receipts	(\$996,005)	(\$1,433,041)
Appropriation	(\$711,283)	(\$1,042,189)
Positions	-	-

Total Recommended Reductions

2009-10 2010-11

Recurring

Requirements	(\$5,319,313)	(\$6,087,255)
Receipts	(996,005)	(1,433,041)
Appropriation	(\$4,323,308)	(\$4,654,214)
Positions	-	-

Nonrecurring

Requirements	-	-
Receipts	-	-
Appropriation	-	-
Positions	-	-

Expansion

	<u>2009-10</u>	<u>2010-11</u>
1. Federal Recovery Funds for Vocational Rehabilitation Services (DVRS)		
The Governor recommends budgeting federal funds available through the American Reinvestment and Recovery Act to support the Vocational Rehabilitation Services Program in the amount of \$15,054,221 through September 30, 2010. These one-time funds are used to support expenditures, such as vocational assessment, client transportation, client training and education, on the job training, and client entrepreneurial startup. An estimated amount of \$402,340 will be available for the Independent Living Program, which will be shared between DVRS and the Blind Services.		
Requirements - Nonrecurring	\$7,728,281	\$7,728,280
Receipts - Nonrecurring	\$7,728,281	\$7,728,280
	<hr/>	<hr/>
Appropriation - Nonrecurring	-	-

Total Recommended Expansion

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	-	-
Receipts	-	-
	<hr/>	<hr/>
Appropriation	-	-
Positions	-	-
Nonrecurring		
Requirements	\$7,728,281	\$7,728,280
Receipts	7,728,281	7,728,280
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Appropriation	-	-
Positions	-	-

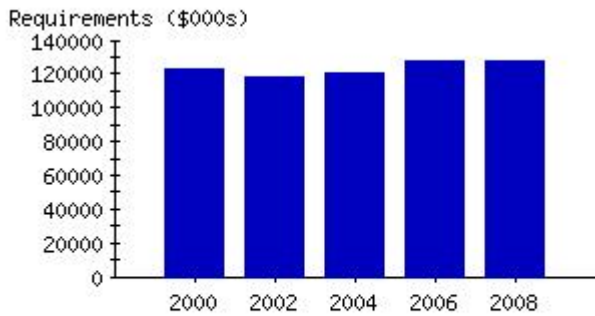
**Total Recommended Adjustments for
 Division of Vocational Rehabilitation (14480)
 2009-11**

	<u>2009-10</u>	<u>2010-11</u>
Recurring		
Requirements	(\$5,319,313)	(\$6,087,255)
Receipts	(996,005)	(1,433,041)
	(\$4,323,308)	(\$4,654,214)
Appropriation		
Positions	-	-
Nonrecurring		
Requirements	\$7,728,281	\$7,728,280
Receipts	7,728,281	7,728,280
	-	-
Appropriation		
Positions	-	-
Total Appropriation Adjustments	(\$4,323,308)	(\$4,654,214)
Total Position Adjustments	-	-

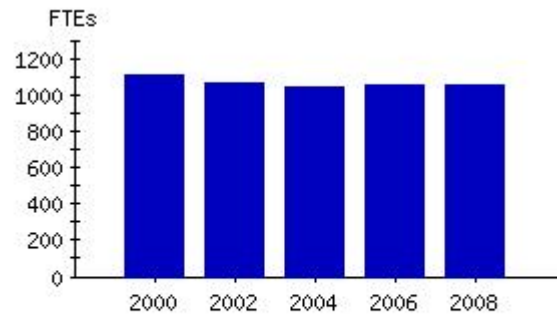
Base Budget and Performance Management Information

Budget Code 14480 DHHS - Division of Vocational Rehabilitation

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$128,109,508	\$139,202,810	\$137,846,950	\$5,314,566	\$143,161,516	\$6,077,863	\$143,924,813
Receipts	\$84,086,365	\$95,863,736	\$94,595,876	\$2,146,897	\$96,742,773	\$2,566,230	\$97,162,106
Appropriation	\$44,023,143	\$43,339,074	\$43,251,074	\$3,167,669	\$46,418,743	\$3,511,633	\$46,762,707
Positions	1,056.000	1,052.500	1,054.700	-	1,054.700	-	1,054.700

Budget Code 14480 DHHS - Division of Vocational Rehabilitation

Fund 14480-1101 Management and Support Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$8,504,451	\$7,867,742	\$7,655,046	\$17,847	\$7,672,893	\$17,847	\$7,672,893
Receipts	\$6,423,680	\$5,434,901	\$5,259,901	\$31,522	\$5,291,423	\$14,045	\$5,273,946
Appropriation	\$2,080,771	\$2,432,841	\$2,395,145	(\$13,675)	\$2,381,470	\$3,802	\$2,398,947
Positions	84.750	84.250	86.450	-	86.450	-	86.450

Fund description

The purpose of this fund is to provide management and administrative support services to VR clients and employees in accomplishing the mission of the Division of Vocational Rehabilitation Services. Management and administrative support services include planning, developing, coordinating, and evaluating the activities, programs, and services developed under the authority of the Rehabilitation Act and through state appropriations for individuals with significant disabilities ensuring service in a timely manner. Also included in this center are fiscal and contract management, human resources and training, and information technology services.

Services for the fund

	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Administrative Service - Human Resource Management. Oversee the recruitment and management of personnel; develop and implement HR strategy; coordinate and execute staff acquisition, organization and position management, compensation management, benefits management, employee development, and performance management; and regulate employee relations, separation management, and health and safety activities.	\$603,811	9.000
Executive Management. Plan, direct, coordinate, and execute the statewide program of rehabilitation for individuals with mental and physical disabilities by monitoring of VR services. Also, management researches and stays abreast of federal and state law issues affecting the division.	\$1,463,487	4.750
Fiscal Services. Manage and analyze financial information; account for and forecast the use of division resources; conduct resource planning and allocation activities; develop and execute the budget; analyze issues impacting the division's budget and management; conduct general ledger accounting; maintain A/P, A/R, cash management, contracts, and grants management; and financial reporting while ensuring operations and programs comply with applicable laws and regulations.	\$691,422	10.000
Purchasing. Coordinate and execute the acquisition of equipment, materials, services, and supplies for the division's client and non-client items for Vocational Rehabilitation (VR), Division of Services for the Blind (DSB), Independent Living Services (ILS), and Division of Services for the Deaf and Hard of Hearing (DSDHH). Also responsible for state surplus, telecommunication, and leases for the division.	\$1,716,040	9.000
Contract Development. Coordinate all performance-based contracts for VR clients, perform cost analysis of all proposed contracts, assist in contract negotiations, and establish internal controls over purchasing and contracting. Request reimbursement of federal funds in accordance with an agreement between the SSA and DVRS for subrogation situation involving litigation with insurance carriers, workers compensation, and other third parties in obtaining reimbursement for certain expenditures.	\$263,122	4.000
Information Technology. Maintain the computer hardware, software, and networks for all VR and ILS offices throughout the state, maintain the help desk, and provide customer service support. Responsible for agency databases and servers for all offices.	\$692,115	7.000

Program Policy, Planning, and Evaluation. Provide leadership, consultation, and technical expertise to line management in policy interpretation to maintain appropriate delivery of services to VR clients. Also provide service delivery, policy development, quality assurance, medical consultation, and training in areas related to federal regulations and service delivery. The section coordinates collaboration with the State Rehabilitation council and oversees the Fair Hearing process.	\$1,303,819	18.000
Staff Development. Manage and supervise all training and continuing education activities for the division's employees to maintain educational requirements needed to serve VR clients mandated by Federal policy. Prepare and submit applications and manage and monitor training grants.	\$362,490	5.000
Employment Services. Provide resources, programs, and consultation to division staff and customers in order to empower consumers to achieve vocational success. This includes the development of partnerships with all workforce agencies, third party agreements with public and private agencies, community based organizations, and employers and employment providers of service.	\$549,051	8.000
Regional Directors. Assist the State Office in statewide policy development, statewide planning for future and current programs, managing VR personnel, statewide program evaluation of the Operational Management System, and formulating case service and administrative budgets.	\$859,094	10.000
Actual Totals	\$8,504,451	84.750

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Purchase orders processed	466	315	421

Fund 14480-1102 Counseling and Placement - State — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$32,200,701	\$34,257,656	\$34,916,596	\$1,196,209	\$36,112,805	\$1,200,222	\$36,116,818
Receipts	\$25,324,724	\$27,307,313	\$27,898,800	\$941,417	\$28,840,217	\$944,575	\$28,843,375
Appropriation	\$6,875,977	\$6,950,343	\$7,017,796	\$254,792	\$7,272,588	\$255,647	\$7,273,443
Positions	555.000	550.500	559.000	-	559.000	-	559.000

Fund description

The purpose of this fund is the administration and coordination of services, including the development of Individualized Plans for Employment (IPE). Vocational Rehabilitation provides a variety of job related services: job development, outreach and networking with business and industry, job placement, job retention, and on-the-job support to individuals who are eligible for counseling and placement services. Services are provided and coordinated as agreed upon by the eligible individual and the VR Counselor in an IPE. Counseling (including information and referral) and placement may extend throughout the entire life of the Rehabilitation Plan for an individual to maintain gainful employment.

Services for the fund	<u>Actual</u> <u>Requirements</u> <u>2007-08</u>	<u>Actual</u> <u>FTEs</u> <u>2007-08</u>
Counselors. Administer a comprehensive plan of rehabilitation services in support of individual counselor caseloads. Responsibilities include: evaluate diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling, and monitor the client's progress for a successful outcome.	\$14,794,909	255.000
Casework Supervisors. Coordinate rehabilitation casework services in assigned regions that include several unit and/or facility programs. Additional responsibilities include selecting, developing, and evaluating case-service personnel in order to ensure that quality and comprehensive vocational rehabilitation services are delivered to VR clients.	\$580,194	10.000
Rehabilitation Engineers. Provide engineering design services necessary to enable Vocational Rehabilitation clients to obtain and keep productive employment. This includes home and vehicle modifications as designed by VR engineers to accommodate disabled individuals.	\$348,115	6.000
Vocational Evaluators. Provide vocational evaluation services to developmentally and physically disabled clients utilizing traditional pen and paper testing and community based assessments. Review client referral information and develop an individual client evaluation plan based on client's needs to achieve gainful employment.	\$2,320,770	40.000
Rehabilitation Program Specialists. Perform consultative and coordinative work in the vocational rehabilitation of persons with physical, mental, or emotional disabilities. Provide guidance and direction to specific statewide programs, interpret objectives of the specialized program, promote public interest through various information channels, and meet with special interest groups on behalf of the VR clients.	\$1,160,388	20.000
Community Service Consultants. Initiate cooperative arrangements with family agencies, community health programs, and other local organizations to assure that existing resources are made available to persons with mental, emotional, or physical disabilities.	\$348,118	6.000
Human Resource Placement Specialists. Specialize in job development and housing placement for VR clients which enhances their ability to live and work in the community. Interview clients to assess basic factors that affect housing or employment needs. Provide supportive relationship counseling regarding job readiness, and expectations of employers or landlords.	\$2,668,891	46.000
Education Specialists. Assess staff training needs and provide appropriate educational training opportunities for rehab employees serving VR clients. Topics such as caseload management, mental illness, autism, and job development and placement are aimed at equipping staff to better understand, serve, and place VR clients in the workforce.	\$232,080	4.000
Casework Technicians. Provide preliminary assistance in support of rehabilitation counselors which includes interviewing clients and gathering required diagnostic reports, making recommendations on needs, and contacting employers to develop on-the-job training and/or placement for VR clients.	\$1,972,657	34.000
Casework Assistants. Perform a variety of administrative and casework tasks that support the rehabilitation counselor on technical operations for VR clients.	\$2,842,942	49.000
Office Assistants. Perform a variety of clerical tasks that support administrative programs or technical operations for VR clients as required by the individual offices across the state.	\$4,931,637	85.000
Actual Totals	\$32,200,701	555.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Individualized Plans for Employment (IPE) developed	12,247	8,237	9,649
Authorizations processed for VR client services	90,081	64,496	64,326

Fund 14480-1103 Counseling and Placement - Third Party — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$10,157,826	\$11,771,937	\$11,832,063	\$145,530	\$11,977,593	\$146,338	\$11,978,401
Receipts	\$10,388,335	\$11,771,937	\$11,832,063	\$145,530	\$11,977,593	\$146,338	\$11,978,401
Appropriation	(\$230,509)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	218.000	219.000	212.000	-	212.000	-	212.000

Fund description

The purpose of this fund is to carry out the contractual agreements between the North Carolina Division of Vocational Rehabilitation Services and local school systems to provide vocational rehabilitation services to students with disabilities who meet the division's eligibility criteria. These agreements specifically target youth in transition. There is joint participation of the division and third party staff in the development of the transition component of the individualized education program and the individualized plan for employment (IPE) for students with disabilities. The goal of the program is to assist students with physical or mental disabilities (through the provision of a variety of rehabilitation services) to transition into competitive employment following completion of the individual's education.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Counselors. Administer a comprehensive plan of rehabilitation services in support of individual counselor caseloads. Responsibilities include: evaluate diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling, and monitor the client's progress for a successful outcome.	\$5,871,029	126.000
Vocational Evaluators. Provide vocational evaluation services to developmentally and physically disabled clients utilizing traditional pen and paper testing and community based assessments. Review client referral information and develop an individual client evaluation plan based on the client's needs to achieve gainful employment.	\$186,384	4.000
Human Resource Placement Specialists. Specialize in job development and housing placement for VR clients which enhances their ability to live and work in the community. Interview clients to assess basic factors that affect housing or employment needs. Provide supportive relationship counseling regarding job readiness and expectations of employers or landlords.	\$465,956	10.000
Casework Technicians. Provide preliminary assistance in support of the rehabilitation counselor which includes interviewing clients, gathering required diagnostic reports, making recommendations on needs, and contacting employers to develop on-the-job training or placement for VR clients.	\$1,141,591	24.500
Casework Assistants. Perform a variety of administrative and casework tasks that support the rehabilitation counselor on technical operations for VR clients.	\$1,491,057	32.000
Office Assistants. Perform a variety of administrative tasks in support of programs or technical operations for VR clients as required by the individual offices.	\$1,001,809	21.500
Actual Totals	\$10,157,826	218.000

Measures for the fund	2005-06	2006-07	2007-08
Clients successfully placed into competitive employment upon completion of the school/transition program	2,200	1,834	1,968
Students being served in school caseloads	17,411	16,474	16,426
Authorizations for students being served in school caseloads	14,112	12,442	13,543

Fund 14480-1104 Agency Operated Rehabilitation Facility — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$2,845,075	\$2,794,395	\$2,812,023	\$54,634	\$2,866,657	\$54,585	\$2,866,608
Receipts	\$2,259,533	\$2,204,448	\$2,222,080	\$43,638	\$2,265,718	\$43,620	\$2,265,700
Appropriation	\$585,542	\$589,947	\$589,943	\$10,996	\$600,939	\$10,965	\$600,908
Positions	49.000	49.500	48.000	-	48.000	-	48.000

Fund description

The purpose of this fund is to support the administration and operation of two Community Rehabilitation Facilities. The Eastern and Western facilities provide a variety of services for individuals with significant disabilities in order to help them obtain employment. Once consumers are determined eligible, Vocational Rehabilitation provides the following job related services: vocational evaluation, vocational training, job development, outreach and networking with business and industry, job placement, job retention, and on-the-job supports.

Services for the fund	Actual Requirements 2007-08	Actual FTEs 2007-08
Training. Provide a variety of vocational training, evaluation, adult basic education, and services to individuals with significant disabilities in order to help them obtain job readiness skills through real work and simulated work experiences.	\$2,321,745	40.000
Management. Provide management and administration to the Western and Eastern Vocational Rehabilitation Facilities including Facility Directors, Administrative Assistants, and Safety Officers. These facilities provide job training and life skills to VR clients that meet eligibility criteria.	\$274,700	4.000
Fiscal. Provide financial and accounting support services for the Eastern and Western facilities. Track client income receipts and expenses, pay client wages, and purchase equipment and materials that create real work and simulated work experiences at these facilities.	\$248,630	5.000
Actual Totals	\$2,845,075	49.000

Measures for the fund	2005-06	2006-07	2007-08
Applicants who entered into the Vocational Rehabilitation facility programs	400	346	349
Clients successfully placed into competitive employment upon completion of the facility programs	86	53	49
Clients discharged from facility programs as job ready	131	99	102

Fund 14480-1105 Case Services — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$51,475,248	\$60,235,518	\$57,892,331	\$3,227,071	\$61,119,402	\$3,782,298	\$61,674,629
Receipts	\$38,302,729	\$45,137,879	\$42,794,692	\$965,705	\$43,760,397	\$1,402,669	\$44,197,361
Appropriation	\$13,172,519	\$15,097,639	\$15,097,639	\$2,261,366	\$17,359,005	\$2,379,629	\$17,477,268
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is the coordination and procurement of goods and services provided by Vocational Rehabilitation to assist eligible individuals to obtain and retain employment. Depending on individual needs, services may include assessment, diagnosis and treatment, training, rehabilitation technology, job related services, tools, equipment, and initial stock for small businesses. Additional services provided to support achievement of the employment outcome include transportation, personal assistance, and interpreter and reader services. Successful employment is defined as maintaining a job for a minimum of ninety days and post-employment services may be provided when required for job retention.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Medical Equipment. Purchase medical supplies/equipment for eligible clients to obtain and retain employment.	\$20,072,838	-
Non Medical Equipment. Purchase non medical supplies/equipment for eligible clients to obtain and retain employment.	\$17,810,121	-
Conference Materials. Purchase conference materials aimed at training groups of VR clients in obtaining gainful employment.	\$300,937	-
Job Coaching. Contract job coaching services for eligible VR clients for obtaining gainful employment.	\$13,291,352	-
Actual Totals	\$51,475,248	-

Measures for the fund

	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Clients who exit the VR program in competitive self-employment with earnings equivalent to at least the minimum wage	8,351	6,314	6,116
Eligible cases for which services were purchased	56,969	50,959	49,082

Fund 14480-1201 Establishment of Facilities — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$251,018	\$251,018	\$251,018	\$0	\$251,018	\$0	\$251,018
Receipts	\$251,019	\$251,018	\$251,018	\$0	\$251,018	\$0	\$251,018
Appropriation	(\$1)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to administer a portion of Social Services Block Grant assigned to broaden and expand opportunities for training, employment, or enhanced independence for individuals with disabilities. Resources in this fund are primarily used to procure durable medical equipment for individuals with disabilities and are considered "Pass Through" for reporting purposes.

Fund 14480-1301 Client Assistance Project — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$290,261	\$330,592	\$308,160	\$386	\$308,546	\$386	\$308,546
Receipts	\$290,259	\$330,592	\$308,159	\$386	\$308,545	\$386	\$308,545
Appropriation	\$2	\$0	\$1	\$0	\$1	\$0	\$1
Positions	4.000	4.000	4.000	-	4.000	-	4.000

Fund description

The purpose of this fund is the administration of the Client Assistance Project (CAP), which helps rehabilitation clients and potential clients understand what services are available through state rehabilitation programs and related state and federal assistance programs. CAP also informs consumers of their rights and responsibilities in connection with the benefits of these programs and establishes cases with individual clients in order to resolve their issues and concerns when involvement beyond information and referral is necessary. Finally, upon request, CAP represents client's interests in formal appeals, hearings, and litigation when alternative dispute resolution actions are not successful.

Services for the fund

Resource and Referral. Advocate for individuals with disabilities by responding to requests for information about statewide rehabilitation programs, answering specific questions about rehabilitation services, and referring clients to other agencies and services when appropriate to achieve a successful outcome.

Actual Requirements
2007-08

\$148,888

Actual FTEs
2007-08

2.000

Resource and Resolution. Assist clients with issues and problems that cannot be resolved by a VR counselor, work with clients to resolve problems, and provide guidance on how to appeal decisions that remain unsolved following informal discussions for a successful resolution.

\$141,373

2.000

Actual Totals

\$290,261

4.000

Measures for the fund

	2005-06	2006-07	2007-08
Information and referral actions on behalf of consumers	851	907	1,023
Individuals of traditionally unserved or underserved populations who were provided outreach	35,765	40,000	25,000
Individuals assisted resulting in development or implementation of an Individual Plan for Employment (IPE)	25	30	46

Fund 14480-1302 Supported Employment Project — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$616,352	\$616,352	\$616,352	\$0	\$616,352	\$0	\$616,352
Receipts	\$616,352	\$616,352	\$616,352	\$0	\$616,352	\$0	\$616,352
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is the administration of the Supported Employment Program Grant. This program establishes a system of services for persons with the most significant disabilities who need extensive on-job-site training with long-term support services to learn, obtain, and maintain employment in the competitive labor market. To accomplish this purpose, the division places persons with the most significant disabilities and those for whom job placement has not traditionally been expected into competitive employment. This is accomplished through a multi-agency collaborative effort.

Services for the fund

Job Coaching. Contract using Supported Employment Program Grant funding for VR clients with significant disabilities to receive job coaching services for achieving gainful employment.

Actual Totals

<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
\$616,352	-
\$616,352	-

Measures for the fund

Clients that received supported employment services to achieve gainful employment

Clients that exited the supported employment program employed through use of these supplemental funds

<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
628	685	619
345	401	416

Fund 14480-1303 Assistive Technology Project — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$1,886,097	\$1,969,009	\$1,976,524	\$40,539	\$2,017,063	\$31,214	\$2,007,738
Receipts	\$876,986	\$961,947	\$969,462	\$18,699	\$988,161	\$14,597	\$984,059
Appropriation	\$1,009,111	\$1,007,062	\$1,007,062	\$21,840	\$1,028,902	\$16,617	\$1,023,679
Positions	20.250	20.250	20.240	-	20.240	-	20.240

Fund description

The purpose of this fund is the administration of the North Carolina Assistive Technology Project (NCATP). The goal of the project is to increase independence and opportunities for persons with disabilities in school, home, work, and community activities through the use of assistive technology. Five regional centers offer technical consultation in selection of the most appropriate equipment, demonstration and sampling the technology, short-term equipment loan, computer access evaluation, training seminars, and awareness activities regarding assistive technology. Other program services include technical assistance to local grassroots organizations and schools, funding resource information and advocacy, and systems change initiatives to improve assistive technology access.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Assistive Technology Access. Provide consumer access to assistive technology through device demonstrations and device loans which can aid individuals with their disabilities.	\$1,077,178	10.950
Assistive Technology Information. Provide information, training at workshops and conferences, technical assistance, awareness, and outreach to consumers, families, professionals, schools, and local organizations on assistive technology to obtain maximum independence and increased referrals to assistive technology vendors that can aid individuals with their disabilities.	\$808,919	9.300
Actual Totals	\$1,886,097	20.250

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Consumers served	6,514	6,165	6,858
Requests for information and/or consultation services	9,402	8,669	9,790
Services provided for individual contacts, loan of equipment, and training participants	31,469	20,243	36,832

Fund 14480-1305 Independent Living Programs — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$19,790,308	\$18,897,576	\$19,375,822	\$632,350	\$20,008,172	\$844,973	\$20,220,795
Receipts	\$1,586,248	\$1,636,334	\$2,232,334	\$0	\$2,232,334	\$0	\$2,232,334
Appropriation	\$18,204,060	\$17,261,242	\$17,143,488	\$632,350	\$17,775,838	\$844,973	\$17,988,461
Positions	125.000	125.000	125.000	-	125.000	-	125.000

Fund description

The purpose of this fund is the administration of the Independent Living Services program (ILS). This program prevents institutionalization of persons with significant disabilities, helps to deinstitutionalize individuals whenever possible, and maximizes community living for them and their families as well as other significantly disabled individuals already living in the community. To accomplish this purpose, ILS provides services to maximize independence of persons with significant disabilities within their homes, families, and communities. Services provided include guidance, counseling, evaluation, housing, vehicle and/or home modifications, engineering services, maintenance, and consumer managed attendant care. Where feasible, clients may transition to the vocational rehabilitation program for services. For those who achieve their work goal, ILS may become a resource for maintaining employment in the community. In addition to providing persons with the most significant disabilities with community integrated alternative living, ILS also effectively contains the cost of long-term care.

Services for the fund	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Program Management. Plan, direct, coordinate, and execute the statewide Independent Living Services program for individuals with mental and physical disabilities by monitoring services. Also, management researches and stays abreast of federal and state law issues affecting the ILS program.	\$425,428	5.000
Counselors. Administer a comprehensive plan of rehabilitation services in support of individual counselor caseloads. Responsibilities include: evaluate diagnostic data to determine eligibility and functional capability, assess clients' service needs, develop a written plan of service in conjunction with the client, provide supportive counseling, and monitor the client's progress for a successful outcome.	\$3,197,596	50.000
Rehabilitation Engineers. Provide engineering design services necessary to enable independent living clients to obtain and keep productive employment. This includes home and vehicle modifications as designed by ILS engineers to accommodate disabled individuals.	\$1,144,714	14.000
Therapeutic Recreation Specialists. Evaluate clients through interaction, behavioral observations, a variety of tests, and analysis of medical records. Review and develop an individual client evaluation plan based on the client needs to achieve gainful employment.	\$563,427	10.000
Casework Technicians. Provide preliminary assistance in support of the Independent Living Services rehabilitation counselor which includes interviewing clients and gathering required diagnostic reports, making recommendations on needs, and contacting employers to develop on-the-job training, and/or placement for ILS clients.	\$880,046	18.000
Casework Assistants. Perform a variety of administrative and casework tasks that support the Independent Living Services rehabilitation counselor on technical operations for ILS clients.	\$613,447	15.000
Office Assistants. Perform a variety of clerical tasks that support administrative programs or technical operations for ILS clients as required by the individual offices across the state.	\$606,783	13.000
Aid and Public Assistance. Purchase Independent Living Services which include personal care service, diagnostic assessment service, housing and community integration, assistive devices, and physical restoration equipment and services to achieve community based living and gainful employment.	\$12,326,815	-
Administration. Administer cost percentages that support the Independent Living Services program that include budget, human resources, purchasing, and computer operations.	\$32,052	-
Actual Totals	\$19,790,308	125.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Completed home modification projects	1,265	1,268	1,420
Consumers receiving personal attendant care services	391	369	388
Consumers receiving medical equipment	1,900	1,663	1,927

Fund 14480-1810 Revenue Clearing — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$2,728,843)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	\$0	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The Revenue Clearing Fund records accounting transactions that detail by funding source the revenue due from federal and other funding sources as a result of expenditures that earned those dollars in the operating funds of the agency. Actual receipts received from federal and other funding sources are also recorded in this fund. The Revenue Clearing Fund balance indicates the current status of the agency's accounts receivable for the funding sources reflected in the Clearing Account at any point in the state fiscal year.

Fund 14480-1991 Indirect Reserve — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$448,042	\$160,435	\$160,435	\$0	\$160,435	\$0	\$160,435
Receipts	\$634,370	\$160,435	\$160,435	\$0	\$160,435	\$0	\$160,435
Appropriation	(\$186,328)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this Fund is to account for funds earned for indirect costs from federal funding sources. The rate or amount of indirect cost that may be earned is negotiated each year with the federal DHHS Division of Cost Allocation based upon prior year statewide and departmental overhead costs.

Fund 14480-1992 Prior Year Earned Revenue — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$21,076	\$50,580	\$50,580	\$0	\$50,580	\$0	\$50,580
Receipts	\$135,334	\$50,580	\$50,580	\$0	\$50,580	\$0	\$50,580
Appropriation	(\$114,258)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

This Fund is used to record revenue received in the current state fiscal year, primarily from federal funding sources, earned in a prior fiscal year.

Fund 14480-1993 Prior Year Audit and Adjustments — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	(\$376,947)	\$0	\$0	\$0	\$0	\$0	\$0
Receipts	(\$274,361)	\$0	\$0	\$0	\$0	\$0	\$0
Appropriation	(\$102,586)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

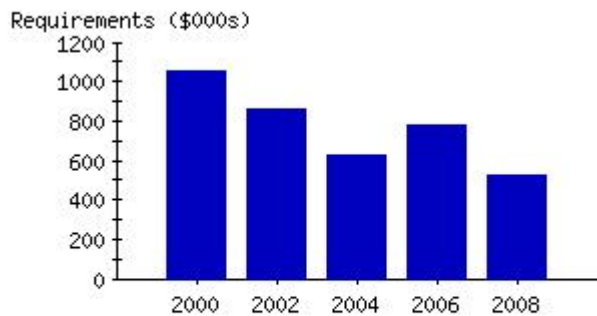
Fund description

This fund is used to record adjustments to expenditures or receipts for activities or transactions that occurred in a prior state fiscal year. Adjustments tracked in this fund include paybacks from sub grantees and purchase of service vendors, as a result of audits of prior year operations.

Base Budget and Performance Management Information

Budget Code 24480 DHHS - Vocational Rehabilitation - Special

**Actual Expenditures
 by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$526,652	\$606,781	\$526,652	\$0	\$526,652	\$0	\$526,652
Receipts	\$533,004	\$606,781	\$526,652	\$0	\$526,652	\$0	\$526,652
Chng Fund Bal	\$6,352	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Budget Code 24480 DHHS - Vocational Rehabilitation - Special

Fund 24480-2001 Eastern Region Vocational Rehabilitation Facility — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$144,945	\$158,490	\$144,945	\$0	\$144,945	\$0	\$144,945
Receipts	\$146,005	\$158,490	\$144,945	\$0	\$144,945	\$0	\$144,945
Chng Fund Bal	\$1,060	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

Fund description

The purpose of this fund is to track program income receipts and expenditures that fund client training activities and job readiness through real work and simulated work experiences. The income in this fund is generated by clients when goods and services are produced and sold in the community. The client generated income replenishes the fund and is utilized to pay consumer wages and purchase equipment and materials thus creating additional training opportunities for consumers.

Fund 24480-2005 Western Region Vocational Rehabilitation Facilities — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$381,707	\$448,291	\$381,707	\$0	\$381,707	\$0	\$381,707
Receipts	\$386,999	\$448,291	\$381,707	\$0	\$381,707	\$0	\$381,707
Chng Fund Bal	\$5,292	\$0	\$0	\$0	\$0	\$0	\$0
Positions	-	-	-	-	-	-	-

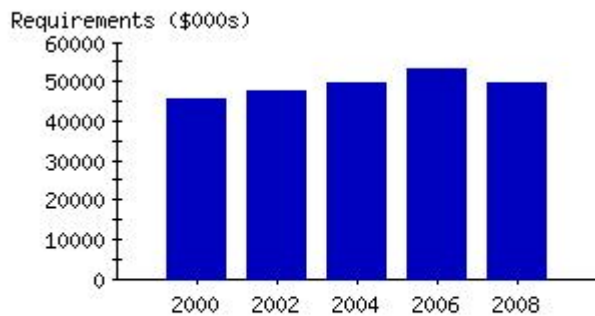
Fund description

The purpose of this fund is to track program income receipts and expenditures that fund client training activities and job readiness through real work and simulated work experiences. The income in this fund is generated by clients when goods and services are produced and sold in the community. The client generated income replenishes the fund and is utilized to pay consumers and purchase equipment and materials thus creating additional training opportunities for consumers.

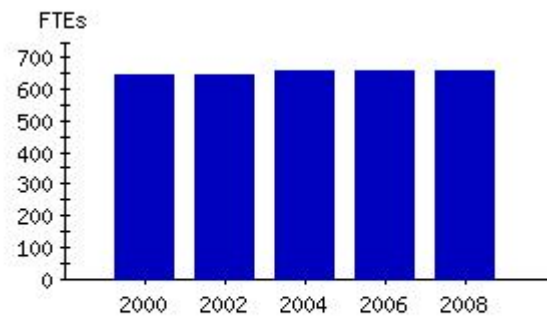
Base Budget and Performance Management Information

Budget Code 24481 DHHS - Disability Determination - Special

**Actual Expenditures
by Fiscal Year**



**Actual Positions
by Fiscal Year**



Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$49,747,956	\$61,962,419	\$65,166,297	\$164,096	\$65,330,393	\$397,315	\$65,563,612
Receipts	\$49,747,950	\$61,962,419	\$65,166,297	\$164,096	\$65,330,393	\$397,315	\$65,563,612
Chng Fund Bal	(\$6)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	660.000	660.000	630.000	-	630.000	-	630.000

Budget Code 24481 DHHS - Disability Determination - Special

Fund 24481-2125 Disability Determination — Base Budget

	2007-08 Actual	2008-09 Certified	2008-09 Authorized	2009-10 Adjustments	2009-10 Total	2010-11 Adjustments	2010-11 Total
Requirements	\$49,747,956	\$61,962,419	\$65,166,297	\$164,096	\$65,330,393	\$397,315	\$65,563,612
Receipts	\$49,747,950	\$61,962,419	\$65,166,297	\$164,096	\$65,330,393	\$397,315	\$65,563,612
Chng Fund Bal	(\$6)	\$0	\$0	\$0	\$0	\$0	\$0
Positions	660.000	660.000	630.000	-	630.000	-	630.000

Fund description

The purpose of this fund is to provide disability decisions for applicants who apply for Social Security Disability and Medical Benefits. The goal of staff associated with the program is to process Social Security disability (Title II), Supplemental Security (Title XVI), and Medicaid (Title XIX) claims for the citizens of North Carolina in a timely and accurate manner. Also included in this fund are professional relations, quality assurance, training, and information technology services.

Services for the fund

	<u>Actual Requirements 2007-08</u>	<u>Actual FTEs 2007-08</u>
Program Administrators. Plan, direct, coordinate, and execute the statewide program of disability determination to ensure applicants receive a timely and accurate decision. Also, research, investigate, and stay abreast of state and federal laws pertaining to disability claims processing as it relates to the Social Security and Medicaid programs.	\$1,055,235	14.000
Disability Hearing. Conduct face-to-face evidentiary disability hearings for applicants whose disability benefits have been ceased or terminated.	\$753,761	10.000
Information Technology. Provide customer service to DDS by installing, administering, testing, troubleshooting and maintaining all hardware, software, and network infrastructure for voice and data. Assist external and internal customers regarding the application of computer systems and technology as it relates to electronic case processing.	\$904,513	12.000
Disability Examiners and Medical Consultants. Evaluate medical evidence to determine its adequacy for making disability decisions. Once the eligibility decision is made, the claims are returned to the originating Social Security office or Department of Social Services for the award of benefits to applicants or the initiation of the appeal process in the case of denied claims.	\$37,084,993	492.000
Quality Assurance. Review a random selection of disability claims to detect and correct deficiencies or errors as well as promote quality in disability claims processing. Also provide policy, consultation, and technical expertise to line management and staff.	\$1,130,641	15.000
Processing Center. Serve as the intake unit for disability cases and agency mail and assign disability claims to examiners for processing. Close the disability claim to the local Social Security office upon completion and sort mail for all staff.	\$2,035,783	27.000
Professional Relations. Conduct outreach activities and the recruitment of physicians to perform consultative examinations for the purpose of adjudicating disability claims under the Social Security Disability Act. This includes management and credentialing of panel members, complaint resolution, and monitoring of services and fees for outreach physicians.	\$753,541	10.000
Training. Provide training to new examiners, medical consultants, and support staff to ensure an understanding of administrative issues, case processing techniques, and claims adjudication. Training is also provided in areas related to federal regulations and service delivery. Manage continuing education activities for the agency and recruit and monitor training activities for staff.	\$527,641	7.000

Consultative Examination Control. Schedule appointments for consultative examinations for disability applicants as requested by the disability examiners. Also responsible for contacting applicants as a reminder of appointments and ensuing payment to consultative examination vendors.	\$1,356,168	18.000
Budget and Planning. Review the state and Federal Budget Report on a monthly basis to ensure proper obligations and expenditures of funds.	\$301,504	4.000
Case Processing Supervisors and Case Consultants. Provide direction, training, leadership, consultation, and technical expertise on disability case processing to examiners and medical consultants in case processing units to ensure disability applicants receive accurate decisions and the rules are appropriately applied.	\$3,844,176	51.000
Actual Totals	\$49,747,956	660.000

Measures for the fund	<u>2005-06</u>	<u>2006-07</u>	<u>2007-08</u>
Cases closed (SS, SSI, and Medicaid)	173,480	173,281	172,539
Performance/accuracy rate (Social Security and Supplemental Security Income claims only)	96.8 %	94.4 %	94.1 %
Medicaid claims processed annually	40,012	40,714	43,504

